



### Salary Survey

A comprehensive, worldwide survey of compensation and benefits of academic licensing professionals, and organizational structures of offices of technology transfer



©2007 The Association of University Technology Managers<sup>®</sup>. All Rights Reserved. No part of this report may be reproduced in any form or by any electronic or mechanical means, including information storage and retrieval systems, without written permission from AUTM. Association of University Technology Managers<sup>®</sup>, AUTM<sup>®</sup> and *AUTM* are registered trademarks of the Association of University Technology Managers. AUTM Licensing Survey<sup>TM</sup> is a trademark of AUTM.

#### **Dear AUTM Members:**

AUTM is pleased to present its second Salary Survey, reporting salaries, incentives and office structure information as of January 1, 2006. We were also pleased to have substantially more international respondents from outside North America to this Salary Survey and, unlike the last survey, we were able to report Canadian salaries by positions. Unfortunately, we did not receive sufficient data to provide much detail for the international respondents.

It is AUTM's hope that the Salary Survey will provide useful information about factors that influence compensation and provide members with an additional tool to use when charting their careers. We received positive feedback from the 2004 edition, and hope that this year's report, with greater information on Canadian salaries and incentive compensation plans, is useful. This Salary Survey is also used by researchers to better understand office structure, personnel qualifications, and other information about the technology transfer workforce.

We are currently considering whether the Salary Survey should be conducted every two or three years, and welcome your thoughts. AUTM also has licensed the Salary Survey instrument to a variety of international organizations, and welcomes others' use of the instrument.

Dana Bostrom University of California, Berkeley AUTM Vice President, Metrics & Surveys



#### 2006 Salary Survey Report authors

Dana Bostrom, University of California, Berkeley Joe Hill, Medical College of Wisconsin John Miner, University of Central Florida Wayne McDaniel, University of Missouri Fred Reinhart, Wayne State University

#### **Salary Survey Committee**

Susan Bernat, *The Cleveland Clinic Foundation* Dana Bostrom, *University of California, Berkeley* Jack Granowitz, *Columbia University* Joe Hill, *Medical College of Wisconsin* Fred Holt, *University of Washington* John Miner, *University of Central Florida, Chair* Wayne McDaniel, *University of Missouri* Fred Reinhart, *Wayne State University* 

**Table of Contents** 

Executive Summa	ry
Position 1: Directo	or
Table D-1:	General Salary Data for Directors
Table D-2:	Director Salaries by Highest Degree
Table D-3:	Director Salaries by Years of Technology Transfer Experience
Table D-4:	Director Salaries by Region
Table D-5:	Director Salaries — Private vs. Public Institutions
Table D-6:	Director Salaries by Gender
Table D-7:	Director Salaries by Percentiles
Table D-8:	Director Salaries by Urban vs. Non-Urban
Table D-9:	Director Salaries by Size of Research Budget
Table D-10:	Director Salaries by Number of Direct Reports
Table D-11:	Director Salaries by Total Technology Transfer Office Head Count
Position 2: Assista	ant/Associate Director
Table AD-1:	General Salary Data for Assistant/Associate Directors
Table AD-2:	Assistant/Associate Director Salaries by Highest Degree
Table AD-3:	Assistant/Associate Director Salaries by Years of
	Technology Transfer Experience
Table AD-4:	Assistant/Associate Director Salaries by Region

10

13

29

Table AD-5:	Assistant/Associate Director Salaries —			
	Private vs. Public Institutions			

Table AD-6:	Assistant/Associate Director Salaries by Gender
Table AD-7:	Assistant/Associate Director Salaries by Percentiles

- Assistant/Associate Director Salaries by Percentiles
- Table AD-8: Assistant/Associate Director Salaries by Urban vs. Non-Urban
- Table AD-9: Assistant/Associate Director Salaries by Size of Research Budget
- Table AD-10: Assistant/Associate Director Salaries by Number of Direct Reports
- Table AD-11: Assistant/Associate Director Salaries by Total Technology Transfer Office Head Count

#### **Position 3: Licensing Associate**

sition 3: Licensi	ng Associate 45	
Table LA-1:	General Salary Data for Licensing Associates	
Table LA-2:	Licensing Associate Salaries by Highest Degree	
Table LA-3:	Licensing Associate Salaries by Years of Technology Transfer Experience	
Table LA-4:	Licensing Associate Salaries by Region	
Table LA-5:	Licensing Associate Salaries — Private vs. Public Institutions	
Table LA-6:	Licensing Associate Salaries by Gender	
Table LA-7:	Licensing Associate Salaries by Percentiles	
Table LA-8:	Licensing Associate Salaries by Urban vs. Non-Urban	
Table LA-9:	Licensing Associate Salaries by Size of Research Budget	
Table LA-10:	Licensing Associate Salaries by Number of Direct Reports	
Table LA-11:	Licensing Associate Salaries by Total Technology	
	Transfer Office Head Count	



Position 4: Licensi	ng Assistant	61			
Table LST-1:       General Salary Data for Licensing Assistants					
Table LST-2:	Licensing Assistant Salaries by Highest Degree				
Table LST-3:	Licensing Assistant Salaries by Years of Technology Transfer Experience				
Table LST-4:	Licensing Assistant Salaries by Region	icensing Assistant Salaries by Region			
Table LST-5:	Licensing Assistant Salaries — Private vs. Public Institutio	ns			
Table LST-6:	Licensing Assistant Salaries by Gender				
Table LST-7:	Licensing Assistant Salaries by Percentiles				
Table LST-8:	Licensing Assistant Salaries by Urban vs. Non-Urban				
Table LST-9:	Licensing Assistant Salaries by Size of Research Budget				
Table LST-10:	Licensing Assistant Salaries by Number of Direct Reports				
Table LST-11:	Licensing Assistant Salaries by Total Technology Transfer	Office Head Count			
Position 5: Market	ing Manager	77			
Table MKT-1:	General Salary Data for Marketing Managers				
Table MKT-2:	Marketing Manager Salaries by Highest Degree				
Table MKT-3:	Marketing Manager Salaries by Years of Technology Trans	fer Experience			
Table MKT-4:	Marketing Manager Salaries by Region				
Table MKT-5:	Marketing Manager Salaries — Private vs. Public Institutio	ins			
Table MKT-6:	Marketing Manager Salaries by Gender				
Table MKT-7:	Marketing Manager Salaries by Percentiles				
Table MKT-8:	Marketing Manager Salaries by Urban vs. Non-Urban				

- Table MKT-9:
   Marketing Manager Salaries by Size of Research Budget
- Table MKT-10: Marketing Manager Salaries by Number of Direct Reports
- Table MKT-11:
   Marketing Manager Salaries by Total Technology Transfer Office Head Count

#### Position 6: Business Manager

93

Table BUS-1: General Salary Data for Business Managers Table BUS-2: Business Manager Salaries by Highest Degree Table BUS-3: Business Manager Salaries by Years of Technology Transfer Experience Table BUS-4: Business Manager Salaries by Region Business Manager Salaries — Private vs. Public Institutions Table BUS-5: Table BUS-6: Business Manager Salaries by Gender Table BUS-7: **Business Manager Salaries by Percentiles** Table BUS-8: Business Manager Salaries by Urban vs. Non-Urban Table BUS-9: Business Manager Salaries by Number of Direct Reports Table BUS-10: Business Manager Salaries by Total Technology Transfer Office Head Count Table BUS-10: Business Manager Salaries by Number of Direct Reports Table BUS-11: Business Manager Salaries by Total Technology Transfer **Office Head Count** 

Table PAT-1:	General Salary Data for Patent Managers
Table PAT-2:	Patent Manager Salaries by Highest Degree
Table PAT-3:	Patent Manager Salaries by Years of Technology Transfer Experience
Table PAT-4:	Patent Manager Salaries by Region
Table PAT-5:	Patent Manager Salaries — Private vs. Public Institutions
Table PAT-6:	Patent Manager Salaries by Gender
Table PAT-7:	Patent Manager Salaries by Percentiles
Table PAT-8:	Patent Manager Salaries by Urban vs. Non-Urban
Table PAT-9:	Patent Manager Salaries by Size of Research Budget
Table PAT-10:	Patent Manager Salaries by Number of Direct Reports
Table PAT-11:	Patent Manager Salaries by Total Technology Transfer
	Office Head Count

#### **Position 8: Administrative Assistant**

Table AA-1:	General Salary Data for Administrative Assistants			
Table AA-2:	Administrative Assistant Salaries by Highest Degree			
Table AA-3:	Administrative Assistant Salaries by Years of Technology			
	Transfer Experience			
Table AA-4:	Administrative Assistant Salaries by Region			
Table AA-5:	Administrative Assistant Salaries — Private vs. Public Institutions			
Table AA-6:	Administrative Assistant Salaries by Gender			
Table AA-7:	Administrative Assistant Salaries by Percentiles			
Table AA-8:	Administrative Assistant Salaries by Urban vs. Non-Urban			
Table AA-9:	Administrative Assistant Salaries by Number of Direct Reports			
Table AA-10:	Administrative Assistant Salaries by Number of Direct Reports			
Table AA-11:	Administrative Assistant Salaries by Total Technology Transfer			
	Office Head Count			

#### **Position 9: Director of Startups**

140

109

Table SU-1:	General Salary Data for Directors of Startups
Table SU-2:	Director of Startups Salaries by Highest Degree
Table SU-3:	Director of Startups Salaries by Years of Technology Transfer Experience
Table SU-4:	Director of Startups Salaries by Region
Table SU-5:	Director of Startups Salaries — Private vs. Public Institutions
Table SU-6:	Director of Startups Salaries by Gender
Table SU-7:	Director of Startups Salaries by Percentiles
Table SU-8:	Director of Startups Salaries by Urban vs. Non-Urban
Table SU-9:	Director of Startups Salaries by Size of Research Budget
Table SU-10:	Director of Startups Salaries by Number of Direct Reports
Table SU-11:	Director of Startups Salaries by Total Technology Transfer Office Head Count



Annondiv 2	Colomy Cumyou Instructions and Outstiens	170				
Appendix 1:	Salary Survey Order Form	169				
Table IC-11:	In-House Counsel Salaries by Total Technology Transfer Offi	ce Head Count				
Table IC-10:	In-House Counsel Salaries by Number of Direct Reports					
Table IC-9:	In-House Counsel Salaries by Size of Research Budget					
Table IC-8:	In-House Counsel Salaries by Urban vs. Non-Urban					
Table IC-7:	In-House Counsel Salaries by Percentiles					
Table IC-6:	In-House Counsel Salaries by Gender					
Table IC-5:	In-House Counsel Salaries — Private vs. Public Institutions					
Table IC-4:       In-House Counsel Salaries by Region						
Table IC-3:	Table IC-3:         In-House Counsel Salaries by Years of Technology Transfer Experience					
Table IC-2:	In-House Counsel Salaries by Highest Degree					
Table IC-1:	General Salary Data for In-House Counsels					
Position 10: In-	House Counsel	153				

Appendix 1:	Salary Survey Order Form	169
Appendix 2:	Salary Survey Instructions and Questions	170
Appendix 3:	Incentive Compensation Schemes	
	Module and Salary Survey Bonus Data	180
Appendix 4:	Responding Organizations	186
Appendix 5:	Responding Countries Data	190
Appendix 6:	Survey Methodology and Procedures	194

#### **Executive Summary**

AUTM presents its second formal salary survey, the most comprehensive survey to collect and include information about U.S., Canada and other nations' office reporting structures, research budgets and salary information. To reduce the inefficiencies of individual salary polls, and to provide better baseline data for all AUTM members, AUTM constructed a comprehensive survey. In order to guarantee confidentiality for individual salary information, only means, number of data points, and the standard deviation is shown in the tables. Information about each position and salary tables are shown in the same order throughout the report: position description; general information about the position including signature authority, alternate titles, reporting structure and typical educational background. Not all tables are relevant to all positions; so only those most useful for each position are included with U.S. and non-U.S. data, within each position.

Unfortunately, some positions do not have data that can be reported, as there were too few respondents to allow the AUTM Salary Survey committee to adhere to confidentiality restrictions.

AUTM is pleased to provide one electronic copy of the 2006 AUTM Salary Survey to all organizations participating in the survey, as listed in Appendix 3. Members who did not respond will be able to purchase copies of the report at a member discount, and non-AUTM individuals and organizations may also purchase hard or electronic copies of the report. Further purchase information can be found in Appendix 1.



#### **Cross section of respondents**

- 195 organizations responded, including 153 U.S., 23 Canadian and organizations in 15 other countries.
- Data was received on 1,071 total positions, including:
  - 169 Directors
  - 135 Assistant/Associate Directors
  - 250 Licensing Associates
  - 111 Licensing Assistants
  - 22 Startup Directors
  - 192 Administrative Assistants
  - 63 Business Managers
  - 35 Marketing Managers
  - 35 In-house Counsels
  - 59 Patent Managers
- 66 private organizations and 122 public organizations responded.

#### Key Themes seen in the Survey Results

Overall, the most significant factors affecting salaries was the total number of employees in the technology transfer office and research expenditures of the institution. Other factors included in the survey had some impact, and those with the most significance were years the incumbent had been in the position, and private versus public control of the institution.

Institutional characteristics, such as research expenditures and technology transfer Head Count, had a greater influence on salaries of more senior positions, while individual characteristics such as highest degree held and gender were more of a factor for incumbents in the other surveyed positions. Gender was a significant factor in salary, with males earning more than females.

The survey demonstrates that salaries generally have a high standard deviation.

#### An important note about presentation of data in this report:

**Confidentiality.** One of the key aims of this survey was to protect the confidentiality of compensation information for individuals. Therefore, consistent with the survey instructions, whenever the number of incumbents ("n") in a position category was less than 3 ("n<3"), we did not show the actual number. In all tables, we did not report medians, minimum or maximum salaries because they would reveal the actual compensation of an individual. In other tables, we deleted some data relating to positions that were "missing" or "valid" to prevent the reader from calculating actual salaries where "n" was "<3".

**Timeframe.** All salaries are reported in US\$ at January 1, 2006. Also, all salaries for Non-U.S. positions were converted to US\$ at the applicable conversion rate as of January 1, 2006.

**Inconsistencies.** In some cases, salary numbers may not match from table to table because details about a specific incumbent (e.g., degree) were not provided and the "n" value changed.



### Survey Results by Position Position 1: Director (Tables D-1 through D-11)

#### **Position description:**

The Director is the head of the Technology Transfer Office. This position directs and manages the intellectual property or technology transfer activities at the institution. The person spends their full-time activities on technology transfer matters, but may also supervise a limited number of additional activities related to corporate relationships such as industrial sponsored research agreements, clinical trial agreements, etc. He or she sets and/or interprets policies as they pertain to technology transfer activities, manages the licensing, business development, and administrative staff in the Technology Transfer Office and communicates with the institution's senior administration officials or governing board. Activities performed in the Technology Transfer Office managed by the Director include approving and/or signing license or other technology transfer agreements, assessing the protectability and commercial potential of new invention disclosures; obtaining and maintaining intellectual property protection through legal counsel; heading the institution's patent committee; pursuing expanded relationships with the corporate sector; attracting venture capital for investment in technologies; managing the Technology Transfer Office budget; and facilitating relationships among faculty, industry, research sponsors, patent counsel, and university administrators. Depending on the organizational structure of a particular university system, there may either be a single Director overseeing the Technology Transfer Office or several. (Note: This definition is based on the "Director" position description used in the 2004 AUTM Salary Survey, which was based on the "Chief Technology Transfer Officer" position description used in the 1998/99 and 2000/01 CUPA Surveys and is intended to capture the salaries of the same individual as your institution reported to those Surveys in order to allow some longitudinal comparisons to be made.)

- Other possible titles: Executive Director, Assistant Vice President or Associate Vice President or Associate Vice Chancellor
- Possible degrees: Ph.D., J.D., M.B.A., M.S., B.S., B.A.

- Years of experience: 5-30
- Signatory Authority: All
- **Reports to:** Vice President of Research, Provost or Associate Provost or, in the event that a Vice President or Associate Provost holds this position, directly to a Provost or the President of the institution

Position		Control	Mean	Median	Std. Deviation	N
Director	USA	Private	163,038	153,000	61,971	60
		Public	127,690	123,000	39,307	75
		Other	133,845	154,000	38,872	3
		Total	143,193	135,000	53,070	138
	Canada	Private				2
		Public	99,616	93,578	26,256	15
		Other				1
		Total	98,100	95,725	26,616	18
	Other	Private				2
		Public	96,833	115,982	48,149	9
		Other				2
		Total	109,157	115,982	49,064	13
	Total	Private	159,635	151,551	62,701	64
		Public	120,631	115,982	40,151	99
		Other	131,046	134,950	28,959	6
		Total	135,772	125,000	52,844	169

#### Table D-1: General Salary Data for Directors



		Director	Suluric	5 by ringi	lest Degle	<u> </u>
Position		Highest Degree Quartile	Mean	Median	Std. Deviation	Ν
Director	USA	BS/BA	99,500	105,000	37,256	8
		MS/MA	148,619	132,500	60,896	20
		МВА	152,766	144,000	59,735	29
		Law	122,212	121,530	44,656	16
		PhD	148,695	140,000	48,422	61
		Other				2
		Missing				2
		Total	143,193	135,000	53,070	138
	Canada	BS/BA	103,343	103,908		<10
		MS/MA				2
		МВА	112,251	107,315		<10
		Law				1
		PhD	96,154	93,578	22,470	7
		Total	98,100	95,725	26,616	18
	Other	MS/MA				1
		MBA	136,302	119,991		<10
		MD				1
		PhD	96,763	103,137	48,759	6
		Other				1
		Total	109,157	115,982	49,064	13
	Total	BS/BA	100,781	105,000	34,129	12
		MS/MA	141,863	120,000	59,638	23
		MBA	146,606	135,000	55,869	37
		Law	118,149	119,060	46,370	17
		MD				1
		PhD	139,514	133,845	50,323	74
		Other	72,208	80,000		<10
		Missing				2

#### Table D-2: Director Salaries by Highest Degree

Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Director	USA	0-2 years	115,000	95,000	67,623	10
		3-4 years	111,171	104,839	43,450	10
		5-9 years	138,573	136,995	41,459	34
		10+ years	152,766	140,000	54,884	82
		Total	143,382	135,000	53,424	136
	Canada	3-4 years				1
		5-9 years	77,030	80,701		<10
		10+ years	103,214	98,729	26,950	13
		Total	98,100	95,725	26,616	18
	Other	3-4 years				1
		5-9 years	84,463	89,461		<10
		10+ years	116,620	115,982	44,206	9
		Total	109,157	115,982	49,064	13

### Table D-3:Director Salaries by Years of<br/>Technology Transfer Experience

#### Tables D-4: Director Salaries by Region

		US-EAST						
			Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	61	13	61				
	Missing	-	48	-				
Me	an	153,590	22,482	158,381				
Percentiles	10	89,227	6,000	89,227				
	25	109,000	9,500	115,000				
	50	140,000	17,500	140,000				
	75	189,500	28,000	198,000				
	90	231,705	63,708	239,000				

		US-CENTRAL						
			Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	53	5	53				
	Missing	-	48	-				
Ме	an	136,625	41,174	140,509				
Percentiles	10	81,400	11,000	81,400				
	25	102,500	14,500	102,500				
	50	130,000	19,834	132,000				
	75		78,517	159,018				
	90	209,600	100,000	214,760				



		US-WEST							
		Salary in Bonus i US\$ at US\$ at 1/1/06 1/1/06		Salary + Bonus in US\$ at 1/1/06					
N	Valid	24	4	24					
	Missing	-	20	-					
Mea	an	131,269	19,250	134,477					
Percentiles	10	71,000	8,000	71,000					
	25	103,801	8,500	103,801					
	50	129,345	13,500	129,345					
	75		35,750	162,750					
	90	185,000	42,000	193,500					

#### Tables D-4: Director Salaries by Region (cont.)

		CANADA						
		Salary in US\$ at 1/1/06	US\$ at US\$ at					
N	Valid	18	5	18				
	Missing	-	13	-				
Ме	an	98,100	8,396	100,433				
Percentiles	10	67,900	1,631	67,900				
	25	77,266	1,674	78,490				
	50	95,725	8,585	98,051				
	75	118,046	15,024	118,046				
	90	142,514	17,170	143,802				

		NON-US						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	13	8	13				
	Missing	-	5	-				
Me	an	109,157	15,792	118,875				
Percentiles	10	14,778	4,070	21,416				
	25	95,858	7,644	101,468				
	50	115,982	10,743	116,069				
	75	138,926	27,402	156,044				
	90	175,778	30,788	187,437				

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salar Bonus US\$ 1/1/0
Director	USA	Private	Mean	163,038	30,791	170,736	Director	Canada	Private	Mean			
			Median	153,000	19,834	155,000				Median			
			Std. Deviation	61,971	27,769	71,522				Std. Deviation			
			N	60	15	60				N	2		2
		Public	Mean	127,690	16,181	129,201			Public	Mean	99,616	8,396	102,415
			Median	123,000	11,000	124,000				Median	93,578	8,585	93,578
			Std. Deviation	39,307	11,614	41,303				Std. Deviation	26,256	6,846	28,497
			N	75	7	75				N	15	5	15
		Other	Mean	133,845		133,845			Other	Mean			
			Median	154,000		154,000				Median			
			Std. Deviation	38,872		38,872				Std. Deviation			
			N	<10		<10				N	1		1
		Total	Mean	143,193	26,143	147,360			Total	Mean	98,100	8,396	100,433
			Median	135,000	17,750	136,432				Median	95,725	8,585	98,051
			Std. Deviation	53,070	24,518	59,752				Std. Deviation	26,616	6,846	28,603
			N	138	22	138				N	18	5	18

