



autm
Transforming Ideas into Opportunities

Kalpana Reddy, JD
US Department of Veterans Affairs



**Dual Inventors and
Reporting**

autm
Transforming Ideas into Opportunities

VA Research and Technology Transfer Program

- Support VA Office of Research and Development (**Intramural** Research Program – FY18 Budget >\$700M in appropriated funds/\$1.8B total)
- Mission: “BRAVE” – Bringing Research Advancements for Veterans to Everyone
- HQ Based with 14 FTEs (includes administrative/operations staff)
- 6 Technology Transfer Specialists with Regional Responsibility



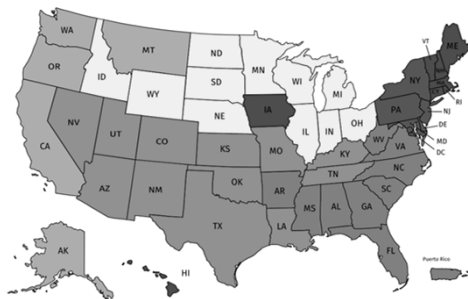
Email Box:

VA Technology Transfer Invention Disclosures
 Ms. Cynthia Schwarz
vattid@va.gov
 202.443.5690



POC:

John Kaplan, PhD, JD, MBA
 Director, Technology Transfer Program
john.kaplan@va.gov
 202.632.7271



TTS	Contact
Northeast: Chris Drabik, JD	202.443.5688 christopher.drabik@va.gov
West: Lee Sylvers, PhD	813.417.6327 lee.sylvers@va.gov
Southeast: Benjamin Henry, MBA	202.443.5736 benjamin.henry@va.gov
Mid Southwest: Kalpana Reddy, JD	202.443.5778 kalpana.reddy3@va.gov
Upper Midwest: Ryan Davis, JD	202.632.7554 ryan.davis7@va.gov
Mid Atlantic: David Marks, JD	202.443.5609 david.marks2@va.gov



Dual Appointment Personnel (DAPs)

- VA Research is conducted at VA Medical Centers nationwide (approx. 145)
- DAPs hold a VA and Academic Affiliate Appointment.
- DAPs may be:
 - Full-time or Part-Time
 - Paid or Unpaid (e.g., Without Compensation “WOC” or IPA)
 - Have Research and/or Clinical Responsibilities
- Under Federal Law and VA Policy (VHA Directive 1200.18): ALL VA employees are required to report ALL inventions to VA TTP.



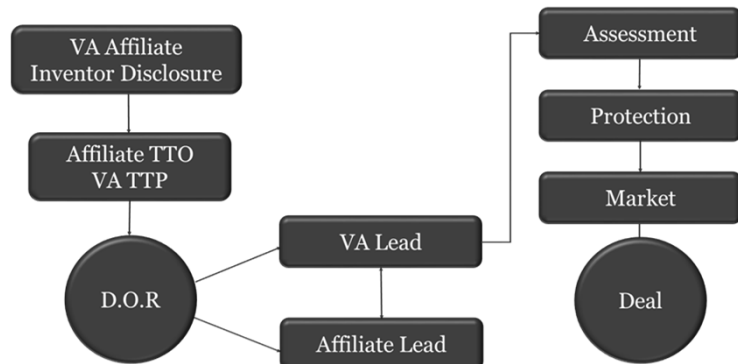
Working with Academic Affiliates

- TTP has a relationship with over 100 academic affiliates
- Since 2016-2017, TTP has been transitioning from existing Cooperative Technology Administration Agreements (CTAAs) with Academic Affiliates to new Invention Management Agreements (IMAs)
 - 28 IMAs have been signed to date
- Key Differences between the CTAA and the IMA
 - Adoption of Consistent Practices amongst VA Academic Affiliates
 - No Default Lead Party – Sharing of Risk
 - Streamlined Procedures and Timelines/Reporting (1X a year using TTP template)



VA Invention Disclosure Processing

- Submission of Invention Disclosure Form and VA Certification Form to TTP
- TTP Review of Invention Disclosure Package (10 Days)
- TTP/OGC Issue VA Determination of Rights (37 CFR 501 et seq.)
- VA DOR decision communicated to VA Employee and Academic Affiliate (Email)



Improving Workflow Process for DAP Inventions

- If you receive a new invention disclosure from an inventor who holds a VA appointment, you must disclose the invention to VA by submitting it to our central mailbox: vattid@va.gov
 - VA TTP will accept an Academic Affiliate's Invention Disclosure Form
 - TTP, however, will still need a signed VA Certification Form from each inventor holding a VA appointment before a new ID can be evaluated and a DOR issued
- **TIP:** Confirming DAP status can be difficult . . .
 - Please contact the Research Office at your local VA Medical Center. The VA Associate Chief of Staff for Research and Development (ACOS/R&D) is a good resource to confirm employee status or dates of employment.
 - Please also consider including a question/checkbox on your Invention Disclosure form regarding VA appointments to ensure that employees disclose this information when completing the form.



TTPs Goals for FY 19

- Convert all existing CTAAAs to IMAs
- Ensure that VA Research outcomes are captured and appropriately managed (Invention Disclosures, Patent Assignments, Collection and Distribution of Royalties under License Agreements, etc.)
- Enhance relationships with VA Academic Affiliates
 - Site Visits
 - Co-Marketing of Technologies
 - Joint Education Seminars for VA Inventors
- Establish Direct Access to VA Knowledge Management System for Submission of Reports and Invention Disclosures to VA



Information and Resources

- New Invention Disclosures should be submitted to vattid@va.gov
- PDF fillable VA Certification Forms can be found at: http://www.research.va.gov/programs/tech_transfer/
- The National ACOS/R&D Directory can be found at: https://www.research.va.gov/about/national_directory.cfm
- Government Support Language in patent applications should indicate that the research was supported by the Department of Veterans Affairs, if applicable
- VA Assignment Language should read:
 - “US Government as Represented by the Department of Veterans Affairs”



THANK YOU!