#### Table D-5: Director Salaries — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Sala Bonu USS 1/1
Director	Other	Private	Mean				Director	Total	Private	Mean	159,635	30,791	166,8
			Median							Median	151,551	19,834	154,5
			Std. Deviation							Std. Deviation	62,701	27,769	71,90
			N	2		2			N	64	15	(	
		Public	Mean	96,833	15,207	106,971			Public	Mean	120,631	13,694	123,1
			Median	115,982	10,743	115,982				Median	115,982	10,500	119,0
			Std. Deviation	48,149	10,822	53,240				Std. Deviation	40,151	10,232	41,87
			N	9	6	9				N	99	18	9
		Other	Mean						Other	Mean	131,046		136,89
			Median							Median	134,950		147,43
			Std. Deviation							Std. Deviation	28,959		28,94
			N	2	2	2				N	6	2	
		Total	tal Mean 109,157 15,792 118,875	Total	Mean	135,772	21,242	140,11					
			Median	115,982	10,743	116,069				Median	125,000	16,000	130,0
			Std. Deviation	49,064	10,687	51,802				Std. Deviation	52,844	21,145	58,59
			N	13	8	13				N	169	35	16

#### Table D-5: Director Salaries — Private vs. Public Institutions

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director	USA	Male	Mean	149,189	26,307	153,299
			Median	138,243	17,500	139,495
			N	96	15	96
		Female	Mean	126,196	23,089	129,842
		Not	Median	125,000	16,000	125,000
			N	38	6	38
		Not Answered	Mean	160,750	42,000	171,250
			Median	153,000	42,000	153,000
			N	4	1	4
		Total	Mean	143,193	26,143	147,360
			Median	135,000	17,750	136,432
			Ν	138	22	138
	Canada	Male	Mean	99,798	8,396	102,422
			Median	95,725	8,585	98,051
			Ν	16	5	16
		Female	Mean			
			Median			
			Ν	2		2
		Total	Mean	98,100	8,396	100,433
			Median	95,725	8,585	98,051
			N	18	5	18

#### Table D-6: Director Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director	Other	Male	Mean	102,436	15,792	115,069
			Median	114,665	10,743	119,991
			Ν	10	8	10
		Female	Mean	131,562		131,562
			Median	115,982		115,982
			N	3		3
		Total	Mean	109,157	15,792	118,875
			Median	115,982	10,743	116,069
			N	13	8	13
	Total	Male	Mean	138,879	20,104	143,493
			Median	131,000	14,439	135,000
			N	122	28	122
		Female	Mean	124,632	23,089	127,854
			Median	124,000	16,000	125,000
			N	43	6	43
		Not	Mean	160,750		171,250
		Answered	Median	153,000		153,000
			N	4	1	4
		Total	Mean	135,772	21,242	140,171
			Median	125,000	16,000	130,000
			N	169	35	169

#### Table D-6: Director Salaries by Gender

			USA	
			Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	N Valid		22	138
	Missing	-	116	-
Mean	Mean		26,143	147,360
Median		135,000	17,750	136,432
Std. Deviation	ı	53,070	24,518	59,752
Percentiles	10	84,838	7,650	84,838
	25	105,000	10,000	105,431
	50	135,000	17,750	136,432
	75	165,063	33,818	167,500
	90	214,270	73,110	229,050

#### Table D-7: Director Salaries by Percentiles

			Canada	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	18	5	18
	Missing		13	
Mean	Mean		8,396	100,433
Median		95,725	8,585	98,051
Std. Deviation	1	26,616	6,846	28,603
Percentiles	10	67,900	1,631	67,900
	25	77,266	1,674	78,490
50		95,725	8,585	98,051
	75	118,046	15,024	118,046
	90	142,514	17,170	143,802

			Other		
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
N	Valid	13	8	13	
	Missing	-	5	-	
Mean	Mean		15,792	118,875	
Median		115,982	10,743	116,069	
Std. Deviation	ı	49,064	10,687	51,802	
Percentiles	10	14,778	4,070	21,416	
	25	95,858	7,644	101,468	
	50		10,743	116,069	
	75	138,926	27,402	156,044	
	90	175,778	30,788	187,437	



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director	USA	Urban	Mean	148,647	29,647	153,984
			Median	142,288	19,917	146,000
			N	100	18	100
		Non-	Mean	128,838	10,375	129,930
		Urban	Median	124,000	9,500	124,000
			N	38	4	38
		Total	Mean	143,193	26,143	147,360
			Median	135,000	17,750	136,432
			N	138	22	138
	Canada	Urban	Mean	95,822	7,276	97,641
			Median	95,725	5,151	98,051
			N	16	4	16
		Non-	Mean			
		Urban	Median			
			N	2	1	2
		Total	Mean	98,100	8,396	100,433
			Median	95,725	8,585	98,051
			Ν	18	5	18

#### Table D-8: Director Salaries by Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director	Other	Urban	Mean	108,588	15,792	119,117
			Median	114,665	10,743	119,991
			N	12	8	12
		Non-	Mean			
		Urban	Median			
			N	1		1
		Total	Mean	109,157	15,792	118,875
			Median	115,982	10,743	116,069
			N	13	8	13
	Total	Urban	Mean	138,289	22,969	143,672
			Median	129,000	17,085	135,000
			N	128	30	128
		Non-	Mean	127,914	10,876	129,241
		Urban	Median	123,000	11,000	123,000
			N	41	5	41
		Total	Mean	135,772	21,242	140,171
			Median	125,000	16,000	130,000
			N	169	35	169

#### Table D-8: Director Salaries by Urban vs. Non-Urban



Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	N
Director	USA	\$1M to \$100M	110,833	108,500	30,811	50
		\$101M to \$249M	140,236	138,243	45,315	42
		\$250M to \$449M	184,164	186,500	53,848	28
		\$500M+	184,146	155,625	62,524	14
		Total	143,031	135,000	53,664	134
	Canada	\$1M to \$100M	85,960	83,330	18,652	11
		\$101M to \$249M	116,329	120,192		<10
		\$250M to \$449M				2
		Total	97,811	93,578	27,406	17
	Other	\$1M to \$100M	102,600	108,640	42,933	6
		\$101M to \$249M				1
		\$250M to \$449M	134,206	123,913	50,682	3
		\$500M+				2
		Total	117,558	116,025	40,315	12
	Total	\$1M to \$100M	106,012	105,000	31,350	67
		\$101M to \$249M	137,685	137,496	44,378	47
		\$250M to \$449M	176,095	173,000	54,699	33
		\$500M+	178,408	155,125	60,553	16
		Total	136,440	125,000	52,610	163

#### Table D-9: Director Salaries by Size of Research Budget

Position	USA or Other	N of Reports	Mean	Median	Std. Deviation	N
Director	USA	0 Reports	79,909	82,000	28,048	11
		1-2 Reports	120,675	113,278	34,985	39
		3-5 Reports	148,331	137,496	46,567	39
		6-10 Reports	161,273	155,250	37,442	35
		11+ Reports	215,842	185,000	80,075	11
		Total	143,623	135,000	53,455	135
	Canada	0 Reports	78,139	79,842	6,324	4
		1-2 Reports	72,309	75,979	13,385	4
		3-5 Reports	120,836	119,763	29,260	4
		6-10 Reports	113,446	109,461	14,709	6
		Total	98,100	95,725	26,616	18
	Other	0 Reports				2
		1-2 Reports	106,715	122,551	91,481	3
		3-5 Reports	105,942	109,119	12,762	4
		6-10 Reports				1
		Total	99,626	110,000	51,426	10
	Total	0 Reports	79,437	82,000	23,899	15
		1-2 Reports	116,078	110,000	35,593	45
		3-5 Reports	143,226	137,248	49,155	46
		6-10 Reports	149,978	144,200	39,734	45
		11+ Reports	199,890	175,125	94,248	12
		Total	135,897	125,000	53,614	163

#### Table D-10: Director Salaries by Number of Direct Reports



Position	USA or Other	Tech Office FTEs	Mean	Median	Std. Deviation	N
Director	USA	1-5 FTEs	116,996	110,000	36,036	73
		6-10 FTEs	150,886	141,595	43,802	30
		11-19 FTEs	177,288	159,000	43,498	18
		20+ FTEs	206,006	188,000	63,578	17
		Total	143,193	135,000	53,070	138
	Canada	1-5 FTEs	85,803	82,418	22,728	11
		6-10 FTEs	104,954	100,876	13,581	4
		11-19 FTEs				1
		20+ FTEs				2
		Total	98,100	95,725	26,616	18
	Other	1-5 FTEs	81,126	104,018	62,474	5
		6-10 FTEs	122,159	116,069	19,245	5
		11-19 FTEs				1
		20+ FTEs				2
		Total	109,157	115,982	49,064	13
	Total	1-5 FTEs	111,126	108,000	38,190	89
		6-10 FTEs	142,492	132,000	42,144	39
		11-19 FTEs	173,267	155,625	43,178	20
		20+ FTEs	192,034	185,000	66,127	21
		Total	135,772	125,000	52,844	169

#### Table D-11: Director Salaries by Total Technology Transfer Office Head Count

#### Additional data:

- The number of Director respondents rose from 2004.
- About 50% of Directors had a doctorate degree, which was the same percentage observed in the 2004 survey. The majority of Directors (60%) had at least 10 years experience in technology transfer, which was also the same percentage observed in 2004.
- The mean US director salary rose 5.5%; there is a 15% difference between male and female directors' salaries.
- 16% of directors, both male and female, received bonuses, with females receiving 12% less on average.

#### Key factors in compensation for this position:

US:

While total head count in an office is most predictive of salaries for this category, it is a weak predictor, accounting for only 10% of the salary variance.

#### Canada:

Compared to 2004, there were only 3 additional respondents in 2006 for Canada, for a total of 18 respondents. There were 13 total respondents from outside the U.S. and Canada, which allowed for the creation of a more detailed table and break-out of information more specific to Canada.

There was only a 3% increase in the mean salary for Canadian directors compared to the 2004 survey. There appears to be a negative relationship between education level and salary, with Directors who had a Ph.D. reporting earning 16% less than Directors with a MBA or a Bachelor's degree. Almost 40% of Canadian directors have a Ph.D., and over 70% of the directors have 10+ years experience.

#### International:

Since this is the first year the international community was separated from the North American data, we are only able to highlight a few meaningful points of data. Almost 70% of Directors have more than 10 years experience, almost half have a Ph.D., and 92% have an advanced degree of some kind.

The two factors that appear to account for the most variance in these results are the number of direct reports and the number of FTEs in the office.



#### Position 2: Assistant/Associate Director (Tables AD-1 through AD-11)

#### **Position description:**

The Assistant/Associate Director is the most senior position among the Licensing Officer positions at the institution. The Assistant/Associate Director assists and reports to the Director or Executive Director of the Technology Transfer Office or the Assistant/Associate VP and may have the authority to act in their absence. This position may supervise one or more Licensing Associates and may have the authority to approve license terms and signature authority for MTAs, CDAs, and other relatively standard agreements but will typically not have signature authority for licenses and option agreements. The Assistant/Associate Director is responsible for project or case management. In large offices, the Assistant/Associate Director may also be responsible for interpreting policies as they pertain to technology transfer activities, managing the licensing and administrative staff in the Technology Transfer Office and other duties as above. Specific activities include: identifying technologies with commercial applications; evaluating the commercial potential of inventions; identifying potential licensees; preparing non-confidential, technical information for marketing purposes; developing and implementing specific marketing strategies for each technology; and drafting and negotiating license agreements and other types of agreements including material transfer, collaboration, inter-institutional and nondisclosure agreements. (Note: This definition is based on the "Senior Technology Licensing Officer" position description used in the 1998/99 and 2000/01 CUPA Surveys and is intended to capture the salaries of the same individuals as your institution reported to those Surveys in order to allow some longitudinal comparisons to be made.)

- Other possible titles: Senior Technology Licensing Officer, Deputy Director
- Possible degrees: Ph.D., J.D., M.B.A., M.S., B.S., B.A.
- Years of experience: 5-20

**Reports to:** Director or Executive Director of the Technology Transfer Office or the Assistant/Associate VP

Position		Control	Mean	Median	Std. Deviation	N
Assist/	USA	Private	106,276	101,000	26,970	65
Assoc Director		Public	95,950	94,000	24,622	49
		Total	101,837	96,826	26,379	114
	Canada	Public	85,974	82,482	15,363	12
		Total	85,974	82,482	15,363	12
	Other	Private	71,284	67,873		<10
		Public	60,800	76,970	47,971	5
		Other				1
		Total	68,241	76,970	35,999	9
	Total	Private	104,732	99,955	27,355	68
		Public	91,473	90,000	26,876	66
		Other				1
		Total	98,188	95,000	27,721	135

### Table AD-1: General Salary Data for Assistant/Associate Directors Assistant/Associate Directors



### Table AD-2:Assistant/AssociateDirector Salaries by Highest Degree

Position		Education Level	Mean	Median	Std. Deviation	N
Assist/	USA	BS/BA	98,145	100,572	31,211	9
Assoc Director		MS/MA	103,660	104,945	31,057	20
		MBA	97,866	90,640	20,667	23
		Law	95,073	92,000	22,490	15
		PhD	108,298	101,000	27,182	41
		Other				2
		Missing	79,602	79,000	18,505	4
		Total	101,837	96,826	26,379	114
	Canada	MS/MA				2
		MBA	76,732	79,348	10,742	4
		Law				1
		PhD	99,359	99,170	16,365	4
		Other				1
		Total	85,974	82,482	15,363	12
	Other	MS/MA				1
		MBA				1
		PhD	60,319	67,873	37,395	7
		Total	68,241	76,970	35,999	9
	Total	BS/BA	98,145	100,572	31,211	9
		MS/MA	101,921	97,151	29,396	23
		MBA	94,791	89,693	20,428	28
		Law	93,960	91,000	22,179	16
		PhD	101,152	97,650	32,093	52
		Other	97,345	94,000	24,499	3
		Missing	79,602	79,000	18,505	4
		Total	98,188	95,000	27,721	135

## Table AD-3:Assistant/Associate Director Salaries by<br/>Years of Technology Transfer Experience

Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Assist/	USA	0-2 years	100,311	99,800	37,034	9
Assoc Director		3-4 years	89,896	92,000	19,634	17
		5-9 years	95,660	90,320	24,177	34
		10+ years	110,367	107,900	25,972	48
		Total	101,676	96,456	26,539	108
	Canada	5-9 years	77,258	77,741	7,255	8
		10+ years	103,407	101,905	11,608	4
		Total	85,974	82,482	15,363	12
	Other	0-2 years				2
		3-4 years				1
		5-9 years	65,104	76,970	34,473	5
		10+ years				1
		Total	68,241	76,970	35,999	9
	Total	0-2 years	93,450	99,800	42,489	11
		3-4 years	88,672	86,000	19,742	18
		5-9 years	89,277	85,000	25,484	47
		10+ years	109,563	106,659	24,994	53
		Total	97,883	95,000	27,880	129

Tables AD-4: Assistant/Associate Director Salaries by Region

			US-EAST					US-CENTRAL	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	58	26	58	N	Valid	43	12	43
	Missing	-	32	-		Missing	-	31	-
Me	an	104,101	10,335	108,734	м	Mean		14,104	100,321
Percentiles	10	74,119	3,000	74,119	Percentiles	10	68,800	10,150	69,600
	25	82,050	5,750	85,031		25	82,000	10,877	84,701
	50	100,000	8,250	106,350		50	90,640	12,747	96,000
	75	125,651	14,500	132,595		75	110,000	16,936	118,400
	90	141,000	17,500	156,100		90	126,200	22,479	137,344



			US-WEST	
		Salary in Bonus in US\$ at US\$ at 1/1/06 1/1/06		Salary + Bonus in US\$ at 1/1/06
N	Valid	13	3	13
	Missing	-	10	-
Me	an	109,775	10,567	112,213
Percentiles	10	53,884	4,000	53,884
	25	76,450	4,000	78,450
	50	115,000	13,700	115,000
	75		14,000	145,856
	90	146,624	14,000	152,680

#### Tables AD-4: Assistant/Associate Directors Salaries by Region

		CANADA					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	12	1	12			
	Missing	-	11	-			
Me	Mean			86,332			
Percentiles	10	66,074		66,074			
	25	75,335		75,335			
	50	82,482		82,482			
	75	95,784		95,784			
	90	114,695		114,695			

		NON-US				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
N	Valid	9	3	9		
	Missing	-	6	-		
Me	Mean		9,582	71,435		
Percentiles	10	6,263	2,035	9,742		
	25	40,855	2,035	41,873		
	50		3,479	76,970		
	75	95,966	23,232	103,810		
	90	111,310	23,232	118,854		

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Po									
Assist/			Mean	106,276	11,990	112,547	As									
Assoc Director		Median	101,000	10,939	108,000	As Di										
			Std. Deviation	26,970	4,541	29,894										
			N	65	34	65										
		Public	Mean	95,950	8,857	97,215										
			Median	94,000	4,000	95,000										
			Std. Deviation	24,622	10,483	26,536										
												N	49	7	49	
		Total	Mean	101,837	11,455	105,957										
				Median	96,826	10,877	101,045									
			Std. Deviation	26,379	5,909	29,384										
			N	114	41	114										

#### Table AD-5: Assistant/Associate Director Salaries — Private vs. Public Institutions

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Assist/ Canada Assoc Director	Canada	Public	Mean	85,974		86,332
		Median	82,482		82,482	
		Total	Std. Deviation	15,363		15,410
			N	12	1	12
			Mean	85,974		86,332
			Median	82,482		82,482
			Std. Deviation	15,363		15,410
			N	12	1	12



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
Assist/	Other	Private	Mean	71,284		71,284	Assist/	Total	Private	Mean	104,732	11,990	110,727		
Assoc Director			Median	67,873		67,873	Assoc Director			Median	99,955	10,939	107,500		
			Std. Deviation	5,908		5,908		Pub		Std. Deviation	27,355	4,541	30,456		
			N	3		3				N	68	34	68		
		Public	Mean	60,800	9,582	66,550					Public	Public	Mean	91,473	8,640
			Median	76,970	3,479	76,970				Median	90,000	4,000	90,072		
		Std. Deviation	47,971	11,843	51,583				Std. Deviation	26,876	9,807	28,273			
			N	5	3	5			Other	N	66	11	66		
		Other	Mean							Mean					
			Median							Median					
		-	Std. Deviation							Std. Deviation					
			N	1		1				N	1		1		
	Total	Mean	68,241	9,582	71,435			Total	Mean	98,188	11,171	101,911			
			Median	76,970	3,479	76,970				Median	95,000	10,877	96,570		
			Std. Deviation	35,999	11,843	37,834				Std. Deviation	27,721	6,280	30,514		
			N	9	3	9				N	135	45	135		

#### Table AD-5: Assistant/Associate Director Salaries — Private vs. Public Institutions

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Assist/	USA	Male	Mean	101,662	11,077	106,335
Assoc Director			Median	99,900	10,000	105,726
			N	64	27	64
		Female	Mean	99,796	11,905	103,280
			Median	95,000	12,250	96,342
			N	41	12	41
		Not Answered	Mean	112,389		115,467
	А		Median	100,000		100,000
			N	9	2	9
		Total	Mean	101,837	11,455	105,957
			Median	96,826	10,877	101,045
			N	114	41	114
	Canada	Male	Mean	93,039		93,039
			Median	91,681		91,681
			N	5		5
		Female	Mean	80,928		81,541
			Median	78,216		78,216
			N	7	1	7
		Total	Mean	85,974		86,332
			Median	82,482		82,482
			N	12	1	12

#### Table AD-6: Assistant/Associate Director Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Assist/ Assoc	Other	Male	Mean	62,920		68,262
Director			Median	67,873		67,873
			N	5	2	5
		Female	Mean	95,242		95,242
			Median	96,311		96,311
			N	3		3
		Not	Mean			
		Answered	Median			
			N	1	1	1
		Total	Mean	68,241	9,582	71,435
	Total		Median	76,970	3,479	76,970
			N	9	3	9
		Male	Mean	98,461	11,234	102,864
			Median	96,096	10,000	101,045
			Ν	74	29	74
		Female	Mean	96,938	11,320	99,823
			Median	93,700	11,000	95,000
			N	51	13	51
		Not	Mean	102,534	9,912	105,507
		Answered	Median	97,500	13,700	97,500
			N	10	3	10
		Total	Mean	98,188	11,171	101,911
			Median	95,000	10,877	96,570
			N	135	45	135

Table AD-6	Assistant/Associate Director	<sup>•</sup> Salaries by Gender

## AUTM Salary Survey: 2006

		USA					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	114	41	114			
	Missing	-	73	-			
Mean		101,837	11,455	105,957			
Median		96,826	10,877	101,045			
Std. Deviation	ı	26,379	5,909	29,384			
Percentiles	10	69,000	4,200	70,000			
	25	81,750	6,950	84,126			
	50	96,826	10,877	101,045			
	75	121,996	15,360	124,200			
	90	140,500	17,500	150,350			

#### Table AD-7: Assistant/Associate Director Salaries by Percentiles

			CANADA					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	12	1	12				
	Missing	-	11	-				
Mean		85,974		86,332				
Median		82,482		82,482				
Std. Deviation	ı	15,363		15,410				
Percentiles	10	66,074		66,074				
	25	75,335		75,335				
	50	82,482		82,482				
	75	95,784		95,784				
	90	114,695		114,695				

		OTHER					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	9	3	9			
	Missing	-	6	-			
Mean		68,241	9,582	71,435			
Median		76,970	3,479	76,970			
Std. Deviation	ı	35,999 11,843		37,834			
Percentiles	10	6,263	2,035	9,742			
	25	40,855	2,035	41,873			
	50	76,970	3,479	76,970			
	75	95,966	23,232	103,810			
	90	111,310	23,232	118,854			



Table AD-8: Assis	stant/Associate Director
Salaries by U	rban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Assistant/	USA	Urban	Mean	105,326	11,741	110,339
Associate Director			Median	100,000	10,877	107,000
			N	89	38	89
		Non-	Mean	89,417	7,833	90,357
		Urban	Median	85,908	3,000	85,908
			N	25	3	25
	Total	Mean	101,837	11,455	105,957	
			Median	96,826	10,877	101,045
			N	114	41	114
	Canada	Urban	Mean	85,986		85,986
			Median	80,959		80,959
			N	11		11
		Non-	Mean			
		Urban	Median			
			N	1	1	1
		Total	Mean	85,974		86,332
			Median	82,482		82,482
			N	12	1	12

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
Assistant/	Other	Urban	Mean	68,241	9,582	71,435		
Associate Director			Median	76,970	3,479	76,970		
			N	9	3	9		
		Total	Mean	68,241	9,582	71,435		
			Median	76,970	3,479	76,970		
			N	9	3	9		
	Total	l Urban	Mean	100,312	11,583	104,669		
			Median	96,311	10,877	101,517		
			N	109	41	109		
		Non-	Mean	89,280	6,948	90,349		
		Urban	Median	85,880	3,646	88,026		
			N	26	4	26		
		Total	Mean	98,188	11,171	101,911		
			Median	95,000	10,877	96,570		
			N	135	45	135		

#### Table AD-8: Assistant/Associate Director Salaries by Urban vs. Non-Urban



Position	USA or Other	Research Expenditures in US\$	Mean	Median	Std. Deviation	N
Assist/	USA	\$0M to \$100M	86,139	83,700	27,749	14
Assoc Director		\$101M to \$250M	94,034	85,000	29,784	31
		\$250M to \$500M	110,616	109,400	21,726	40
		\$500M+	104,348	99,800	23,680	25
		Total	101,403	96,171	26,587	110
	Canada	\$0M to \$100M				1
		\$101M to \$250M	78,125	75,979		N<10
		\$250M to \$500M	93,830	91,681	14,589	7
		Total	85,974	82,482	15,363	12
	Other	\$1M to \$100M				2
		\$101M to \$249M				2
		\$250M to \$449M	71,284	67,873		N<10
		\$500M+				1
		Total	75,988	77,538	29,389	8
	Total	\$0M to \$100M	81,086	82,400	31,096	17
		\$101M to \$250M	92,320	80,000	27,983	37
		\$250M to \$500M	105,906	100,000	22,705	50
		\$500M+	104,013	97,711	23,265	26
		Total	98,415	95,000	26,785	130

#### Table AD-9: Assistant/Associate Director Salaries by Size of Research Budget

Position	USA or Other	N of Reports	Mean	Median	Std. Deviation	N
Assist/	USA	0 Reports	91,592	90,320	21,070	52
Assoc Director		1-2 Reports	106,032	102,445	26,717	34
		3-5 Reports	115,803	108,800	28,451	17
		6-10 Reports	130,500	136,000	19,191	6
		11+ Reports				1
		Total	102,132	96,826	26,311	110
	Canada	0 Reports				1
		1-2 Reports				2
		3-5 Reports	76,230	77,266	8,806	5
		6-10 Reports				1
		Total	81,985	78,216	13,143	9
	Other	0 Reports				2
		1-2 Reports				1
		3-5 Reports	55,029	67,873	32,867	4
		6-10 Reports				1
		Total	64,732	72,421	36,802	8
	Total	0 Reports	91,785	90,640	20,760	55
		1-2 Reports	104,920	100,000	26,141	37
		3-5 Reports	98,843	95,921	35,568	26
		6-10 Reports	109,382	130,500	45,697	8
		11+ Reports				1
		Total	98,348	95,000	28,079	127

#### Table AD-10: Assistant/Associate Director Salaries by Number of Direct Reports



Position	USA or Other	Tech Offices FTEs	Mean	Median	Std. Deviation	N
Assist/	USA	1-5 FTEs	82,546	82,200	24,303	24
Assoc Director		6-10 FTEs	101,104	96,000	25,393	33
		11-19 FTEs	99,658	96,570	20,546	27
		20+ FTEs	120,039	122,800	22,299	30
		Total	101,837	96,826	26,379	114
	Canada	6-10 FTEs				2
		11-19 FTEs	78,411	74,691	6,444	3
		20+ FTEs	93,830	91,681	14,589	7
		Total	85,974	82,482	15,363	12
	Other	1-5 FTEs	60,938	76,970	48,097	5
		6-10 FTEs				1
		20+ FTEs	71,284	67,873		N<10
		Total	68,241	76,970	35,999	9
	Total	1-5 FTEs	78,820	82,000	29,743	29
		6-10 FTEs	99,214	94,661	25,413	36
		11-19 FTEs	97,533	95,171	20,576	30
		20+ FTEs	111,796	114,868	25,313	40
		Total	98,188	95,000	27,721	135

#### Table AD-11: Assistant/Associate Director Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

#### U.S.:

There was a 22% increase in the number of U.S. respondents for this position. The mean salary was almost flat, rising about one percent. It is of note that ADs with 0-2 years experience are earning \$100,000 while the incumbent group with 3 to 9 years experience are at least 6% under the mean. Total employees in the office accounts for 30% of the variance for salaries in this position. In order of importance, control, years in technology transfer, and U.S./Canada together account for an additional 25% of variance in salary alone.

The gap between male and female AD salaries is less than 2%.

The negative relationship reported in 2004 regarding the research expenditures and salary appears to have reversed for 2006.

#### Canada:

The public institution salaries for this position increased; however, the private school respondent level was below our reportable value. Total employees in the office accounts for 30% of the variance for salaries in this position. In order of importance, control, years in technology transfer, and U.S./Canada together account for an additional 25% of variance in salary alone.

#### International:

With International Assistant/Associate Director data, the base was about 30% less than the U.S., and most variance in the salary can be attributed to head count, research expenditures and direct reports.



#### Position #3: Licensing Associate (Tables LA-1 through LA-11)

#### **Position description:**

The Licensing Associate is responsible for case management including identifying, evaluating, and licensing inventions made by researchers at the institution. Specific activities include: identifying technologies with commercial applications; evaluating the commercial potential of inventions; identifying potential licensees; preparing non-confidential, technical information for marketing purposes; developing and implementing specific marketing strategies for each technology; and drafting and negotiating license agreements and other types of agreements including material transfer, collaboration, inter-institutional and nondisclosure agreements.

- Other possible titles: Licensing Specialist or Licensing Manager
- Possible degrees: Ph.D., M.B.A., J.D., M.S., B.S., B.A.
- Years of experience: 2-10
- Signatory Authority:

Typically will not have

• **Reports to:** Assist/Assoc Dir, or Dir if no Assist/Assoc Dir present

#### Supervisory responsibilities:

May oversee Licensing Assistants or support staff

#### Table LA-1: General Salary Data for Licensing Associates

					5	
Position		Control	Mean	Median	Std. Deviation	N
Licensing Associate	USA	Private	86,705	78,000	28,888	73
ASSOCIATE		Public	76,369	71,648	19,455	118
		Other	71,000	70,000	6,055	4
		Total	80,128	74,400	23,773	195
	Canada	Private				2
		Public	64,739	64,389	10,970	32
		Other	89,457	78,984		
		Total	65,738	64,389	13,902	37
	Other	Private	65,376	67,873	4,994	4
		Public	58,486	68,969	29,405	14
		Total	60,017	67,873	25,967	18
	Total	Private	84,598	76,000	28,850	79
		Public	72,573	69,643	20,076	164
		Other	78,910	77,782	15,442	7
		Total	76,550	71,648	23,695	250

Table LA-2:         Licensing Associate Salaries by Highest Degre							
Position		Highest Degree	Mean	Median	Std. Deviation	N	
Licensing	USA	BS/BA	68,538	63,346	23,794	29	
Associate		MS/MA	75,618	71,065	17,560	30	
		MBA	84,530	82,738	23,419	27	
		Law	76,091	75,000	21,454	11	
		MD				1	
		PhD	83,159	75,000	23,880	91	
		Missing	102,842	104,700	32,529	6	
		Total	80,128	74,400	23,773	195	
	Canada	BS/BA	60,877	53,228	14,191	5	
		MS/MA	62,883	65,247	13,460	8	
		МВА	62,392	64,020	9,140	14	
		PhD	77,095	70,862	18,347	8	
		Other				2	
		Total	65,738	64,389	13,902	37	
	Other	BS/BA				2	
		MS/MA	68,934	76,609	22,719	4	
		Law	36,412	40,421	21,664	3	
		PhD	64,936	67,873	24,849	9	
		Total	60,017	67,873	25,967	18	
	Total	BS/BA	66,747	62,174	23,278	36	
		MS/MA	72,556	70,065	17,697	42	
		МВА	76,970	68,000	22,284	41	
		Law	67,588	68,000	26,678	14	
		MD				1	
		PhD	81,191	73,916	23,971	108	
		Other				2	
		Missing	102,842	104,700	32,529	6	
		Total	76,550	71,648	23,695	250	

#### Table LA-2: Licensing Associate Salaries by Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Licensing	USA	0-2 years	71,291	65,000	26,772	56
Associate		3-4 years	79,041	75,000	21,668	40
		5-9 years	81,646	79,000	17,666	64
		10+ years	89,133	89,500	23,646	22
		Total	78,792	72,501	22,929	182
	Canada	0-2 years	50,853	51,511	3,192	6
		3-4 years	59,369	60,758	6,857	10
		5-9 years	67,627	68,704	9,249	11
		10+ years	78,961	75,142	15,293	10
		Total	65,738	64,389	13,902	37
	Other	0-2 years	53,014	55,792	33,358	5
		3-4 years	71,110	70,605	14,233	4
		5-9 years	57,865	67,873	28,204	8
		10+ years				1
		Total	60,017	67,873	25,967	18
	Total	0-2 years	68,097	65,000	26,803	67
		3-4 years	74,811	70,000	20,602	54
		5-9 years	77,496	74,000	19,550	83
		10+ years	85,407	80,000	21,560	33
		Total	75,328	70,467	22,866	237

#### Table LA-3: Licensing Associate Salaries by Years of Technology Transfer Experience

#### Table LA-4: Licensing Associate Salaries by Region

		US-EAST							
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06					
N	Valid	78	12	78					
	Missing	-	66	-					
Με	an	89,021	4,958	89,784					
Percentiles	10	56,080	1,500	56,080					
	25	70,000	2,125	70,000					
	50	83,400	5,000	86,100					
	75	110,191	5,750	110,191					
	90	135,145	14,050	135,145					

			US-CENTRAL						US-WEST
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				Salary in US\$ at 1/1/06	US\$ at US\$ at
N	Valid	85	1	85	N		Valid	Valid 32	Valid 32 7
	Missing	-	84	-			Missing	Missing -	Missing - 25
Ме	an	73,768		73,898	м	e	ean	<b>ean</b> 75,345	ean 75,345 5,686
rcentiles	10	52,000		52,000	Percentiles		10	<b>10</b> 58,080	<b>10</b> 58,080 3,000
	25	59,641		59,641			25	<b>25</b> 65,000	<b>25</b> 65,000 4,000
	50	68,243		68,243		ľ	50	<b>50</b> 70,648	<b>50</b> 70,648 6,000
	75	86,749		86,749		Ī	75	<b>75</b> 90,563	<b>75</b> 90,563 6,800
	90	100,713		100,713		Γ	90	<b>90</b> 100,000	<b>90</b> 100,000 8,000



		CANADA							
		Salary in Bonus in US\$ at US\$ at 1/1/06 1/1/06		Salary + Bonus in US\$ at 1/1/06					
N	Valid	37	4	37					
	Missing	-	33	-					
Me	an	65,738	2,361	65,993					
Percentiles	10	47,218	859	47,218					
	25	54,988	1,180	54,988					
	50	64,389	2,146	64,389					
75		73,403	3,756	74,261					
	90	79,552	4,293	79,552					

#### Table LA-4: Licensing Associate Salaries by Region

		NON-US						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	18	9	18				
	Missing	-	9	-				
Me	ean	60,017	7,887	63,961				
Percentiles	10	12,139	2,035	14,179				
	25	39,609	2,126	43,850				
	50	67,873	5,654	67,873				
	75	82,327	13,275	86,906				
	90	85,429	17,344	95,801				

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	USA	Private	Mean	86,705	6,108	87,709	Licensing	Canada	Private	Mean			
Associate			Median	78,000	5,500	80,800	Associate			Median			
			Std. Deviation	28,888	3,835	28,698				Std. Deviation			
			N	73	12	73				N	2		2
		Public	Mean	76,369	4,625	76,682			Public	Mean	64,739	2,361	65,034
			Median	71,648	3,250	71,648				Median	64,389	2,146	64,389
			Std. Deviation	19,455	3,441	19,667				Std. Deviation	10,970	1,424	11,067
			N	118	8	118				N	32	4	32
		Other	Mean	71,000		71,000			Other	Mean	89,457		89,457
			Median	70,000		70,000				Median	78,984		78,984
			Std. Deviation	6,055		6,055				Std. Deviation	19,192		19,192
			N	4		4				N	3		3
		Total	Mean	80,128	5,515	80,694			Total	Mean	65,738	2,361	65,993
			Median	74,400	5,000	74,800				Median	64,389	2,146	64,389
			Std. Deviation	23,773	3,665	23,869				Std. Deviation	13,902	1,424	13,949
			N	195	20	195				N	37	4	37

#### Table LA-5: Licensing Associate Salaries — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	Other	Private	Mean	65,376		65,376	Licensing	Total	Private	Mean	84,598	6,108	85,526
Associate			Median	67,873		67,873	Associate			Median	76,000	5,500	78,000
			Std. Deviation	4,994		4,994				Std. Deviation	28,850	3,835	28,744
			N	4		4				N	79	12	79
		Public	Mean	58,486	7,887	63,556			Public	Mean	72,573	5,592	73,289
		Median	68,969	5,654	74,981				Median	69,643	4,000	70,000	
			Std. Deviation	29,405	5,804	32,175				Std. Deviation	20,076	4,770	20,331
			N	14	9	14				N	164	21	164
		Total	Mean	60,017	7,887	63,961			Other	Mean	78,910		78,910
			Median	67,873	5,654	67,873				Median	77,782		77,782
		1	Std. Deviation	25,967	5,804	28,225				Std. Deviation	15,442		15,442
			N	18	9	18				N	7		7
			I			<u> </u>			Total	Mean	76,550	5,780	77,313
										Median	71,648	5,000	72,000
										Std. Deviation	23,695	4,398	23,818

#### Table LA-5: Licensing Associate Salaries — Private vs. Public Institutions

Ν

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Associate	USA	Male	Mean	81,014	5,188	81,392
Associate			Median	73,500	5,500	73,500
			N	110	8	110
		Female	Mean	80,060	6,900	80,567
			Median	75,000	5,000	75,000
			N	68	5	68
		Not Answered	Mean	74,668	4,900	76,686
			Median	75,000	6,000	75,000
			N	17	7	17
		Total	Mean	80,128	5,515	80,694
			Median	74,400	5,000	74,800
			N	195	20	195
	Canada	Male	Mean	69,678	2,862	70,036
			Median	68,304	2,146	68,821
			N	24	3	24
		Female	Mean	58,464		58,530
			Median	55,975		55,975
			N	13	1	13
		Total	Mean	65,738	2,361	65,993
			Median	64,389	2,146	64,389
			N	37	4	37

#### Table LA-6: Licensing Associate Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Associate	Other	Male	Mean	61,880	9,765	66,220
ASSociate			Median	67,873	11,848	67,873
			Ν	9	4	9
		Female	Mean	58,154	6,385	61,701
			Median	67,873	4,728	67,873
			N	9	5	9
		Total	Mean	60,017	7,887	63,961
			Median	67,873	5,654	67,873
			N	18	9	18
	Total	Male	Mean	77,907	5,943	78,531
			Median	72,000	5,000	72,000
			N	143	15	143
		Female	Mean	74,750	6,117	75,497
			Median	70,000	4,728	70,000
			N	90	11	90
		Not	Mean	74,668	4,900	76,686
		Answered	Median	75,000	6,000	75,000
			N	17	7	17
		Total	Mean	76,550	5,780	77,313
			Median	71,648	5,000	72,000
			N	250	33	250

Table LA-6:         Licensing Associate Salaries by Gender
--

		USA						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	195	20	195				
	Missing	-	175	-				
Mean	Mean		5,515	80,694				
Median		74,400	5,000	74,800				
Std. Deviation	ı	23,773	3,665	23,869				
Percentiles	10	55,000	1,550	55,000				
	25	65,000	2,625	65,000				
	50	74,400	5,000	74,800				
	75	92,000	6,000	92,698				
	90	112,128	10,700	112,792				

#### Table LA-7: Licensing Associate Salaries by Percentiles

			Canada		
			Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
N	Valid	37	4	37	
	Missing	-	33	-	
Mean		65,738	2,361	65,993	
Median		64,389	2,146	64,389	
Std. Deviation	ı	13,902	1,424	13,949	
Percentiles	10	47,218	859	47,218	
	25	54,988	1,180	54,988	
	50	64,389	2,146	64,389	
	75	73,403	3,756	74,261	
	90	79,552	4,293	79,552	

			Other		
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
N	Valid	18	9	18	
	Missing	-	9	-	
Mean		60,017	7,887	63,961	
Median		67,873	5,654	67,873	
Std. Deviation	ı	25,967	5,804	28,225	
Percentiles	10	12,139	2,035	14,179	
	25	39,609	2,126	43,850	
	50	67,873	5,654	67,873	
	75	82,327	13,275	86,906	
	90	85,429	17,344	95,801	



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	USA	Urban	Mean	80,783	4,989	81,402
Associate			Median	72,670	5,000	74,000
			N	145	18	145
		Non- Urban	Mean	78,230		78,640
			Median	75,842		75,842
			N	50	2	50
		Total	Mean	80,128	5,515	80,694
			Median	74,400	5,000	74,800
			N	95	20	195
	Canada	Urban	Mean	65,829	1,717	65,985
			Median	64,389	2,146	64,389
			Ν	33	3	33
		Non-	Mean	64,990		66,063
		Urban	Median	64,346		66,492
			N	4	1	4
		Total	Mean	65,738	2,361	65,993
			Median	64,389	2,146	64,389
			N	37	4	37

#### Table LA-8: Licensing Associate Salaries by Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	Other	Urban	Mean	61,361	7,887	65,536
Associate			Median	67,873	5,654	67,873
			N	17	9	17
		Non-	Mean			
		Urban	Median			
			N	1		1
		Total	Mean	60,017	7,887	63,961
			Median	67,873	5,654	67,873
			N	18	9	18
	Total	Urban	Mean	76,559	5,531	77,410
			Median	71,256	5,000	72,000
			N	195	30	195
		Non-	Mean	76,521	8,264	76,971
		Urban Total	Median	75,000	4,293	75,000
			N	55	3	55
			Mean	76,550	5,780	77,313
			Median	71,648	5,000	72,000
			N	250	33	250

#### Table LA-8: Licensing Associate Salaries by Urban vs. Non-Urban



Licensing AssociateUSA\$0M to \$100M\$65,721\$63,809\$15,934\$21\$101M to \$250M74,637\$68,243\$18,903\$50\$250M to \$500M80,52377,000\$21,014\$62\$500M+91,550\$87,000\$27,090\$11Total80,29075,000\$24,088\$18,903Canada\$0M to \$100M\$51,389\$47,2189,042\$5\$101M to \$250M60,594\$63,359\$8,743\$10\$250M to \$500M73,88370,985\$13,276\$18Total66,44864,646\$14,233\$33Other\$0M to \$100M\$6,340\$57,840\$28,319\$8\$101M to \$250M\$6,376\$67,873\$4,994\$4\$250M to \$500M\$65,376\$67,873\$4,994\$4\$101M to \$250M\$63,302\$67,873\$4,994\$4\$250M to \$500M\$63,367\$67,873\$4,994\$4\$250M to \$500M\$65,376\$67,873\$4,994\$4\$250M to \$500M\$63,302\$67,873\$2,585\$17\$250M to \$500M\$63,302\$67,873\$2,585\$17\$250M to \$100M\$61,406\$9,114\$19,162\$3\$101M to \$250M\$71,720\$66,396\$18,732\$61\$250M to \$500M\$78,379\$73,916\$19,430\$84\$250M to \$500M\$78,379\$3,916\$19,430\$61\$101M to \$250M\$71,720\$66,396\$18,732\$61 <t< th=""><th>Position</th><th></th><th>Research Expenditures in US\$</th><th>Mean</th><th>Median</th><th>Std. Deviation</th><th>N</th></t<>	Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	N
\$101 ht o \$250 M74,63768,24318,90350\$250 ht o \$500 M80,52377,00021,01462\$500 M+91,55087,00029,70951Total80,29075,00024,088184Canada\$0 M to \$100 M51,38947,2189,0425\$101 M to \$250 M60,59463,3598,74310\$250 M to \$500 M73,88370,98513,27618\$250 M to \$500 M73,88370,98513,27618\$250 M to \$500 M56,34057,84028,3198\$250 M to \$100 M56,34057,84028,3198\$250 M to \$500 M65,37667,8734,9944\$250 M to \$500 M65,37667,8734,9944\$250 M to \$500 M65,37667,8734,9944\$250 M to \$500 M65,37667,8732,58517\$250 M to \$500 M61,40659,11419,16234\$250 M to \$500 M61,40659,11419,16234\$250 M to \$500 M61,40659,11419,16234\$101 M to \$250 M71,72066,39618,73261\$250 M to \$500 M78,37973,91619,43084\$250 M to \$500 M78,37973,91619,43084\$250 M to \$500 M78,37973,91619,43084\$250 M to \$500 M78,37973,91619,43084\$250 M to \$500 M78,37973,91619,430 <t< td=""><td>Licensing</td><td>USA</td><td>\$0M to \$100M</td><td>65,721</td><td>63,809</td><td>15,934</td><td>21</td></t<>	Licensing	USA	\$0M to \$100M	65,721	63,809	15,934	21
Formula         Formula <t< td=""><td>Associate</td><td></td><td>\$101M to \$250M</td><td>74,637</td><td>68,243</td><td>18,903</td><td>50</td></t<>	Associate		\$101M to \$250M	74,637	68,243	18,903	50
Total         80,290         75,000         24,088         184           Canada         \$0M to \$100M         51,389         47,218         9,042         5           \$101M to \$250M         60,594         63,359         8,743         10           \$250M to \$500M         73,883         70,985         13,276         18           Total         66,448         64,646         14,233         33           Other         \$0M to \$100M         56,340         57,840         28,319         8           \$101M to \$250M         65,376         67,873         4,994         4           \$250M to \$500M         65,376         67,873         4,994         4           \$500M+         81,682         81,245         3,362         4           \$500M+         61,406         59,114         19,162         34           \$101 M to \$250M         61,406         59,114         19,162         34           \$101 M to \$100M         61,406         59,114         19,162         34           \$101 M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$250M to \$500M <td></td> <td></td> <td>\$250M to \$500M</td> <td>80,523</td> <td>77,000</td> <td>21,014</td> <td>62</td>			\$250M to \$500M	80,523	77,000	21,014	62
Canada         SOM to \$100M         51,389         47,218         9,042         5           \$101M to \$250M         60,594         63,359         8,743         10           \$250M to \$500M         73,883         70,985         13,276         18           Total         66,448         64,646         14,233         33           Other         \$0M to \$100M         56,340         57,840         28,319         8           \$101M to \$250M         65,376         67,873         4,994         4           \$250M to \$500M         65,376         67,873         4,994         4           \$250M to \$500M         65,376         67,873         4,994         4           \$500M+         81,682         81,245         3,362         4           \$500M+         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$100M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$500M+			\$500M+	91,550	87,000	29,709	51
Image: Section of the sectio			Total	80,290	75,000	24,088	184
\$250M to \$500M         73,883         70,985         13,276         18           Total         66,448         64,646         14,233         33           Other         \$0M to \$100M         56,340         57,840         28,319         8           \$101M to \$250M         65,376         67,873         4,994         4           \$500M+         81,682         81,245         3,362         4           \$500M+         81,682         81,245         3,362         4           \$70tal         63,302         67,873         22,585         17           Total         \$0M to \$100M         61,406         59,114         19,162         34           \$500M+         81,682         81,245         3,362         4           \$500M+         81,682         81,245         3,362         4           \$500M+         81,682         81,245         3,362         34           \$500M+         81,682         81,245         3,362         34           \$500M+         81,682         81,245         3,362         34           \$500M to \$500M         61,406         59,114         19,162         34           \$250M to \$500M         78,379         73,916 <td></td> <td>Canada</td> <td>\$0M to \$100M</td> <td>51,389</td> <td>47,218</td> <td>9,042</td> <td>5</td>		Canada	\$0M to \$100M	51,389	47,218	9,042	5
Total         66,448         64,646         14,233         33           Other         \$0M to \$100M         56,340         57,840         28,319         8           \$101M to \$250M         56,340         57,840         28,319         8           \$250M to \$500M         65,376         67,873         4,994         4           \$500M+         81,682         81,245         3,362         4           Total         63,302         67,873         22,585         17           Total         63,302         67,873         22,585         17           Total         63,302         67,873         22,585         17           \$250M to \$100M         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55			\$101M to \$250M	60,594	63,359	8,743	10
Other         \$0M to \$100M         56,340         57,840         28,319         8           \$101M to \$250M         5.376         67,873         4,994         4           \$250M to \$500M         65,376         67,873         4,994         4           \$500M+         81,682         81,245         3,362         4           Total         63,302         67,873         22,585         17           \$0M to \$100M         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M+         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55			\$250M to \$500M	73,883	70,985	13,276	18
Financial         Financial <t< td=""><td></td><td></td><td>Total</td><td>66,448</td><td>64,646</td><td>14,233</td><td>33</td></t<>			Total	66,448	64,646	14,233	33
Image: Section of the sectio		Other	\$0M to \$100M	56,340	57,840	28,319	8
\$500M+         81,682         81,245         3,362         4           Total         63,302         67,873         22,585         17           Total         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55			\$101M to \$250M				1
Total         63,302         67,873         22,585         17           Total         63,302         67,873         22,585         17           Total         \$0M to \$100M         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55			\$250M to \$500M	65,376	67,873	4,994	4
Total         \$0M to \$100M         61,406         59,114         19,162         34           \$101M to \$250M         71,720         66,396         18,732         61           \$250M to \$500M         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55			\$500M+	81,682	81,245	3,362	4
\$101M to \$250M       71,720       66,396       18,732       61         \$250M to \$500M       78,379       73,916       19,430       84         \$500M+       90,832       81,321       28,715       55			Total	63,302	67,873	22,585	17
\$250M to \$500M         78,379         73,916         19,430         84           \$500M+         90,832         81,321         28,715         55	-	Total	\$0M to \$100M	61,406	59,114	19,162	34
\$500M+         90,832         81,321         28,715         55			\$101M to \$250M	71,720	66,396	18,732	61
			\$250M to \$500M	78,379	73,916	19,430	84
<b>Total</b> 77,104 72,000 23,591 234			\$500M+	90,832	81,321	28,715	55
			Total	77,104	72,000	23,591	234

#### Table LA-9: Licensing Associate Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Licensing	USA	0 Reports	78,892	72,332	23,462	167
Associate		1-2 Reports	82,152	76,872	22,779	15
		3-5 Reports	97,334	95,501	23,355	6
		6-10 Reports	109,000	122,000	26,000	4
		Total	80,351	74,700	23,869	192
	Canada	0 Reports	57,993	57,521	8,870	16
		1-2 Reports	65,749	65,950	7,509	6
		3-5 Reports	82,692	77,782	16,456	5
		Total	64,290	64,045	13,708	27
	Other	0 Reports	76,110	79,609	10,372	8
		1-2 Reports	64,706	63,881	17,935	6
		3-5 Reports				1
		6-10 Reports				1
		Total	63,395	67,873	25,442	16
	Total	0 Reports	77,025	71,295	22,907	191
		1-2 Reports	74,630	70,714	20,632	27
		3-5 Reports	83,470	79,492	31,986	12
		6-10 Reports	89,805	122,000	48,469	5
		Total	77,351	72,000	23,828	235

 Table LA-10:
 Licensing Associate Salaries by Number of Direct Reports



Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Licensing	USA	1-5 FTEs	65,081	63,809	14,579	31
Associate		6-10 FTEs	76,515	72,000	17,705	61
		11-19 FTEs	72,825	70,004	15,873	54
		20+ FTEs	102,194	100,000	27,594	49
		Total	80,128	74,400	23,773	195
	Canada	1-5 FTEs	51,082	47,218	7,798	5
		6-10 FTEs	59,967	60,096	9,155	8
		11-19 FTEs	61,212	60,783	9,732	6
		20+ FTEs	73,883	70,985	13,276	18
		Total	65,738	64,389	13,902	37
	Other	1-5 FTEs	33,220	34,408	28,707	4
		6-10 FTEs	68,592	81,245	24,022	10
		20+ FTEs	65,376	67,873	4,994	4
		Total	60,017	67,873	25,967	18
	Total	1-5 FTEs	60,145	59,945	18,368	40
		6-10 FTEs	73,836	72,000	18,550	79
		11-19 FTEs	71,664	70,000	15,706	60
		20+ FTEs	92,942	82,738	27,618	71
		Total	76,550	71,648	23,695	250

#### Table LA-11: Licensing Associate Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

#### U.S.:

The 2006 survey saw a 20% decline in the number of U.S. Licensing Associate respondents, but a 5% overall increase in salary, with heavier gains realized at the public institutions (~9%). The number of Licensing Associates incumbents with 10+ years dropped by half, while the number of Assistant/Associate directors increased.

Education level remained consistent with the 2004 survey, with small declines in the Ph.D. level. Years of experience have a positive relationship on salary, as much as 10% higher than with 10+ years of experience.

# AUTM Salary Survey: 2006

The U.S. East region is about 10% higher than the mean, consistent with 2004 results. There was not a statistically significant difference between urban and rural licensing associates.

Together and in order of importance, total office head count, control, years in technology transfer and education level together account for 37% of variance in salary. Total Head Count alone accounts for 25%. So the other four together account for only an additional 12%.

#### Canada:

The data is reported without input from private institutions that had only two respondents. Together and in order of importance, total office head count, control, years in technology transfer and education level together account for 37% of variance in salary. Total head count alone accounts for 25%. So the other four together account for only an additional 12%.

#### International:

For international licensing associates, the major influence on the salary seems to be the level of the research expenditures, followed closely by technology transfer office head count.



#### Position #4: Licensing Assistant (Tables LST-1 through LST-11)

#### **Position description:**

The Licensing Assistant does not draft or negotiate license agreements or other types of agreements but assists in the licensing process. This assistance may be in the pretransaction phase by evaluating the commercial potential of inventions; performing market research or Web-based patent searches; identifying potential licensees, and preparing non-confidential, technical information for marketing purposes. Assistance may also be in the post-transaction phase by supervising licensee compliance with both financial and non-financial contractual terms of the license. This position may focus on reviewing and negotiating incoming and outgoing material transfer agreements.

- Other possible titles: License Manager or Licensing Manager
- Possible degrees: Ph.D., J.D., M.B.A., M.S., B.S., B.A., Assoc.
- Years of experience: 0-4
- Signatory Authority: None, generally
- **Reports to:** Licensing Associate or to the Associate/Assistant Director, or in the absence of an Assistant/ Associate Director, to the Director

Supervisory responsibilities: None, generally

#### Table LST-1: General Salary Data for Licensing Assistant

			5		0	
Position		Control	Mean	Median	Std. Deviation	N
Licensing Assistant	USA	Private	51,046	48,053	8,240	24
Assistant		Public	67,139	62,721	25,904	63
		Total	62,699	57,000	23,543	87
	Canada	Private				1
		Public	46,783	48,885	6,676	11
		Other				1
		Total	45,892	48,885	7,401	13
	Other	Private	44,810	45,539	1,630	5
		Public	34,351	35,055	17,909	6
		Total	39,105	41,895	13,830	11
	Total	Private	49,379	46,600	8,384	30
		Public	61,881	55,000	25,767	80
		Other				1
		Total	58,393	54,000	22,957	111

				g. 00		
Position		Highest Degree	Mean	Median	Std. Deviation	N
Licensing	USA	Assoc	43,333	45,000	12,583	3
Assistant		BS/BA	48,094	42,000	17,405	17
		MS/MA	62,571	61,000	18,501	7
		MBA	68,875	66,250	17,712	4
		Law	72,000	69,500	21,784	16
		PhD	73,829	65,000	25,229	29
		Other	40,124	38,000	7,578	7
		Missing	54,963	61,065	13,358	4
		Total	62,699	57,000	23,543	87
	Canada	BS/BA	38,487	38,633	5,831	5
		MS/MA	49,326	49,416	3,576	4
		MBA				1
		PhD	50,353	50,663		<10
		Total	45,892	48,885	7,401	13
	Other	BS/BA				2
		MS/MA	30,782	36,708	18,706	4
		Law				1
		PhD	45,539	45,539	-	3
		Other				1
		Total	39,105	41,895	13,830	11
	Total	Assoc	43,333	45,000	12,583	3
		BS/BA	45,370	41,660	15,353	24
		MS/MA	50,562	49,038	20,217	15
		MBA	66,261	57,500	16,416	5
		Law	71,255	62,000	21,315	17
		PhD	69,392	60,000	24,965	35
		Other	39,135	37,456	7,552	8
		Missing	54,963	61,065	13,358	4
		Total	58,393	54,000	22,957	111

### Table LST-2:Licensing Assistant Salaries by<br/>Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Licensing	USA	0-2 years	47,373	47,200	10,351	29
Assistant		3-4 years	52,201	55,000	12,425	17
		5-9 years	48,243	41,500	17,260	5
		10+ years	52,122	55,000	6,790	3
		Total	49,237	47,600	11,521	54
	Canada	0-2 years	45,841	48,962	8,387	10
		3-4 years				2
		5-9 years				1
		Total	45,892	48,885	7,401	13
	Other	0-2 years	44,740	45,539	8,988	6
		3-4 years	41,776	41,895	3,825	3
		5-9 years				1
		10+ years				1
		Total	39,105	41,895	13,830	11
	Total	0-2 years	46,681	46,000	9,630	45
		3-4 years	50,364	45,506	11,552	22
		5-9 years	41,188	41,500	21,655	7
		10+ years	47,146	49,684	11,393	4
		Total	47,251	45,539	11,717	78

### Table LST-3:Licensing Assistant Salaries by Years of<br/>Technology Transfer Experience

### Table LST-4:Licensing AssistantSalaries by Region

			US-EAST	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	57	17	57
	Missing	-	40	-
Me	an	69,675	2,315	70,365
Percentiles	10	40,800	790	42,200
	25	48,053	1,900	50,050
	50	62,000	2,500	62,000
	75	90,500	2,750	91,500
	90	101,600	4,100	103,200

			US-WEST	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	13	1	13
	Missing	-	12	-
Me	an	43,844		43,883
Percentiles	10	30,800		31,100
	25	36,534		36,534
	50	41,000		41,000
	75	53,234		53,234
	90	62,721		62,721

			US-CENTRAL	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	17	-	17
	Missing	-	17	-
Me	an	53,729		53,729
Percentiles	10	34,315		34,315
	25	41,660		41,660
	50	55,000		55,000
	75	59,500		59,500
	90	75,600		75,600



			CANADA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	13	-	13
	Missing	-	13	-
Me	an	45,892		45,892
Percentiles	10	32,600		32,600
	25	40,780		40,780
	50	48,885		48,885
	75	51,087		51,087
	90	54,921		54,921

### Table LST-4:Licensing AssistantSalaries by Region

			NON-US	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	11	2	11
	Missing	-	9	-
Me	an	39,105		39,786
Percentiles	10	9,644		10,340
	25	32,217		32,217
	50	41,895		41,895
	75	45,539		45,539
	90	56,577		61,871

## AUTM Salary Survey: 2006

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	USA	Private	Mean	51,046	3,000	51,546	Licensing	Canada	Private	Mean			
Assistant			Median	48,053	2,750	50,050	Assistant			Median			
			Std. Deviation	8,240	707	7,890				Std. Deviation			
			N	24	4	24				N	1		1
		Public	Mean	67,139	1,989	67,581			Public	Mean	46,783		46,783
		Median	62,721	2,000	62,721				Median	48,885		48,885	
		Std. Deviation	25,904	1,048	26,403				Std. Deviation	6,676		6,676	
			N	63	14	63				N	11		11
		Total	Mean	62,699	2,214	63,157			Other	Mean			
			Median	57,000	2,250	57,000				Median			
			Std. Deviation	23,543	1,056	23,899				Std. Deviation			
			N	87	18	87				N	1		1
	1		1	1	1				Total	Mean	45,892		45,892
										Median	48,885		48,885
										Std. Deviation	7,401		7,401

Ν

13

13

#### Table LST-5 Licensing Assistant — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Assistant	Other	Private	Mean	44,810		44,810
ASSISTANT			Median	45,539		45,539
		Std. Deviation	1,630		1,630	
		N	5		5	
		Public	Mean	34,351		35,599
			Median	35,055		35,055
			Std. Deviation	17,909		19,581
			N	6	2	6
		Total	Mean	39,105		39,786
			Median	41,895		41,895
			Std. Deviation	13,830		14,694
			N	11	2	11

#### Table LST-5: Licensing Assistant — Private vs. Public Institutions

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Assistant	Total	Private	Mean	49,379	3,000	49,779
ASSISTANT			Median	46,600	2,750	47,600
			Std. Deviation	8,384	707	8,200
			N	30	4	30
		Public	Mean	61,881	2,209	62,322
			Median	55,000	2,000	55,000
			Std. Deviation	25,767	1,554	26,209
			N	80	16	80
		Other	Mean			
			Median			
			Std. Deviation			
			N	1		1
		Total	Mean	58,393	2,367	58,819
			Median	54,000	2,250	54,000
			Std. Deviation	22,957	1,446	23,303
			N	111	20	111

			3	int Salarie	-	
Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	USA	Male	Mean	73,674	2,471	74,198
Assistant			Median	68,000	2,000	68,000
			N	33	7	33
		Female	Mean	57,073	1,950	57,432
			Median	54,000	2,000	54,000
			N	49	9	49
		Not	Mean	45,400		46,400
		Answered	Median	42,000		44,500
			N	5	2	5
		Total	Mean	62,699	2,214	63,157
			Median	57,000	2,250	57,000
			N	87	18	87
	Canada	Male	Mean	46,711		46,711
			Median	48,962		48,962
			N	8		8
		Female	Mean	43,280		43,280
			Median	44,200		44,200
			N	4		4
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	45,892		45,892
			Median	48,885		48,885
			N	13		13

#### Table LST-6: Licensing Assistant Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Assistant	Other	Male	Mean	36,453		36,578
Assistant			Median	41,895		41,895
			N	7	1	7
		Female	Mean	45,697		47,903
			Median	45,539		45,539
			N	3	1	3
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	39,105		39,786
			Median	41,895		41,895
			N	11	2	11
	Total	Male	Mean	63,752	2,271	64,131
			Median	57,750	2,000	57,750
			N	48	8	48
		Female	Mean	55,479	2,417	55,910
			Median	54,000	2,250	54,000
			N	56	10	56
		Not	Mean	44,955		45,670
		Answered	Median	42,000		44,500
			N	7	2	7
		Total	Mean	58,393	2,367	58,819
			Median	54,000	2,250	54,000
			N	111	20	111

#### Table LST-6: Licensing Assistant Salaries by Gender

### Table LST-7:Licensing AssistantSalaries by Percentiles

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	87	18	87
	Missing	-	69	-
Mean		62,699	2,214	63,157
Median		57,000	2,250	57,000
Std. Deviation	l	23,543	1,056	23,899
Percentiles	10	37,782	725	37,782
	25	44,000	1,600	44,367
	50	57,000	2,250	57,000
	75	78,000	2,625	78,000
	90	95,600	4,050	96,100

			Other	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Ν	Valid	11	2	11
	Missing	-	9	-
Mean		39,105		39,786
Median		41,895		41,895
Std. Deviation	ı	13,830		14,694
Percentiles	10	9,644		10,340
	25	32,217		32,217
	50	41,895		41,895
	75	45,539		45,539
	90	56,577		61,871

			Canada	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	13	-	13
	Missing	-	13	-
Mean		45,892		45,892
Median		48,885		48,885
Std. Deviation	ı	7,401		7,401
Percentiles	10	32,600		32,600
	25	40,780		40,780
	50	48,885		48,885
	75	51,087		51,087
	90	54,921		54,921



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing	USA	Urban	Mean	64,234	2,214	64,765
Assistant			Median	58,000	2,250	58,000
			N	75	18	75
		Non-	Mean	53,111		53,111
		Urban	Median	51,503		51,503
			Ν	12		12
		Total	Mean	62,699	2,214	63,157
			Median	57,000	2,250	57,000
			Ν	87	18	87
	Canada	Urban	Mean	45,892		45,892
			Median	48,885		48,885
			N	13		13
		Total	Mean	45,892		45,892
			Median	48,885		48,885
			N	13		13

### Table LST-8:Licensing Assistant Salaries byUrban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Licensing Assistant	Other	Urban	Mean	39,794		40,543
Assistant			Median	43,717		43,717
			N	10	2	10
		Non-	Mean			
		Urban	Median			
			N	1		1
		Total	Mean	39,105		39,786
			Median	41,895		41,895
			N	11	2	11
	Total	Urban	Mean	59,307	2,367	59,790
			Median	54,000	2,250	54,000
			N	98	20	98
		Non-	Mean	51,503		51,503
		Urban	Median	48,006		48,006
			N	13		13
		Total	Mean	58,393	2,367	58,819
			Median	54,000	2,250	54,000
			N	111	20	111

### Table LST-8:Licensing Assistant Salaries by<br/>Urban vs. Non-Urban



		-		-		
Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	Ν
Licensing Assistant	USA	\$0M to \$100M	44,225	41,000	8,925	7
		\$101M to \$250M	42,500	40,500	9,989	12
		\$250M to \$500M	50,219	50,050	8,203	18
		\$500M+	77,654	76,500	22,325	46
		Total	63,802	58,000	23,536	83
	Canada	\$0M to \$100M	38,937	39,041		<10
		\$101M to \$250M	45,788	42,926		<10
		\$250M to \$500M	50,581	50,228	1,780	
		Total	45,892	48,885	7,401	13
	Other	\$0M to \$100M				2
		\$101M to \$250M				1
		\$250M to \$500M	44,810	45,539	1,630	5
		\$500M+				2
		Total	42,598	43,717	7,962	10
1	Total	\$0M to \$100M	41,370	41,000	8,227	13
		\$101M to \$250M	42,473	40,500	9,645	16
		\$250M to \$500M	49,361	48,885	6,804	29
		\$500M+	76,444	76,000	22,726	48
		Total	59,605	54,000	22,562	106
				1		

#### Table LST-9: Licensing Assistant Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Licensing	USA	0 Reports	64,768	60,000	24,089	77
Assistant		1-2 Reports	44,430	45,000	9,780	6
		Total	63,298	58,000	23,911	83
	Canada	0 Reports	46,949	48,962	6,626	12
		Total	46,949	48,962	6,626	12
	Other	0 Reports	44,571	45,539	7,507	8
		1-2 Reports				2
		3-5 Reports				1
		Total	39,105	41,895	13,830	11
	Total	0 Reports	60,898	54,000	22,958	97
		1-2 Reports	41,999	41,446	9,564	8
		3-5 Reports				1
		Total	58,936	54,000	23,280	106

## Table LST-10: Licensing Assistant Salaries by Number of Direct Reports



Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Licensing	USA	1-5 FTEs	41,658	40,500	8,772	10
Assistant		6-10 FTEs	48,527	47,650	7,423	16
		11-19 FTEs	48,383	46,503	12,565	14
		20+ FTEs	76,265	76,000	23,282	47
		Total	62,699	57,000	23,543	87
	Canada	1-5 FTEs				1
		6-10 FTEs	43,486	43,900	7,578	6
		20+ FTEs	50,581	50,228	1,780	6
		Total	45,892	48,885	7,401	13
	Other	1-5 FTEs				2
		6-10 FTEs	42,603	39,429	11,729	4
		20+ FTEs	44,810	45,539	1,630	5
		Total	39,105	41,895	13,830	11
	Total	1-5 FTEs	37,267	38,000	13,035	13
		6-10 FTEs	46,452	45,174	8,264	26
		11-19 FTEs	48,383	46,503	12,565	14
		20+ FTEs	70,897	64,500	23,766	58
		Total	58,393	54,000	22,957	111

#### Table LST-11: Licensing Assistant Salaries by Total Technology Transfer Office Head Count

## Additional data:

Compared with 2004, the number of respondents increased 66% from 67 to 111. Most of the increase was seen in personnel with 0-2 years of experience, in institutions with large (>\$500M research budgets), located in the east region. The mean salary increased approximately 18% from 2004. Also, compared with 2004, many more licensing assistants had advanced degrees, including J.D. and Ph.D.

#### Data on Licensing Assistant Bonuses

Approximately 15% of the respondents reported receiving bonuses in 2006. The bonuses were approximately 3% of base salary.

#### Key factors in compensation for this position:

#### U.S.:

Research expenditures accounts for 52% of salary variance. Years in technology transfer and education level account for another 10%.

#### Canada:

The Canadian mean salary is approximately \$15,000 less than the U.S. mean, but \$5,000 more than the International mean. Research expenditures accounts for 52% of salary variance. Years in technology transfer and education level account for another 10%.

#### International:

Unfortunately, with only a few instances reported, there are not enough cases for statistics to meaningfully account for the variance, with the possible exception of size of research budget, and large total technology transfer office head count.



## **Position #5: Marketing Manager (Tables MKT-1 through MKT-11)** Position description:

Working with licensing personnel, the Marketing Manager assists in the licensing of technologies. He or she provides assistance to the licensing associate responsible for the technology or may assume the lead, with support from the licensing associate. Marketing assistance may include some or all of the following functions: assessment of commercial viability of technology; evaluation of market size; identification of potential licensees and key contacts; initiating direct contact with potential licensees. The Marketing Manager may also be responsible for marketing the Technology Transfer Office to Industry and maintaining industry contacts. The marketing manager has no case management responsibilities and usually is only involved in identifying qualified license prospects.

• Other possible titles: Marketing Director, Marketing Specialist, Marketing Associate

M

- Possible degrees: Ph.D., M.B.A., M.S., B.S., B.A.
- Years of experience: 0-10
- Signatory Authority: None
- **Reports to:** Varies

Supervisory responsibilities: None

#### Table MKT-1: General Salary Data for Marketing Manager

able Mikt 1. General Salary Data for Marketing Manager								
Position		Control	Mean	Median	Std. Deviation	N		
Aarketing	USA	Private	80,788	66,950	48,049	11		
lanager		Public	63,249	59,500	19,401	20		
		Total	69,472	60,000	32,874	31		
	Canada	Private				1		
		Total				1		
	Other	Private				2		
		Public				1		
		Total	43,073	45,539	35,642	3		
	Total	Private	75,938	61,025	43,737	14		
		Public	60,535	59,000	22,632	21		
		Total	66,696	59,000	33,035	35		

Position		Highest Degree	Mean	Median	Std. Deviation	N
Marketing	USA	Assoc				1
Manager		BS/BA	69,083	53,500	45,858	9
		MS/MA	69,104	67,500	23,163	6
		MBA	80,656	75,000	38,805	5
		Law				1
		PhD	70,873	76,494	25,228	8
		Missing				1
		Total	69,472	60,000	32,874	31
	Canada	BS/BA				1
		Total				1
	Other	BS/BA				2
		MBA				1
		Total	43,073	45,539	35,642	3
	Total	Assoc				1
		BS/BA	66,351	52,750	40,076	12
		MS/MA	69,104	67,500	23,163	6
		МВА	68,257	67,000	46,120	6
		Law				1
		PhD	70,873	76,494	25,228	8
		Missing				1
		Total	66,696	59,000	33,035	35

# Table MKT-2:Marketing Manager Salaries by<br/>Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Marketing	USA	0-2 years	51,557	43,480	14,417	13
Manager		3-4 years	62,632	59,000	15,463	5
		5-9 years	68,791	58,123	22,149	6
		10+ years	113,749	97,500	45,421	6
		Total	69,288	59,500	33,420	30
	Canada	0-2 years				1
		Total				1
	Other	0-2 years				1
		5-9 years				2
		Total	43,073	45,539	35,642	3
	Total	0-2 years	51,152	45,000	13,438	15
		3-4 years	62,632	59,000	15,463	5
		5-9 years	62,053	58,123	29,457	8
		10+ years	113,749	97,500	45,421	6
		Total	66,452	57,050	33,500	34

# Table MKT-3:Marketing Manager Salaries by Years of<br/>Technology Transfer Experience

# Table MKT-4:Marketing ManagerSalaries by Region

		US-EAST				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
N	Valid	15	2	15		
	Missing	-	13	-		
Me	ean	67,095		68,062		
Percentiles	10	39,800		39,800		
	25	43,000		43,000		
	50	60,000		60,000		
	75	88,000		90,000		
	90	119,800		124,800		

		US-WEST				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
N	Valid	5	-	5		
	Missing	-	5	-		
Me	an	62,644		62,644		
Percentiles	10	41,132		41,132		
	25	46,566		46,566		
	50	55,100		55,100		
	75	82,494		82,494		
	90	100,000		100,000		

		<b>US-CENTRAL</b>					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	11	1	11			
	Missing	-	10	-			
Με	an	75,817		76,840			
Percentiles	10	44,396		44,396			
	25	50,000		50,000			
	50 75			61,145			
				86,250			
	90	171,000		171,000			



# Table MKT-4:Marketing ManagerSalaries by Region

		CANADA				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
N	Valid	1	-	1		
	Missing	-	1	-		
Me	ean					
Percentiles	10					
	25					
	50					
	75					
	90					

		NON-US				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		
N	Valid	3	1	3		
	Missing	-	2	-		
Me	an	43,073		43,769		
Percentiles	10	6,263		8,350		
	25	6,263		8,350		
	50	45,539		45,539		
	75	77,418		77,418		
	90	77,418		77,418		

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
Marketing	USA	Private	Mean	80,788		82,947	
Manager			Median	66,950		66,950	
			Std. Deviation	48,049		49,793	
			N	11	2	11	
		Public	Mean	63,249		63,349	
				Median	59,500		59,500
			Std. Deviation	19,401		19,540	
			N	20	1	20	
		Total	Total	Mean	69,472	8,583	70,303
			Median	60,000	11,250	60,000	
		Std. Deviation	32,874	5,735	34,046		
			N	31	3	31	

## Table MKT-5: Marketing Manager Salaries — Private vs. Public Institutions

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing	Canada	Private	Mean			
Manager			Median			
			Std. Deviation			
			N	1		1
		Total	Mean			
			Median			
			Std. Deviation			
			N	1		1



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing	Other	Other Private	Mean				Marketing	Total	Private	Mean	75,938		77,634
Manager			Median				Manager			Median	61,025		61,025
			Std. Deviation							Std. Deviation	43,737		45,418
			N	2		2				N	14	2	14
		Public	Mean						Public	Mean	60,535		60,730
			Median							Median Std. Deviation	59,000		59,000
			Std. Deviation								22,632		22,511
			N	1	1	1				N	21	2	21
		Total	Mean	43,073		43,769			Total	Mean	66,696	6,959	67,492
			Median	45,539		45,539				Median	59,000	6,669	59,000
			Std. Deviation	35,642		34,568				Std. Deviation	33,035	5,699	34,021
			N	3	1	3				N	35	4	35

## Table MKT-5: Marketing Manager Salaries — Private vs. Public Institutions

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing Manager	USA	Male	Mean	92,458		94,069
Manager			Median	88,000		90,000
			N	9	2	9
		Female	Mean	59,922		60,514
			Median	59,000		59,000
			N	19	1	19
		Not Answered	Mean	61,000		61,000
			Median	45,000		45,000
			N	3		3
		Total	Mean	69,472	8,583	70,303
			Median	60,000	11,250	60,000
			N	31	3	31
	Canada	Male	Mean			
			Median			
			Ν	1		1
		Total	Mean			
			Median			
			N	1		1

## Table MKT-6: Marketing Manager Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing	Other	Male	Mean			
Manager			Median			
			N	1	1	1
		Female	Mean			
			Median			
			N	1		1
		Not Answered	Mean			
			Median			
			N	1		1
		Total	Mean	43,073		43,769
			Median	45,539		45,539
			N	3	1	3
	Total	Male	Mean	80,900	5,529	82,408
			Median	66,950	2,088	66,950
			N	11	3	11
		Female	Mean	60,797		61,359
			Median	59,500		59,500
			N	20	1	20
		Not	Mean	57,135		57,135
		Answered	Median	45,270		45,270
			N	4		4
		Total	Mean	66,696	6,959	67,492
			Median	59,000	6,669	59,000
			N	35	4	35

## Table MKT-6: Marketing Manager Salaries by Gender

# Table MKT-7:Marketing ManagerSalaries by Percentiles

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Ν	Valid	31	3	31
	Missing	-	28	-
Mean		69,472	8,583	70,303
Median		60,000	11,250	60,000
Std. Deviation	1	32,874	5,735	34,046
Percentiles	10	43,000	2,000	43,000
	25	45,000	2,000	45,000
	50	60,000	11,250	60,000
	75	85,494	12,500	86,250
	90	102,400	12,500	102,400

			Canada				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	1	-	1			
	Missing	-	1	-			
Mean							
Median							
Std. Deviation	า						
Percentiles	10						
	25						
	50						
	75						
	90						

			Other	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	3	1	3
	Missing	-	2	-
Mean	Mean			43,769
Median		45,539		45,539
Std. Deviation	ı	35,642		34,568
Percentiles	10	6,263		8,350
	25	6,263		8,350
	50	45,539		45,539
	75	77,418		77,418
	90	77,418		77,418



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing	USA	Urban	Mean	73,207	8,583	74,327
Manager			Median	64,987	11,250	64,987
			N	23	3	23
		Non- Urban	Mean	58,734		58,734
			Median	56,250		56,250
			N	8		8
		Total	Mean	69,472	8,583	70,303
			Median	60,000	11,250	60,000
			N	31	3	31
	Canada	Urban	Mean			
			Median			
			N	1		1
		Total	Mean			
			Median			
			N	1		1

# Table MKT-8:Marketing Manager Salaries by<br/>Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Marketing	Other	Urban	Mean	43,073		43,769
Manager			Median	45,539		45,539
			N	3	1	3
		Total	Mean	43,073		43,769
			Median	45,539		45,539
			N	3	1	3
	Total	Urban	Mean	69,055	6,959	70,086
			Median	61,145	6,669	61,145
			N	27	4	27
		Non-	Mean	58,734		58,734
		Urban	Median	56,250		56,250
			N	8		8
		Total	Mean	66,696	6,959	67,492
			Median	59,000	6,669	59,000
			N	35	4	35

# Table MKT-8:Marketing Manager Salaries by<br/>Urban vs. Non-Urban



Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	N
Marketing	USA	\$0M to \$100M	54,076	52,000	11,435	7
Manager		\$101M to \$250M	77,688	73,875	18,961	4
		\$250M to \$500M	82,985	59,000	52,494	9
		\$500M+	65,250	60,250	22,953	10
		Total	69,622	59,500	33,425	30
	Canada	\$0M to \$100M				1
		Total				1
	Other	\$250M to \$500M				2
		Total				2
	Total	\$0M to \$100M	53,755	51,755	10,625	8
		\$101M to \$250M	77,688	73,875	18,961	4
		\$250M to \$500M	79,075	59,000	48,281	11
		\$500M+	65,250	60,250	22,953	10
		Total	68,579	59,000	32,275	33

## Table MKT-9: Marketing Manager Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Marketing	USA	0 Reports	61,043	57,050	18,365	22
Manager		1-2 Reports	83,400	90,000	42,724	5
		3-5 Reports	67,899	75,000	22,022	3
		Total	65,455	59,500	24,502	30
	Canada	0 Reports				1
		Total				1
	Other	0 Reports				1
		1-2 Reports				1
		3-5 Reports				1
		Total	43,073	45,539	35,642	3
	Total	0 Reports	60,000	54,300	17,922	24
		1-2 Reports	82,403	83,709	38,291	6
		3-5 Reports	52,490	59,101	35,680	4
		Total	63,070	57,050	25,498	34

## Table MKT-10: Marketing Manager Salaries by Number of Direct Reports



		5 5	5	55		
Position		Tech Office FTEs	Mean	Median	Std. Deviation	Ν
Marketing	USA	1-5 FTEs	54,361	50,673	13,546	5
Manager		6-10 FTEs	71,496	66,950	20,829	7
		11-19 FTEs	60,671	52,000	23,519	7
		20+ FTEs	79,722	65,994	45,519	12
		Total	69,472	60,000	32,874	31
	Canada	1-5 FTEs				1
		Total				1
	Other	1-5 FTEs				1
		20+ FTEs				2
		Total	43,073	45,539	35,642	3
	Total	1-5 FTEs	47,083	50,673	21,153	7
		6-10 FTEs	71,496	66,950	20,829	7
		11-19 FTEs	60,671	52,000	23,519	7
		20+ FTEs	77,116	65,994	42,851	14
		Total	66,696	59,000	33,035	35

## Table MKT-11: Marketing Manager Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

### U.S.:

There was a nominal increase in respondents this year and salaries remained stable.

Females make up 61% of the total Marketing Manager population but make about 32% less than the males. Marketing Manager positions are more common at larger institutions. Years in technology transfer (54%) and years in position (27%) account for 81% of salary variance.

#### Canada:

Years in technology transfer (54%) and years in position (27%) account for 81% of salary variance.

#### International:

With only a few instances reported, there are not enough cases for statistics to meaningfully account for the variance.



# Position #6: Business Manager (Tables BUS-1 through BUS-11)

#### **Position description:**

The Business Manager is responsible for financial functions in the office, including invoicing, receipt and disbursement of revenues associated with licenses; invoicing and receipt of reimbursements from licensees; and payments to vendors (law firms, patent agents, etc.) providing support to the office. The Business Manager may also be responsible for managing budget in support of licensing activities. He or she works closely with licensing personnel to ensure licensee compliance with financial terms of agreements.

- Other possible titles: Finance Manager
- Possible degrees: M.B.A., B.S., B.A.
- Years of experience: 0-10
- Signatory Authority: None
- **Reports to:** Varies

Table BUS-1: General Salary Data for Business Manager								
Position		Control	Mean	Median	Std. Deviation	N		
Business	USA	Private	60,620	57,000	18,216	21		
Manager		Public	57,333	50,000	19,971	31		
		Other				1		
		Total	58,308	51,000	19,155	53		
	Canada	Private				1		
		Public	62,505	65,247	13,486	5		
		Other				1		
		Total	60,100	64,389	11,846	7		
	Other	Private				1		
		Public				2		
		Total	33,039	27,068	32,266	3		
	Total	Private	60,539	57,000	17,544	23		
		Public	55,818	50,000	21,106	38		
		Other				2		
		Total	57,304	51,500	19,625	63		

		Ŭ	noor Dog			
Position		Highest Degree	Mean	Median	Std. Deviation	N
Business	USA	Assoc	52,999	50,000	8,439	7
Manager		BS/BA	58,326	51,500	18,494	27
		MS/MA	64,667	70,000	18,583	3
		MBA	67,524	62,847	25,039	10
		Other	45,884	44,551	16,321	6
		Total	58,308	51,000	19,155	53
	Canada	BS/BA	58,207	56,662	13,955	5
		Other				2
		Total	60,100	64,389	11,846	7
	Other	BS/BA				2
		MS/MA				1
		Total	33,039	27,068	32,266	3
	Total	Assoc	52,999	50,000	8,439	7
		BS/BA	57,670	52,255	18,028	34
		MS/MA	49,544	57,000	33,838	4
		МВА	67,524	62,847	25,039	10
		Other	50,621	47,322	16,348	8
		Total	57,304	51,500	19,625	63

# Table BUS-2:Business Manager Salaries by<br/>Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Business	USA	0-2 years	55,742	50,000	18,863	13
Manager		3-4 years	67,819	69,500	19,030	5
		5-9 years	55,794	50,000	17,483	19
		10+ years	66,634	58,040	21,711	11
		Total	59,517	51,287	19,177	48
	Canada	0-2 years	52,083	51,511	12,029	3
		3-4 years				1
		5-9 years				2
		10+ years				1
		Total	60,100	64,389	11,846	7
	Other	0-2 years				1
		3-4 years				1

# Table BUS-3:Business Manager Salaries by Years of<br/>Technology Transfer Experience

# Table BUS-4:Business ManagerSalaries by Region

		US-EAST						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	19	2	19				
	Missing	-	17	-				
Me	an	60,391		60,849				
Percentiles	10	41,240		41,240				
	25	44,102		44,102				
	50	50,000		50,000				
	75	72,190		72,190				
	90	94,000		96,500				

			US-WEST	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	11	1	11
	Missing	-	10	-
Me	an	63,391		63,755
Percentiles	10	30,357		30,357
	25	43,249		43,249
	50	63,650		63,650
	75	85,000		85,000
	90	103,000		103,000

			US-CENTRAL							
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06						
N	Valid	23	1	23						
	Missing	-	22	-						
Me	an	54,156		54,243						
Percentiles	10	35,310		35,310						
	25	44,146		44,146						
	50	50,000		50,000						
	75	61,000		61,000						
	90	83,000		83,000						



# Table BUS-4:Business ManagerSalaries by Region

		CANADA						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	7	-	7				
	Missing	-	7	-				
Me	ean	60,100		60,100				
Percentiles	10	40,350		40,350				
	25	51,511		51,511				
	50	64,389		64,389				
	75	65,275		65,275				
	90	77,266		77,266				

		NON-US						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	3	1	3				
	Missing		2					
Me	an	33,039		33,387				
Percentiles	10	4,175		5,219				
	25	4,175		5,219				
	50	27,068		27,068				
	75	67,873		67,873				
	90	67,873		67,873				

# AUTM Salary Survey: 2006

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business	USA	SA Private	Mean	60,620		60,915	Business	Canada	Private	Mean			
Manager			Median	57,000		57,000	Manager			Median			
			Std. Deviation	18,216		18,774				Std. Deviation			
			N	21	1	21				N	1		1
			Mean	57,333	2,833	57,607			Public	Mean	62,505		62,505
			Median	50,000	2,500	50,000				Median	65,247		65,247
			Std. Deviation	19,971	1,041	20,220			Other	Std. Deviation	13,486		13,486
			N	31	3	31				N	5		5
		Other	Mean							Mean			
			Median							Median			
			Std. Deviation							Std. Deviation			
			N	1		1				N	1		1
		Total	Mean	58,308	3,675	58,585			Total	Mean	60,100		60,100
			Median	51,000	3,250	51,000				Median	64,389		64,389
			Std. Deviation	19,155	1,886	19,516				Std. Deviation	11,846		11,846
			N	53	4	53				N	7		7

#### Table BUS-5: Business Manager Salaries — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business	Other	Private	Mean				Business	Total	Private	Mean	60,539		60,809
Manager	Median			Manager			Median	57,000		57,000			
			Std. Deviation							Std. Deviation	17,544		18,073
			N	1		1				N	23	1	23
		Public	Mean						Public	Mean	55,818	2,386	56,069
			Median							Median	50,000	2,250	50,000
			Std. Deviation							Std. Deviation	21,106	1,234	21,245
			N	2	1	2				N	38	4	38
		Total	Mean	33,039		33,387			Other	Mean			
			Median	27,068		27,068				Median			
			Std. Deviation	32,266		31,802				Std. Deviation			
			N	3	1	3				N	2		2
	1	1	1	1	1				Total	Mean	57,304	3,149	57,554
										Median	51,500	2,500	51,500
										Std. Deviation	19,625	2,013	19,888
										N	63	5	63

## Table BUS-5: Business Manager Salaries — Private vs. Public Institutions

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business	USA	Male	Mean	64,296		65,539
Manager			Median	65,000		65,000
			Ν	7	2	7
		Female	Mean	55,936		56,076
			Median	50,000		50,000
			Ν	43	2	43
		Not	Mean	78,333		78,333
		Answered	Median	95,000		95,000
			N	3		3
		Total	Mean	58,308	3,675	58,585
			Median	51,000	3,250	51,000
			N	53	4	53
	Canada	Male	Mean	64,684		64,684
			Median	65,275		65,275
			N	3		3
		Female	Mean	53,800		53,800
			Median	56,662		56,662
			N	3		3
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	60,100		60,100
			Median	64,389		64,389
			N	7		7

## Table BUS-6: Business Manager Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business Manager	Other	Male	Mean			
Mallayer			Median			
			N	1		1
		Female	Mean			
			Median			
			N	2	1	2
		Total	Mean	33,039		33,387
			Median	27,068		27,068
			N	3	1	3
	Total	Male	Mean	61,018		61,809
			Median	65,000		65,000
			N	11	2	11
		Female	Mean	54,973	2,348	55,120
			Median	50,500	2,000	50,500
			N	48	3	48
		Not	Mean	75,062		75,062
		Answered	Median	80,124		80,124
			N	4		4
		Total	Mean	57,304	3,149	57,554
			Median	51,500	2,500	51,500
			N	63	5	63

## Table BUS-6: Business Manager Salaries by Gender

# Table BUS-7:Business ManagerSalaries by Percentiles

		USA						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
Ν	Valid	53	4	53				
	Missing	-	49	-				
Mean		58,308	3,675	58,585				
Median		51,000	3,250	51,000				
Std. Deviation	1	19,155	1,886	19,516				
Percentiles	10	40,440	2,000	40,440				
	25	44,124	2,125	44,124				
	50	51,000	3,250	51,000				
	75	71,095	5,650	71,095				
	90	91,000	6,200	93,400				

		Canada					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	7	-	7			
	Missing	-	7	-			
Mean	Mean			60,100			
Median	Median			64,389			
Std. Deviation	ı	11,846		11,846			
Percentiles	10	40,350		40,350			
	25	51,511		51,511			
	50	64,389		64,389			
	75	65,275		65,275			
	90	77,266		77,266			

		Other					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	3	1	3			
	Missing	-	2	-			
Mean	Mean			33,387			
Median	Median			27,068			
Std. Deviation	ı	32,266		31,802			
Percentiles 10		4,175		5,219			
	25	4,175		5,219			
	50	27,068		27,068			
	75	67,873		67,873			
	90	67,873		67,873			



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business	USA	USA Urban	Mean	59,476	3,675	59,843
Manager	anager		Median	51,037	3,250	51,037
			N	40	4	40
		Non-	Mean	54,714		54,714
		Urban	Median	47,941		47,941
			N	13		13
	Tota	Total	Mean	58,308	3,675	58,585
			Median	51,000	3,250	51,000
			N	53	4	53
	Canada	Canada Urban	Mean	57,239		57,239
			Median	60,525		60,525
			N	6		6
	Non- Urban		Mean			
		Urban	Median			
			N	1		1
		Total	Mean	60,100		60,100
			Median	64,389		64,389
			N	7		7

# Table BUS-8:Business Manager Salaries by<br/>Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Business	Other	Urban	Mean	33,039		33,387
Manager			Median	27,068		27,068
			N	3	1	3
		Total	Mean	33,039		33,387
		Median	27,068		27,068	
			N	3	1	3
	Total	Urban	Mean	57,583	3,149	57,905
			Median	51,500	2,500	51,500
			N	49	5	49
		Non-	Mean	56,325		56,325
	Urban	Median	50,471		50,471	
		N	14		14	
		Total	Mean	57,304	3,149	57,554
		Median	51,500	2,500	51,500	
			N	63	5	63

# Table BUS-8:Business Manager Salaries by<br/>Urban vs. Non-Urban



Position		Research Expenditures	Mean	Median	Std. Deviation	Ν
Business	USA	\$0M to \$100M	50,474	49,107	7,937	10
Manager	LUSASOM to \$100M50,47449\$101M to \$250M53,03050\$250M to \$500M61,01751\$250M to \$500M+61,31158Total56,48650Canada\$0M to \$100M1\$101M to \$250M63,39264Other\$0M to \$100M63,39264Total63,39264Total11\$250M to \$500M11Total63,39264Other\$0M to \$100M1\$250M to \$500M11\$250M to \$500M150\$250M to \$500M55,12450\$250M to \$500M55,12450\$250M to \$500M55,12450\$250M to \$500M61,43956	50,000	16,013	15		
		\$250M to \$500M	61,017	51,500	21,158	13
		\$500M+	61,311	58,040	20,742	11
		Total	56,486	50,000	17,615	49
	Canada	\$0M to \$100M				2
		\$101M to \$250M				2
		\$250M to \$500M				2
		Total	63,392	64,818	8,797	6
	Other	\$0M to \$100M				1
		\$250M to \$500M				1
		Total				2
	Total	\$0M to \$100M	49,889	50,000	10,526	13
		\$101M to \$250M	55,124	50,000	16,263	17
		\$250M to \$500M	61,439	56,831	19,067	16
		\$500M+	61,311	58,040	20,742	11
		Total	56,897	51,500	17,193	57

## Table BUS-9: Business Manager Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Business	USA	0 Reports	47,883	48,155	11,207	26
Manager		1-2 Reports	60,665	57,300	18,206	12
		3-5 Reports	72,953	74,810	15,178	8
		6-10 Reports	79,347	85,000	19,118	3
		11+ Reports				2
		Total	58,747	51,000	19,365	51
	Canada	0 Reports				2
		1-2 Reports	54,087	56,662	12,647	3
		3-5 Reports				2
		Total	60,100	64,389	11,846	7
	Other	1-2 Reports				1
		3-5 Reports				1
		Total				2
	Total	0 Reports	49,062	48,929	12,137	28
		1-2 Reports	59,882	58,831	16,609	16
		3-5 Reports	65,224	70,000	24,121	11
		6-10 Reports	79,347	85,000	19,118	3
		11+ Reports				2
		Total	58,147	51,505	19,591	60

## Table BUS-10: Business Manager Salaries by Number of Direct Reports



			, is a set of the set	5511151535		
Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Business	USA	1-5 FTEs	47,825	48,000	7,777	7
Manager		6-10 FTEs	52,758	49,822	15,663	20
		11-19 FTEs	54,125	48,971	18,891	14
		20+ FTEs	78,553	80,000	16,143	12
		Total	58,308	51,000	19,155	53
	Canada	1-5 FTEs				2
		6-10 FTEs				2
		11-19 FTEs				1
		20+ FTEs				2
		Total	60,100	64,389	11,846	7
	Other	1-5 FTEs				1
		6-10 FTEs				1
		20+ FTEs				1
		Total	33,039	27,068	32,266	3
	Total	1-5 FTEs	45,571	49,000	16,781	10
		6-10 FTEs	51,608	49,643	15,926	23
		11-19 FTEs	55,667	50,000	19,159	15
		20+ FTEs	75,497	70,000	15,802	15
		Total	57,304	51,500	19,625	63
			1			

## Table BUS-11: Business Manager Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

#### U.S.:

There was a 15% decline in the number of U.S. business manager respondents for 2006, which overall posted an 8% increase in the mean salary. The salary increase for those business managers with an associates degree or bachelors topped 20% while those business managers with an MBA had a 25% decrease in reported salary. Females in the U.S. saw a 10% increase in salary but were still paid less than males by 13%. Private institutions retained their higher salaries then those at public institutions. Two other factors with significant impact are years in technology transfer and number of reports.

#### Canada:

There was a 26% increase in the mean salary for business managers in Canada, as compared to the non-U.S. salary in 2004. However, with the limited numbers of respondents, meaningful statistical comparisons are difficult to assert.

#### International:

Unfortunately, with only a few instances reported, there are not enough cases for statistics to meaningfully account for the variance.



## Position #7: Patent Manager (Tables PAT-1 through PAT-11)

#### **Position description:**

A Patent Manager is a support staff person who handles aspects of the invention disclosure management and reporting process including receiving disclosures and other patent documents, entering disclosure information into databases, performing federal reporting, and managing communications with outside patent counsel (assignments, small entity forms, declarations, retention letters etc.) and possibly the U.S. PTO for payment of maintenance fees etc.

- Other possible titles: Paralegal ٠
- Possible degrees: B.S., B.A. •
- Years of experience: 0-10 •
- Signatory Authority: None
- **Reports to:** Varies •

Supervisory responsibilities: None

		Control	Mean	Median	Std. Deviation	N
Patent Manager	USA	Private	64,643	65,500	20,879	24
Manager		Public	52,103	50,000	19,029	23
		Total	58,507	52,311	20,767	47
	Canada	Public	70,412	62,672	34,530	7
		Other				1
		Total	69,820	62,672	32,012	8
	Other	Private				1
		Public	29,041	33,383	23,004	3
		Total	29,102	31,333	18,783	4
	Total	Private	63,229	65,000	21,628	25
		Public	53,890	50,000	25,009	33
		Other				1
		Total	58,047	51,750	23,686	59

### Table PAT-1: General Salary Data for Patent Managers

I able F	PAT-2: P	atent Ma	anager Sa	alaries by	y Highest	Degree
Position		Highest Degree	Mean	Median	Std. Deviation	N
Patent	USA	Assoc	41,995	40,222	14,580	8
Manager		BS/BA	60,406	55,000	21,538	15
		MS/MA	72,047	67,500	20,756	7
		Law	69,504	70,000	18,477	5
		PhD	64,400	63,000	17,811	5
		Other	41,597	38,500	7,502	5
		Missing				2
		Total	58,507	52,311	20,767	47
	Canada	MS/MA				2
		Law				1
		PhD	89,286	78,554	35,377	4
		Other				1
		Total	69,820	62,672	32,012	8
	Other	BS/BA				1
		MS/MA				1
		Law				2
		Total	29,102	31,333	18,783	4
	Total	Assoc	41,995	40,222	14,580	8
		BS/BA	58,460	53,656	22,215	16
		MS/MA	62,182	58,375	23,379	10
		Law	56,612	54,816	26,782	8
		PhD	75,460	63,000	28,283	9
		Other	45,610	38,605	11,902	6
		Missing				2
		Total	58,047	51,750	23,686	59

#### Table PAT-2: Patent Manager Salaries by Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Patent	USA	0-2 years	45,219	45,900	13,016	10
Manager		3-4 years	50,797	45,000	20,037	7
		5-9 years	62,669	65,500	21,410	14
		10+ years	60,148	55,000	21,093	11
		Total	55,875	50,503	20,064	42
	Canada	0-2 years				1
		3-4 years	48,926	44,643	12,182	3
		5-9 years				2
		10+ years				2
		Total	69,820	62,672	32,012	8
	Other	0-2 years				1
		3-4 years				2
		5-9 years				1
		Total	29,102	31,333	18,783	4
	Total	0-2 years	45,346	45,900	13,761	12
		3-4 years	46,342	44,821	20,567	12
		5-9 years	62,165	65,000	22,281	17
		10+ years	66,512	55,000	28,745	13
		Total	55,958	50,503	23,456	54

### Table PAT-3:Patent Manager Salaries by Years of<br/>Technology Transfer Experience

#### Table PAT-4: Patent Manager Salaries by Region

			US-EAST	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	21	4	21
	Missing	-	17	-
Me	an	56,457	5,000	57,410
Percentiles	10	33,973	1,500	33,973
	25	41,750	1,625	41,750
	50	50,346	3,750	50,346
	75	73,000	9,625	74,000
	90	0,743	11,000	82,986

			US-WEST						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06					
N	Valid	4	1	4					
	Missing	-	3	-					
Me	an	63,502		63,752					
Percentiles	10	30,000		31,000					
	25	35,438		36,188					
	50	67,599		67,599					
	75	87,469		87,469					
	90	88,810		88,810					

			<b>US-CENTRAL</b>						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06					
N	Valid	22	6	22					
	Missing	-	16	-					
Me	an	59,555	10,427	62,398					
Percentiles	10	33,351	1,650	34,314					
	25	39,677	7,500	39,677					
	50	55,156	12,108	55,156					
	75	77,786	13,411	79,762					
	90	91,938	14,044	105,077					



		CANADA						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	8	-	8				
	Missing	-	8	-				
Me	an	69,820		69,820				
Percentiles	10	39,464		39,464				
	25	46,390		46,390				
	50	62,672		62,672				
	75	87,247		87,247				
	90	137,363		137,363				

#### Table PAT-4: Patent Manager Salaries by Region

		NON-US						
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	4	2	4				
	Missing	-	2	-				
Me	ean	29,102		30,455				
Percentiles	10	4,175		5,741				
	25	10,452		11,626				
	50	31,333		33,258				
	75	45,520		46,482				
	90	49,565		49,565				

# AUTM Salary Survey: 2006

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent	USA	5A Private	Mean	64,643	8,951	68,000	Patent	Canada	Public	Mean	70,412		70,412
Manager	nager		Median	65,500	11,000	67,000	Manager			Median	62,672		62,672
			Std. Deviation	20,879	4,871	24,224				Std. Deviation	34,530		34,530
		N	24	9	24				N	7		7	
	Public	Mean	52,103		52,234			Other	Mean				
			Median	50,000		50,000				Median			
			Std. Deviation	19,029		18,956				Std. Deviation			
			N	23	2	23				N	1		1
		Total	Mean	58,507	7,596	60,284			Total	Mean	69,820		69,820
			Median	52,311	9,450	52,311				Median	62,672		62,672
			Std. Deviation	20,767	5,303	22,994				Std. Deviation	32,012		32,012
		N	47	11	47				N	8		8	

#### Table PAT-5: Patent Manager Salaries — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent	Other	Private	Mean				Patent	Total	Private	Mean	63,229	8,951	66,451
Manager	anager		Median				Manager			Median	65,000	11,000	66,500
			Std. Deviation							Std. Deviation	21,628	4,871	24,947
			N	1		1				N	25	9	25
		Public	Mean	29,041		30,846			Public	Mean	53,890	2,104	54,145
			Median	33,383		37,233				Median	50,000	1,783	50,000
			Std. Deviation	23,004		22,599				Std. Deviation	25,009	1,234	24,777
			N	3	2	3				N	33	4	33
		Total	Mean	29,102		30,455			Other	Mean			
			Median	31,333		33,258				Median			
			Std. Deviation	18,783		18,469				Std. Deviation			
			N	4	2	4				N	1		1
	1		1	1					Total	Mean	58,047	6,844	59,555
										Median	51,750	5,500	51,750
					Std. Deviation	23,686	5,198	25,180					

#### Table PAT-5: Patent Manager Salaries — Private vs. Public Institutions

Ν

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent Manager	USA	Male	Mean	60,461		60,649
Manager			Median	54,875		54,875
			Ν	8	1	8
		Female	Mean	57,226	8,206	59,506
			Median	51,486	10,225	51,486
			N	36	10	36
		Not Answered	Mean	68,659		68,659
			Median	77,976		77,976
			N	3		3
		Total	Mean	58,507	7,596	60,284
			Median	52,311	9,450	52,311
			N	47	11	47
	Canada	Male	Mean	73,260		73,260
			Median	62,672		62,672
			N	3		3
		Female	Mean	67,755		67,755
			Median	51,631		51,631
			N	5		5
		Total	Mean	69,820		69,820
			Median	62,672		62,672
			N	8		8

#### Table PAT-6: Patent Manager Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent Manager	Other	Male	Mean			
. unuger			Median			
			N	2	1	2
		Female	Mean			
			Median			
			N	2	1	2
		Total	Mean	29,102		30,455
			Median	31,333		33,258
			N	4	2	4
	Total	Male	Mean	60,494		60,905
			Median	58,000		58,000
			N	13	2	13
		Female	Mean	56,567	7,602	58,512
			Median	50,660	9,450	50,660
			N	43	11	43
		Not	Mean	68,659		68,659
		Answered	Median	77,976		77,976
			N	3		3
		Total	Mean	58,047	6,844	59,555
			Median	51,750	5,500	51,750
			N	59	13	59

#### Table PAT-6: Patent Manager Salaries by Gender

### Table PAT-7:Patent ManagerSalaries by Percentiles

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	47	11	47
	Missing	-	36	-
Mean		58,507	7,596	60,284
Median		52,311	9,450	52,311
Std. Deviation	1	20,767	5,303	22,994
Percentiles	10	33,485	1,100	34,057
	25	40,000	1,650	40,000
	50	52,311	9,450	52,311
	75	77,976	12,720	80,000
	90	88,162	13,875	98,256

			Canada				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	8	-	8			
	Missing	-	8	-			
Mean		69,820		69,820			
Median		62,672		62,672			
Std. Deviation	ı	32,012		32,012			
Percentiles	10	39,464		39,464			
	25	46,390		46,390			
	50	62,672		62,672			
	75	87,247		87,247			
	90	137,363		137,363			

			Other					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	4	2	4				
	Missing	-	2	-				
Mean		29,102		30,455				
Median		31,333		33,258				
Std. Deviation	ı	18,783		18,469				
Percentiles	10	4,175		5,741				
	25	10,452		11,626				
	50	31,333		33,258				
	75	45,520		46,482				
	90	49,565		49,565				



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent	USA	Urban	Mean	59,575	7,596	61,613
Manager			Median	55,000	9,450	55,000
			N	41	11	41
		Non- Urban	Mean	51,206		51,206
			Median	51,156		51,156
			N	6		6
		Total	Mean	58,507	7,596	60,284
			Median	52,311	9,450	52,311
			N	47	11	47
	Canada	Urban	Mean	56,423		56,423
			Median	62,672		62,672
			N	5		5
		Non-	Mean	92,147		92,147
		Urban	Median	94,437		94,437
			N	3		3
		Total	Mean	69,820		69,820
			Median	62,672		62,672
			N	8		8

#### Table PAT-8: Patent Manager Salaries by Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Patent	Other	Urban	Mean	22,281		24,086
Manager			Median	29,283		29,283
			N	3	2	3
		Non-	Mean			
		Urban	Median			
			N	1		1
		Total	Mean	29,102		30,455
			Median	31,333		33,258
			N	4	2	4
	Total	Urban	Mean	56,970	6,844	58,786
			Median	51,750	5,500	51,750
			N	49	13	49
		Non-	Mean	63,324		63,324
		Urban	Median	51,156		51,156
			N	10		10
		Total	Mean	58,047	6,844	59,555
			Median	51,750	5,500	51,750
			N	59	13	59

#### Table PAT-8: Patent Manager Salaries by Urban vs. Non-Urban



Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	N
Patent Manager	USA	\$0M to \$100M	51,640	58,000	18,922	5
		\$101M to \$250M	48,501	42,400	24,680	8
		\$250M to \$500M	58,557	55,000	19,847	17
		\$500M+	63,707	57,656	19,066	16
		Total	57,848	52,031	20,494	46
	Canada	\$0M to \$100M	54,936	62,672	13,399	3
		\$101M to \$250M	92,147	94,437	46,402	3
		\$250M to \$500M				2
		Total	69,820	62,672	32,012	8
	Other	\$0M to \$100M				1
		\$101M to \$250M				1
		\$250M to \$500M				1
		Total	37,410	33,383	10,724	3
	Total	\$0M to \$100M	50,710	58,000	16,391	9
		\$101M to \$250M	59,501	47,082	34,158	12
		\$250M to \$500M	57,103	55,000	19,488	20
		\$500M+	63,707	57,656	19,066	16
		Total	58,452	51,750	22,632	57

#### Table PAT-9: Patent Manager Salaries by Size of Research Budget

## AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Patent Manager	USA	0 Reports	50,827	46,800	19,322	27
		1-2 Reports	66,643	68,000	17,521	15
		3-5 Reports				1
		6-10 Reports				1
		Total	57,693	52,031	20,373	44
	Canada	0 Reports	68,252	65,677	24,997	3
		1-2 Reports				2
		3-5 Reports				1
		Total	72,202	58,654	37,516	6
	Other	0 Reports				1
		1-2 Reports				2
		Total	27,674	29,283	22,738	3
	Total	0 Reports	51,818	46,800	20,249	31
		1-2 Reports	60,236	58,000	21,954	19
		3-5 Reports				2
		6-10 Reports				1
		Total	57,637	51,631	23,973	53

#### Table PAT-10: Patent Manager Salaries by Number of Direct Reports



Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Patent Manager	USA	1-5 FTEs	52,800	53,000	12,659	6
		6-10 FTEs	49,679	43,682	22,396	14
		11-19 FTEs	56,287	53,375	19,802	10
		20+ FTEs	69,096	73,625	18,950	17
		Total	58,507	52,311	20,767	47
	Canada	6-10 FTEs	54,936	62,672	13,399	3
		11-19 FTEs	92,147	94,437	46,402	3
		20+ FTEs				2
		Total	69,820	62,672	32,012	8
	Other	1-5 FTEs				1
		6-10 FTEs				2
		20+ FTEs				1
		Total	29,102	31,333	18,783	4
	Total	1-5 FTEs	45,854	48,000	21,709	7
		6-10 FTEs	49,646	47,363	20,039	19
		11-19 FTEs	64,562	55,000	30,004	13
		20+ FTEs	66,061	66,588	19,819	20
		Total	58,047	51,750	23,686	59

#### Table PAT-11: Patent Manager Salaries by Total Technology Transfer Office Head Count

# AUTM Salary Survey: 2006

#### Key factors in compensation for this position:

U.S.:

The mean salary for Patent Managers increased more than 14% from the 2004 survey, to over \$58,000.

The majority of the Patent Managers have a B.S./B.A.

#### Canada:

It appears that Patent Managers in rural institutions earned almost double the amount of their urban counterparts.

#### International:

With only a few instances reported, there are not enough cases for statistics to meaningfully account for the variance.



#### Position #8: Administrative Assistant (Tables AA-1 through AA-11)

#### **Position description:**

Administrative Assistants support the licensing process but have limited or no direct contact with licensees or potential licensees. They include positions that support research functions, secretarial functions, office management functions, database management, file maintenance functions and financial functions such as processing of legal bills, issuance and collection of invoices, and receipt and distribution of royalty income.

- Other possible titles: Administrative Coordinator
- **Possible degrees:** B.S., B.A.
- Years of experience: 0-10
- Signatory Authority: None
- **Reports to:** Varies

#### Supervisory responsibilities: None

Position		Control	Mean	Median	Std. Deviation	N
Administrative	USA	Private	38,911	37,856	8,918	65
Assistant		Public	37,558	35,000	11,747	91
		Other				1
		Total	38,193	37,000	10,653	157
	Canada	Private				1
		Public	37,729	34,341	12,765	17
		Other				1
		Total	37,694	34,341	12,106	19
	Other	Private	36,256	32,901	13,987	3
		Public	25,962	24,060	17,410	13
		Total	27,892	24,517	16,906	16
	Total	Private	38,830	37,856	9,000	69
		Public	36,336	35,000	12,985	121
		Other				2
		Total	37,285	35,753	11,706	192

Table AA-1: General Salary Data for Administrative Assistant

Position		Highest Degree	Mean	Median	Std. Deviation	N
Administrative	USA	Assoc	33,608	33,022	8,137	30
Assistant		BS/BA	40,213	38,560	9,610	60
		MS/MA				2
		Other	38,418	36,156	13,492	51
		Missing	38,137	38,625	4,868	14
		Total	38,193	37,000	10,653	157
	Canada	BS/BA	34,359	34,341	4,735	5
		MS/MA				1
		МВА				1
		Law				1
		Other	35,763	33,482	13,880	8
		Missing	32,681	32,624	2,662	3
		Total	37,694	34,341	12,106	19
	Other	Assoc	22,602	23,759	4,554	4
		BS/BA	12,867	10,175	10,305	3
		Other	33,206	25,239	18,649	8
		Missing				1
		Total	27,892	24,517	16,906	16
	Total	Assoc	32,313	31,027	8,546	34
		BS/BA	38,576	37,358	10,916	68
		MS/MA	42,257	44,643	4,445	3
		МВА				1
		Law				1
		Other	37,478	35,000	14,088	67
		Missing	37,977	37,220	5,905	18
		Total	37,285	35,753	11,706	192

### Table AA-2: Administrative Assistant Salaries by Highest Degree



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N	
Administrative	USA	0-2 years	34,129	33,000	9,255	56	
Assistant		3-4 years	35,245	34,804	7,178	24	
		5-9 years	40,088	38,350	9,590	41	
		10+ years	47,519	45,000	14,758	19	
		Total	37,883	36,156	10,867	140	
	Canada	0-2 years	30,263	30,048	5,366	8	
		3-4 years	37,467	34,427	7,416	6	
		5-9 years	51,994	53,765	17,208	4	
		Total	37,493	33,911	12,425	18	
	Other	0-2 years	24,518	23,278	14,826	6	
			3-4 years	35,713	32,901	33,033	3
		5-9 years	29,145	24,421	15,486	4	
		10+ years	25,147	25,217	1,655	3	
		Total	27,892	24,517	16,906	16	
	Total	0-2 years	32,864	31,782	9,761	70	
		3-4 years	35,692	34,607	10,704	33	
		5-9 years	40,167	38,350	11,485	49	
		10+ years	44,468	42,500	15,770	22	
		Total	36,924	35,000	11,965	174	

#### Table AA-3: Administrative Assistant Salaries by Years of Technology Transfer Experience

### Table AA-4:Administrative AssistantSalaries by Region

			US-EAST		
		Salary in Bonus in Salary + US\$ at US\$ at Bonus in 1/1/06 1/1/06 US\$ at 1/1/06			
N	Valid	77	10	77	
	Missing	-	67	-	
Με	an	41,055	3,000	41,444	
Percentiles	10	30,000	500	30,000	
	25	33,000	875	33,122	
	50	38,000	2,750	38,000	
	75	45,000	4,500	45,250	
	90	55,000	7,650	55,280	

			US-WEST					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	20	2	20				
	Missing	-	18	-				
Me	an	37,626		37,688				
Percentiles	10	25,917		25,917				
	25	34,039		34,226				
	50	36,751		36,751				
	75	43,425		43,425				
	90	50,000		50,000				

			US-CENTRAL		
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
N	Valid	60	7	60	
	Missing	-	53	-	
Me	an	34,711	3,815	35,156	
Percentiles	10	25,000	2,354	25,000	
	25	27,233	3,689	27,233	
	50	34,554	3,970	34,554	
	75	40,998	4,385	42,400	
	90	46,701	4,420	48,212	



			CANADA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	19	-	19
	Missing	-	19	-
Me	an	37,694		37,694
Percentiles	10	25,755		25,755
	25	30,048		30,048
	50	34,341		34,341
	75	41,298		41,298
	90	66,320		66,320

### Table AA-4:Administrative AssistantSalaries by Region

			NON-US		
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
N	Valid	16	7	16	
	Missing	-	9	-	
Me	an	27,892	2,035	28,782	
Percentiles	10	8,375	522	9,671	
	25	17,666	602	18,456	
	50	24,517	1,053	25,023	
	75	31,367	1,628	31,518	
	90	57,442	7,911	59,815	

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Admin. Assistant	USA	Private	Mean	38,911	3,746	39,545	Admin. Assistant	Canada	Private	Mean			
Assistant			Median	37,856	4,133	38,000	Assistant			Median			
			Std. Deviation	8,918	1,107	9,391				Std. Deviation			
			N	65	11	65				N	1		1
		Public	Mean	37,558	2,094	37,742			Public	Mean	37,729		37,729
		Median	35,000	875	35,000				Median	34,341		34,341	
			Std. Deviation	11,747	2,738	12,069			Other	Std. Deviation	12,765		12,765
			N	91	8	91				N	17		17
		Other	Mean							Mean			
			Median							Median			
			Std. Deviation							Std. Deviation			
			N	1		1				N	1		1
		Total	Mean	38,193	3,050	38,562			Total	Mean	37,694		37,694
			Median	37,000	3,755	37,000				Median	34,341		34,341
			Std. Deviation	10,653	2,073	11,034				Std. Deviation	12,106		12,106
			N	157	19	157				N	19		19

#### Table AA-5: Administrative Assistant — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Admin.	Other	Private	Mean	36,256		36,256	Admin.	Total	Private	Mean	38,830	3,746	39,427
Assistant	Assistant		Median	32,901		32,901	Assistant			Median	37,856	4,133	38,000
			Std. Deviation	13,987		13,987				Std. Deviation	9,000	1,107	9,448
			N	3		3				N	69	11	69
		Public	Mean	25,962	2,035	27,057			Public	Mean	36,336	2,066	36,592
			Median	24,060	1,053	24,962				Median	35,000	1,000	35,000
			Std. Deviation	17,410	2,629	18,896				Std. Deviation	12,985	2,591	13,331
			N	13	7	13				N	121	15	121
		Total	Mean	27,892	2,035	28,782			Other	Mean			
			Median	24,517	1,053	25,023				Median			
			Std. Deviation	16,906	2,629	18,041				Std. Deviation			
			N	16	7	16				N	2		2
	1		1	1	1	I	1		Total	Mean	37,285	2,777	37,661
										Median	35,753	1,991	35,999
									Std. Deviation	11,706	2,228	12,087	

#### Table AA-5: Administrative Assistant — Private vs. Public Institutions

Ν

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
						1/1/06
Administrative Assistant	USA	Male	Mean	38,472		38,472
Assistant			Median	40,000		40,000
			Ν	15		15
		Female	Mean	38,101	3,164	38,539
			Median	36,801	3,863	37,000
			N	130	18	130
		Not	Mean	38,848		38,931
		Answered	Median	34,622		34,622
			N	12	1	12
		Total	Mean	38,193	3,050	38,562
			Median	37,000	3,755	37,000
			N	157	19	157
	Canada	Male	Mean			
			Median			
			N	2		2
		Female	Mean	35,325		35,325
			Median	33,482		33,482
			N	16		16
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	37,694		37,694
			Median	34,341		34,341
			N	19		19

#### Table AA-6: Administrative Assistant Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Administrative	Other	Male	Mean			
Assistant			Median			
			Ν	2		2
		Female	Mean	26,549	2,035	27,645
			Median	24,060	1,053	24,962
			N	13	7	13
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	27,892	2,035	28,782
			Median	24,517	1,053	25,023
			N	16	7	16
	Total	Male	Mean	38,787		38,787
			Median	40,000		40,000
			N	19		19
		Female	Mean	36,877	2,848	37,325
			Median	35,390	2,354	35,484
			N	159	25	159
		Not	Mean	39,886		39,957
		Answered	Median	34,622		34,622
			N	14	1	14
		Total	Mean	37,285	2,777	37,661
			Median	35,753	1,991	35,999
			N	192	26	192

#### Table AA-6: Administrative Assistant Salaries by Gender

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	157	19	157
	Missing	-	138	-
Mean		38,193 3,050		38,562
Median		37,000	3,755	37,000
Std. Deviation	1	10,653	2,073	11,034
Percentiles	10	26,890	500	26,890
	25	31,198	1,000	31,198
	50	37,000	3,755	37,000
	75	42,850	4,500	43,759
	90	50,000	4,500	50,000

### Table AA-7:Administrative AssistantSalaries by Percentiles

			Canada	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	19	-	19
	Missing	-	19	-
Mean		37,694		37,694
Median		34,341		34,341
Std. Deviation	ı	12,106		12,106
Percentiles	10	25,755		25,755
	25	30,048		30,048
	50	34,341		34,341
	75	41,298		41,298
	90	66,320		66,320

			Other	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	16	7	16
	Missing	-	9	-
Mean		27,892	2,035	28,782
Median		24,517	1,053	25,023
Std. Deviation	ı	16,906	2,629	18,041
Percentiles	10	8,375	522	9,671
	25	17,666	602	18,456
	50	24,517	1,053	25,023
	75	31,367	1,628	31,518
	90	57,442	7,911	59,815



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Administrative	USA	Urban	Mean	38,861	3,535	39,254
Assistant			Median	37,128	3,970	37,260
			N	117	13	117
		Non-	Mean	36,241	2,000	36,541
		Urban	Median	35,046	1,000	35,496
			N	40	6	40
		Total	Mean	38,193	3,050	38,562
			Median	37,000	3,755	37,000
			N	157	19	157
	Canada	Urban	Mean	37,303		37,303
			Median	33,911		33,911
			N	16		16
		Non-	Mean	39,778		39,778
		Urban	Median	41,209		41,209
			N	3		3
		Total	Mean	37,694		37,694
			Median	34,341		34,341
			N	19		19

### Table AA-8:Administrative Assistant Salaries by<br/>Urban vs. Non-Urban

Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Administrative	Other	Urban	Mean	29,700	2,035	30,795
Assistant			Median	25,217	1,053	25,217
			N	13	7	13
		Non-	Mean	20,057		20,057
		Urban	Median	22,304		22,304
			N	3		3
		Total	Mean	27,892	2,035	28,782
			Median	24,517	1,053	25,023
			N	16	7	16
	Total	Urban	Mean	37,874	3,010	38,287
			Median	36,107	3,022	36,107
			N	146	20	146
		Non-	Mean	35,416	2,000	35,677
		Urban	Median	34,045	1,000	35,046
			N	46	6	46
		Total	Mean	37,285	2,777	37,661
			Median	35,753	1,991	35,999
			N	192	26	192

### Table AA-8:Administrative Assistant Salaries by<br/>Urban vs. Non-Urban



Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	Ν
Administrative	USA	\$0M to \$100M	33,657	32,063	7,470	23
Assistant		\$101M to \$250M	36,646	37,000	8,348	35
		\$250M to \$500M	39,858	39,750	10,619	48
		\$500M+	40,231	37,128	13,428	43
		Total	38,254	37,000	10,824	149
	Canada	\$0M to \$100M	42,948	38,678	16,995	4
		\$101M to \$250M	36,058	35,628	6,583	6
		\$250M to \$500M	38,322	33,053	12,883	8
		Total	38,595	34,856	11,782	18
	Other	\$0M to \$100M	21,580	24,060	6,100	7
		\$101M to \$250M	20,057	22,304	6,164	3
		\$250M to \$500M	36,256	32,901	13,987	3
		\$500M+				2
		Total	29,473	24,783	16,228	15
	Total	\$0M to \$100M	32,264	31,027	10,470	34
		\$101M to \$250M	35,434	35,856	8,925	44
		\$250M to \$500M	39,467	37,716	10,909	59
		\$500M+	41,157	37,550	13,951	45
		Total	37,564	35,496	11,624	182

#### Table AA-9: Administrative Assistant Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
Administrative	USA	0 Reports	37,858	36,156	10,569	123
Assistant		1-2 Reports	40,103	37,654	8,831	14
		3-5 Reports	54,208	49,558	18,082	4
		Total	38,545	37,000	10,921	141
	Canada	0 Reports	33,148	33,911	6,490	10
		3-5 Reports				2
		Total	36,924	35,199	11,614	12
	Other	0 Reports	30,476	24,783	15,161	7
		1-2 Reports	35,713	32,901	33,033	3
		3-5 Reports				1
		11+ Reports				1
		Total	29,655	25,000	19,248	12
	Total	0 Reports	37,153	35,999	10,702	140
		1-2 Reports	41,063	38,307	14,868	19
		3-5 Reports	48,410	49,116	20,330	5
		11+ Reports				1
		Total	37,780	36,156	11,878	165

#### Table AA-10: Administrative Assistant Salaries by Number of Direct Reports



Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Administrative	USA	1-5 FTEs	35,284	34,835	7,360	38
Assistant		6-10 FTEs	37,391	35,000	9,620	33
		11-19 FTEs	37,344	35,496	7,887	32
		20+ FTEs	41,235	38,700	13,698	54
		Total	38,193	37,000	10,653	157
	Canada	1-5 FTEs	40,883	36,058	15,615	5
		6-10 FTEs	28,617	27,473	7,790	3
		11-19 FTEs	39,778	41,209	5,716	3
		20+ FTEs	38,322	33,053	12,883	8
		Total	37,694	34,341	12,106	19
	Other	1-5 FTEs	13,189	10,175	10,840	3
		6-10 FTEs	29,794	24,421	17,531	10
		20+ FTEs	36,256	32,901	13,987	3
		Total	27,892	24,517	16,906	16
	Total	1-5 FTEs	34,451	34,585	10,332	46
		6-10 FTEs	35,167	33,122	11,953	46
		11-19 FTEs	37,552	35,508	7,689	35
		20+ FTEs	40,647	38,000	13,473	65
		Total	37,285	35,753	11,706	192

#### Table AA-11: Administrative Assistant Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

#### U.S.:

There was a 5% increase in the mean salary for 2006 and a small decrease in the number of respondents.

Compared to 2004 the correlation between research expenditures and salary is reduced, but a positive relationship remains.

#### Position #9: Director of Startups (Tables SU-1 through SU-11)

#### **Position description:**

The Director of Startups leads the effort to start companies based on technologies owned by and to be licensed by the institution to the new entity. The person will typically not be involved in the "front line" phases of identifying and evaluating disclosures made by researchers at the institution (usually the responsibility of Licensing Associates or Licensing Assistants) but will handle inventions that represent potential Startup opportunities referred to them by these individuals. The Director of Startups will evaluate Startup opportunities; confirm that they should be pursued as Startups rather than traditional licenses and work with the inventor to prepare summaries of the opportunity, up to and including the initial business plan. He or she will recruit the Startup CEO and assist in obtaining financing. They may work with a Licensing Associate or Assistant/Associate Director to negotiate the terms of the license with the startup company and may represent the institution as an observer on the Board of Directors of the

Position		Control	Mean	Median	Std. Deviation	N
Director of	USA	Private	126,174	126,250	17,691	8
Startups		Public	100,411	100,000	24,441	10
		Total	111,861	107,500	24,873	18
	Canada	Public				2
		Other				1
		Total	91,406	103,022	31,927	3
	Other	Public				1
		Total				1
	Total	Private	126,174	126,250	17,691	8
		Public	90,060	100,000	34,762	13
		Other				1
		Total	104,367	106,545	33,302	22

#### Table SU-1: General Salary Data for Director of Startups

Startup. They are full-time employees of the institution or a wholly owned subsidiary of the institution or its research foundation.

- Other possible titles: Director of Venture/Business Development
- Possible degrees: Ph.D., J.D., M.B.A., M.S., B.S., B.A.
- Years of experience: 0-4

**Reports to:** Director or elsewhere within the institution



Position		Highest Degree	Mean	Median	Std. Deviation	N
Director of	USA	BS/BA	93,852	97,703	29,721	4
Startups		MS/MA	106,750	107,500	12,961	6
		MBA	120,973	120,446	27,336	4
		Law				1
		PhD	120,000	115,000	22,913	3
		Total	111,861	107,500	24,873	18
	Canada	BS/BA				1
		MBA				1
		PhD				1
		Total	91,406	103,022	31,927	3
	Other	MBA				1
		Total				1
	Total	BS/BA	86,141	89,316	30,981	5
		MS/MA	106,750	107,500	12,961	6
		МВА	101,357	107,950	50,284	6
		Law				1
		PhD	115,755	109,011	20,544	4
		Total	104,367	106,545	33,302	22

#### Table SU-2: Director of Startups Salaries by Highest Degree

Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
Director	USA	0-2 years				1
of Startups		3-4 years				1
		5-9 years	99,646	100,000	20,178	9
		10+ years	124,526	125,000	24,343	7
		Total	111,861	107,500	24,873	18
	Canada	3-4 years				1
		5-9 years				1
		10+ years				1
		Total	91,406	103,022	31,927	3
	Other	5-9 years				1
		Total				1
	Total	0-2 years				1
		3-4 years				2
		5-9 years	87,315	100,000	34,477	11
		10+ years	121,838	117,500	23,785	8
		Total	104,367	106,545	33,302	22

US-CENTRAL Bonus in

US\$ at

1/1/06

1 8 Salary +

Bonus in

US\$ at 1/1/06

9

-119,634 95,000 100,000 123,500 136,250 153,702

### Table SU-3:Director of Startups Salaries by Years of<br/>Technology Transfer Experience

#### Table SU-4: Director of Startups Salaries by Region

			US-EAST				
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			Salary ii US\$ at 1/1/06
N	N Valid 8 2	2	8	N	Valid	9	
	Missing	-	6	-		Missing	-
Ме	an	105,526		108,714	Ме	an	118,134
Percentiles	10	55,000		55,000	Percentiles	10	95,000
	25	88,329		88,329		25	100,000
	50	104,000		104,000		50	110,000
	75	134,419		141,750		75	136,250
	90	148,000		158,392		90	153,702



### Table SU-4:Director of StartupsSalaries by Region

			US-WEST	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	1	1	1
	Missing			
Με	an			
Percentiles	10			
	25			
	50			
	75			
	90			

			CANADA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	3	-	3
	Missing	-	3	-
Με	ean	91,406		91,406
Percentiles	10	55,297		55,297
	25	55,297		55,297
	50	103,022		103,022
	75	115,900		115,900
	90	115,900		115,900

# AUTM Salary Survey: 2006

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director	USA	Private	Mean	126,174		129,362	Director	Canada	Public	Mean			
of Startups	of Startups		Median	126,250		126,250	of Startups			Median			
		Std. Deviation	17,691		20,057				Std. Deviation				
		N	N	8	2	8				N	2		2
		Public	Mean	100,411	8,750	102,161			Other	Mean			
			Median	100,000	8,750	100,000				Median			
			Std. Deviation	24,441	6,718	25,492				Std. Deviation			
			N	10	2	10				N	1		1
		Total	Mean	111,861	10,750	114,250			Total	Mean	91,406		91,406
			Median	107,500	10,750	109,045				Median	103,022		103,022
			Std. Deviation	24,873	5,951	26,516				Std. Deviation	31,927		31,927
			N	18	4	18				N	3		3

#### Table SU-5: Director of Startups — Private vs. Public Institutions



Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06		Posit
Director Other of	ner Public	Mean					Director of	
Startups			Median					Startu
		Std. Deviation						
			N	1	1	1		
		Total	Mean					
			Median					
			Std. Deviation					
			N	1	1	1		
				-		-	•	

#### Table SU-5: Director of Startups — Private vs. Public Institutions

osition		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
ector	Total	Private	Mean	126,174		129,362
rtups			Median	126,250		126,250
		Std. Deviation	17,691		20,057	
		Dublic	N	8	2	8
		Public	Mean	90,060	7,225	91,727
			Median	100,000	4,175	100,000
			Std. Deviation	34,762	5,435	34,958
			N	13	3	13
		Other	Mean			
			Median			
			Std. Deviation			
			N	1		1
		Total	Mean	104,367	9,435	106,511
			Median	106,545	8,000	107,500
			Std. Deviation	33,302	5,934	34,217
			N	22	5	22

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director of		Male	Mean	120,773	9,833	123,455
Startups			Median	115,000	8,000	123,000
		N	11	3	11	
	Female	Mean	97,857		99,786	
			Median	100,000		
			N	7	1	7
		Total	Mean	111,861	10,750	114,250
			Median	107,500	10,750	109,045
			N	18	4	18
	Canada	Male	Mean			
			Median			
			N	1		1
		Female	Mean			
		Median				
		N	2		2	
		Total	Mean	91,406		91,406
			Median	103,022		103,022
			N	3		3

#### Table SU-6: Director of Startups Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director of	Other					
Startups		Not	Mean			
		Answered	Median			
			N	1	1	1
		Total	Mean			
			Median			
			N	1	1	1
	Total	Male	Mean	119,294	9,833	121,752
			Median	111,500	8,000	116,545
			N	12	3	12
		Female	Mean	95,133		96,633
			Median	100,000		100,000
			N	9	1	9
		Not	Mean			
		Answered	Median			
		N	1	1	1	
		Total	Mean	104,367	9,435	106,511
			Median	106,545	8,000	107,500
			N	22	5	22

#### Table SU-6: Director of Startups Salaries by Gender

# Table SU-7:Director of StartupsSalaries by Percentiles

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	18	4	18
	Missing	-	14	-
Mean		111,861	10,750	114,250
Median		107,500	10,750	109,045
Std. Deviation	1	24,873	5,951	26,516
Percentiles	10	84,700	4,000	84,700
	25	98,750	5,000	98,750
	50	107,500	10,750	109,045
	75	130,848	16,500	131,875
	90	148,570	17,500	154,171

			Canada	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	3	-	3
	Missing	-	3	-
Mean		91,406		91,406
Median		103,022		103,022
Std. Deviation	ı	31,927		31,927
Percentiles	10	55,297		55,297
	25	55,297		55,297
	50	103,022		103,022
	75	115,900		115,900
	90	115,900		115,900

			Other	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	1	1	1
	Missing	-	-	-
Mean				
Median				
Std. Deviation	ı			
Percentiles	10			
	25			
	50			
	75			
	90			



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
Director of	USA	Urban	Mean	115,799	8,500	118,117
Startups			Median	108,000	8,000	110,090
			N	11	3	11
		Non- Urban	Mean	105,673		108,173
			Median	107,000		107,000
			N	7	1	7
		Total	Mean	111,861	10,750	114,250
			Median	107,500	10,750	109,045
			N	18	4	18
	Canada	Urban	Mean	91,406		91,406
			Median	103,022		103,022
	Total		N	3		3
		Total	Mean	91,406		91,406
			Median	103,022		103,022
			N	3		3

#### Table SU-8: Director of Startups Salaries by Urban vs. Non-Urban

	able 50-0. Director of Startups Salaries by Orban vs. NorPorba						
Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	
Director of	Other	Urban	Mean				
Startups		Median					
		N	1	1	1		
		Total	Mean				
			Median				
			N	1	1	1	
	Total	Urban	Mean	103,757	7,419	105,736	
			Median	106,090	6,088	108,000	
			Ν	15	4	15	
		Non-	Mean	105,673		108,173	
		Urban	Median	107,000		107,000	
			N	7	1	7	
		Total	Mean	104,367	9,435	106,511	
			Median	106,545	8,000	107,500	
			N	22	5	22	

#### Table SU-8: Director of Startups Salaries by Urban vs. Non-Urban



Position		Research Expenditures in US\$	Mean	Median	Std. Deviation	N
Director of	USA	\$0M to \$100M				1
Startups		\$101M to \$250M	101,363	106,090	11,737	3
		\$250M to \$500M	124,299	126,250	19,723	8
		\$500M+	101,263	100,000	10,064	5
		Total	115,206	108,000	21,056	17
	Canada	\$0M to \$100M				1
		\$250M to \$500M				2
		Total	91,406	103,022	31,927	3
	Total	\$0M to \$100M				2
		\$101M to \$250M	101,363	106,090	11,737	3
		\$250M to \$500M	121,331	120,450	18,732	10
		\$500M+	101,263	100,000	10,064	5
		Total	111,636	107,500	23,594	20

#### Table SU-9: Director of Startups Salaries by Size of Research Budget

#### Table SU-10: Director of Startups Salaries by Number of Direct Reports

Position		N of Reports	Mean	Median	Std. Deviation	Ν
Director of	USA	0 Reports	113,054	108,000	15,293	9
Startups		1-2 Reports	110,669	107,000	32,827	9
		Total	111,861	107,500	24,873	18
	Canada	0 Reports				2
		3-5 Reports				1
		Total	91,406	103,022	31,927	3
	Other	3-5 Reports				1
		Total				1
	Total	0 Reports	106,891	106,090	22,113	11
		1-2 Reports	110,669	107,000	32,827	9
		3-5 Reports				2
		Total	104,367	106,545	33,302	22

Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
Director of	USA	1-5 FTEs				2
Startups		6-10 FTEs	117,926	109,000	24,239	4
		11-19 FTEs	117,300	120,000	18,077	6
		20+ FTEs	115,833	103,500	24,078	6
	Canada	Total	111,861	107,500	24,873	18
		6-10 FTEs				1
		20+ FTEs				2
		Total	91,406	103,022	31,927	3
	Other	1-5 FTEs				1
		Total				1
	Total	1-5 FTEs	50,450	55,000	40,019	3
		6-10 FTEs	105,400	108,000	35,002	5
		11-19 FTEs	117,300	120,000	18,077	6
		20+ FTEs	114,240	105,011	20,849	8
		Total	104,367	106,545	33,302	22

Table SU-11: Director of Startups Salaries by Total Technology Transfer Office Head Count

### Additional data:

As was the case in 2004, most of the respondents for the Director of Startups position are in the U.S. Salary levels were close to those from 2004.



## Position #10: In-house Counsel (Tables IC-1 through IC-11)

#### **Position description:**

An In-house Counsel is a bar certified lawyer employed by the institution to support licensing activity and possibly also intellectual property management. Efforts of an Inhouse Counsel typically focus on managing relationships with outside patent counsel, advising on transactional issues including licensing, sponsored research and related agreements, and managing the university's role in any litigation related to intellectual property or litigation. In some offices, In-house Counsel may file all or some provisional patent applications.

- Other possible titles: Assistant/Associate General Counsel, Intellectual Property Counsel
- Possible degrees: J.D., plus Ph.D., M.S., B.S., B.A.
- Years of experience: 3-20
- Signatory Authority: None
- **Reports to:** General Counsel or to the Director of the Technology Transfer Office through the General Counsel, but is dedicated to the office or the intellectual property function

Supervisory responsibilities: None

Position		Control	Mean	Median	Std. Deviation	N
In-house	USA	Private	128,640	140,000	49,316	11
Counsel		Public	94,222	97,000	29,451	15
		Total	108,783	108,383	41,944	26
	Canada	Public	65,840	65,789		<10
		Other				1
		Total	74,478	67,177	19,370	5
	Other	Public	51,627	60,012	33,570	4
		Total	51,627	60,012	33,570	4
	Total	Private	128,640	140,000	49,316	11
		Public	81,878	77,722	31,985	23
		Other				1
		Total	97,350	89,000	43,128	35

#### Table IC-1: General Salary Data for In-house Counsel

Table IC-2: In-house Counsel Salaries by Highest Degree

Position		Highest Degree	Mean	Median	Std. Deviation	N
In-house	USA	Law	104,890	104,000	41,058	24
Counsel		PhD				2
		Total	108,783	108,383	41,944	26
	Canada	Law	76,303	65,897	21,865	4
		PhD				1
		Total	74,478	67,177	19,370	5
	Other	Law	67,444	64,862	13,757	3
		PhD				1
		Total	51,627	60,012	33,570	4
	Total	Law	97,578	89,000	39,329	31
		PhD	95,588	103,589	74,884	4
		Total	97,350	89,000	43,128	35



Position		Years in Tech Quartiles	Mean	Median	Std. Deviation	N
In-house	USA	0-2 years	76,861	72,315	29,387	6
Counsel		3-4 years				2
		5-9 years	105,610	98,500	33,418	10
		10+ years	135,468	140,000	53,035	5
		Total	102,973	100,000	40,752	23
	Canada	0-2 years				1
		3-4 years				1
		5-9 years				1
		10+ years				2
		Total	74,478	67,177	19,370	5
	Other	0-2 years				2
		5-9 years				2
		Total	51,627	60,012	33,570	4
	Total	0-2 years	72,065	67,000	24,534	9
		3-4 years	79,385	65,000	25,446	3
		5-9 years	93,058	89,000	41,142	13
		10+ years	121,539	115,025	51,059	7
		Total	92,102	81,155	41,051	32

# Table IC-3: In-house Counsel Salaries by Years of<br/>Technology Transfer Experience

#### Table IC-4: In-house Counsel Salaries by Region

		US-EAST					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	8	1	8			
	Missing	-	7	-			
Me	an	122,959		125,147			
Percentiles	10	64,375		64,375			
	25	68,158		68,158			
	50	125,000		125,000			
	75	166,250		166,250			
	90	211,669		229,169			

			US-WEST					
		Salary in Bonus in Salar US\$ at US\$ at Bonus 1/1/06 1/1/06 US\$ 1/1/06						
N	Valid	10	1	10				
	Missing	-	9	-				
Me	an	113,144		113,444				
Percentiles	10	56,040		58,740				
	25	89,099		89,099				
	50	111,383		111,383				
	75	146,938		146,938				
	90	169,100		169,100				

			<b>US-CENTRAL</b>					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	8	1	8				
	Missing	-	7	-				
Me	an	89,157		91,876				
Percentiles	10	39,535		39,535				
	25	69,681		69,681				
	50	84,500		84,500				
	75	111,250		111,250				
	90	145,000		166,750				



		CANADA					
		Salary in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	5	-	5			
	Missing	-	5	-			
Me	an	74,478		74,478			
Percentiles	10	64,389		64,389			
	25	64,394		64,394			
	50	67,177		67,177			
	75	88,213		88,213			
	90	109,032		109,032			

#### Table IC-4: In-house Counsel Salaries by Region

		NON-US					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06			
N	Valid	4	-	4			
	Missing	-	4	-			
Me	an	51,627		51,627			
Percentiles	10	4,175		4,175			
	25	16,922		16,922			
	50	60,012		60,012			
	75	77,948		77,948			
	90	82,310		82,310			

# AUTM Salary Survey: 2006

Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
In-house	USA		Mean	128,640		132,209	In-house	Canada	Public	Mean	65,840		65,840
Counsel			Median	140,000		140,000	Counsel			Median	65,789		65,789
			Std. Deviation	49,316		53,458				Std. Deviation	1,671		1,671
		Ν	N	11	2	11				N	4		4
		Public Mean Median Std. Deviation N	Mean	94,222		94,422			Other	Mean			
			Median	97,000		97,000				Median			
			Std. Deviation	29,451		29,175				Std. Deviation			
			N	15	1	15				N	1		1
		Total	Mean	108,783	14,083	110,408			Total	Mean	74,478		74,478
		Median Std. Deviation	Median	108,383	17,500	108,383				Median	67,177		67,177
			41,944	9,831	44,522				Std. Deviation	19,370		19,370	
			N	26	3	26				N	5		5

#### Table IC-5: In-house Counsel — Private vs. Public Institutions



			Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Positio
In-house Other Counsel	Public	Mean	51,627		51,627	In-hous Counse
		Median	60,012		60,012	oounse
		Std. Deviation	33,570		33,570	
		N	4		4	
	Total	Mean	51,627		51,627	
		Median	60,012		60,012	
		Std. Deviation	33,570		33,570	
		Ν	4		4	
	Other		MedianStd. DeviationNTotalMeanMedianStd. Deviation	OtherPublicMean51,627Median60,01233,570Std. Deviation33,570N4TotalMean51,627Median60,01233,570Std. Deviation51,627Std. Deviation60,012Std. Deviation33,570	At 1/1/06At 1/1/06At 1/1/06OtherPublicMean51,627Median60,012-Std. Deviation33,570-TotalMean51,627Median60,012-Mean51,627-Std. Deviation60,012-Std. Deviation33,570-	AttentionAttentionAttentionAttentionUS\$ AttentionOtherPublicMean51.62751.627Median60.01260.01260.012Std. Deviation33.57033.57033.570N444TotalMean51.62751.627Median60.01260.01260.012Std. Deviation51.62751.627Mean51.62760.012Std. Deviation33.57033.570

#### Table IC-5: In-house Counsel — Private vs. Public Institutions

Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06	Position		Control		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
51,627		51,627	In-house	Total	Private	Mean	128,640		132,209
60,012		60,012	Counsel			Median	140,000		140,000
33,570		33,570				Std. Deviation	49,316		53,458
4		4				N	11	2	11
51,627		51,627		Public	Public	Mean	81,878		82,008
60,012		60,012				Median	77,722		77,722
33,570		33,570				Std. Deviation	31,985		31,877
4		4					N	23	1
					Other	Mean			
						Median			
						Std. Deviation			
						N	1		1
					Total	Mean	97,350	14,083	98,558
						Median	89,000	17,500	89,000
						Std. Deviation	43,128	9,831	45,309
						N	35	3	35

Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
In-house Counsel	USA	Male	Mean	113,735		116,829
Counsel			Median	107,500		107,500
			N	8	2	8
		Female	Mean	109,005		110,035
			Median	108,765		108,765
			N	17	1	17
		Not Answered	Mean			
			Median			
			N	1		1
		Total	Mean	108,783	14,083	110,408
			Median	108,383	17,500	108,383
			N	26	3	26
	Canada	Male	Mean	76,998		76,998
			Median	67,285		67,285
			N	4		4
		Female	Mean			
			Median			
			N	1		1
		Total	Mean	74,478		74,478
			Median	67,177		67,177
			N	5		5

#### Table IC-6: In-house Counsel Salaries by Gender



Position		Gender		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
In-house Counsel	Other	Male	Mean			
Counsel			Median			
			N	1		1
		Female	Mean			
			Median			
			N	2		2
		Not	Mean			
		Answered	Median			
			N	1		1
		Total	Mean	51,627		51,627
			Median	60,012		60,012
			N	4		4
	Total	Male	Mean	97,926		99,829
			Median	97,000		97,000
			N	13	2	13
		Female	Mean	103,233		104,108
			Median	98,500		98,500
			N	20	1	20
		Not	Mean			
		Answered	Median			
			N	2		2
		Total	Mean	97,350	14,083	98,558
			Median	89,000	17,500	89,000
			N	35	3	35

#### Table IC-6: In-house Counsel Salaries by Gender

#### Table IC-7: In-house Counsel Salaries by Percentiles

			USA	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	26	3	26
	Missing	-	23	-
Mean		108,783	108,783 14,083	
Median		108,383	08,383 17,500	
Std. Deviation	1	41,944	9,831	44,522
Percentiles	10	61,563	3,000	62,463
	25	74,973	3,000	74,973
	50	108,383	17,500	108,383
	75	141,250	21,750	141,313
	90	172,200	21,750	172,200

			Canada	
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
N	Valid	5	-	5
	Missing	-	5	-
Mean	Mean			74,478
Median		67,177		67,177
Std. Deviation	ı	19,370		19,370
Percentiles	10	64,389		64,389
	25	64,394		64,394
	50	67,177		67,177
	75	88,213		88,213
	90	109,032		109,032

			Other					
		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06				
N	Valid	4	-	4				
	Missing	-	4	-				
Mean	Mean			51,627				
Median		60,012		60,012				
Std. Deviation	ı	33,570		33,570				
Percentiles	10	4,175		4,175				
	25	16,922		16,922				
	50	60,012		60,012				
	75	77,948		77,948				
	90	82,310		82,310				



Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
In-house	USA	Urban	Mean	108,294		109,472
Counsel			Median	108,765		108,765
			N	21	2	21
		Non-	Mean	110,841		114,341
		Urban	Median	108,000		108,000
			N	5	1	5
		Total	Mean	108,783	14,083	110,408
			Median	108,383	17,500	108,383
			N	26	3	26
	Canada	Urban	Mean	74,478		74,478
			Median	67,177		67,177
			N	5		5
		Total	Mean	74,478		74,478
			Median	67,177		67,177
			N	5		5

#### Table IC-8: In-house Counsel Salaries by Urban vs. Non-Urban

				5		
Position		Type of Location		Salary in US\$ at 1/1/06	Bonus in US\$ at 1/1/06	Salary + Bonus in US\$ at 1/1/06
In-house	Other	Urban	Mean	51,627		51,627
Counsel			Median	60,012		60,012
			N	4		4
		Total	Mean	51,627		51,627
			Median	60,012		60,012
			N	4		4
	Total	Urban	Mean	95,102		95,927
			Median	85,655		85,655
			N	30	2	30
		Non-	Mean	110,841		114,341
		Urban	Median	108,000		108,000
			N	5	1	5
		Total	Mean	97,350	14,083	98,558
			Median	89,000	17,500	89,000
			N	35	3	35

#### Table IC-8: In-house Counsel Salaries by Urban vs. Non-Urban



Position	USA or Other	Research Expenditures in US\$	Mean	Median	Std. Deviation	N
In-house	USA	\$0M to \$100M	111,000	97,000	54,369	3
Counsel		\$101M to \$250M	101,954	98,500	32,907	8
		\$250M to \$500M	105,128	88,861	55,257	6
		\$500M+	128,079	140,000	41,019	5
		Total	109,991	104,000	42,419	22
	Canada	\$0M to \$100M				1
		\$250M to \$500M	79,273	64,400	25,771	3
		Total	76,249	65,789	21,894	4
	Other	\$0M to \$100M				2
		\$500M+				1
		Total	67,444	64,862	13,757	3
	Total	\$0M to \$100M	86,700	66,089	43,672	6
		\$101M to \$250M	101,954	98,500	32,907	8
		\$250M to \$500M	96,510	77,722	47,344	9
		\$500M+	120,451	127,500	41,172	6
		Total	100,935	89,000	41,062	29

#### Table IC-9: In-house Counsel Salaries by Size of Research Budget

# AUTM Salary Survey: 2006

Position		N of Reports	Mean	Median	Std. Deviation	N
In-house	USA	0 Reports	107,669	104,383	51,219	14
Counsel		1-2 Reports	105,090	108,000	20,038	7
		3-5 Reports				2
		6-10 Reports				11
		Total	109,082	108,383	42,111	24
	Canada	0 Reports				2
		1-2 Reports				1
		3-5 Reports				1
		Total	77,001	67,285	21,397	4
	Other	0 Reports				2
		1-2 Reports				1
		3-5 Reports				1
		Total	51,627	60,012	33,570	4
	Total	0 Reports	99,395	81,155	47,656	18
		1-2 Reports	95,021	97,000	26,564	9
		3-5 Reports	85,732	93,377	62,316	4
		6-10 Reports				1
		Total	97,890	93,000	43,572	32

#### Table IC-10: In-house Counsel Salaries by Number of Direct Reports



Position		Tech Office FTEs	Mean	Median	Std. Deviation	N
In-house Counsel	USA	1-5 FTEs	98,250	94,000	33,049	4
		6-10 FTEs	96,501	83,315	40,118	6
		11-19 FTEs	92,332	77,722	56,924	7
		20+ FTEs	134,449	140,000	22,485	9
		Total	108,783	108,383	41,944	26
	Canada	6-10 FTEs				2
		20+ FTEs	79,273	64,400	25,771	3
		Total	74,478	67,177	19,370	5
	Other	1-5 FTEs				2
		6-10 FTEs				2
		Total	51,627	60,012	33,570	4
	Total	1-5 FTEs	75,389	72,500	46,579	6
		6-10 FTEs	86,075	72,512	33,115	10
		11-19 FTEs	92,332	77,722	56,924	7
		20+ FTEs	120,655	115,013	33,334	12
		Total	97,350	89,000	43,128	35

#### Table IC-11: In-house Counsel Salaries by Total Technology Transfer Office Head Count

#### Key factors in compensation for this position:

#### U.S.:

The number of respondents from the 2004 survey has more than doubled, however the salary decreased by 6%. Years in technology transfer and control account for 30% of variance in salary.



# AUTM Salary Survey Order Form

AUTM forwarded one complimentary copy of the 2006 AUTM Salary Survey in electronic form (as a PDF file) to the directors of all institutions that contributed data. Individuals from organizations that hold membership in AUTM but did not contribute to the survey, and those from organizations that do not hold membership in AUTM may purchase copies of the report in electronic form.

To order copies of the 2006 Salary Survey report, choose the appropriate boxes, complete and forward form to AUTM headquarters via e-mail, fax or post (see details below). AUTM's tax ID# is 36-3011951.

	Price	Qty.	Subtotal	
Noncontributing AUTM members — electronic copy	\$100			
Nonmembers — electronic copy	\$500			
For express shipping, provide your FedEx account number here: or add \$25: \$				
	\$			

## Shipping

Name	Institution/Organization:					
Address						
City	State/Province	Postal Code	Country			
Phone			Fax			
E-mail						
Payment						
Check enclosed	(Drawn from a U.S. bar	nk; payable t	o Association of University Technology Managers)			
Send Invoice						
Credit Card:		astercard	American Express			
Card Number:	Exp. Date	e:				
Name (as it appe	ars on card):					
Billing address (if	different from above	):				
Signature:			Date:			

E-mail to <u>autm@autm.net</u>, or print and fax to 847/480-9282 or mail checks to AUTM, 33661 Treasury Center, Chicago, IL 60694-3600

## Appendix 2

# Salary Survey Instructions and Questions **Dear Colleague:**

AUTM is pleased to announce the launch of this new Salary and Compensation Survey. After the last survey, many members asked for more information about Incentive Compensation Plans, so a new section on these plans has been added.

#### Confidentiality

This survey is being conducted for AUTM by Peerfocus, a firm experienced in salary and other surveys where confidential data are at stake. To ensure the confidentiality of all salary data, Peerfocus will act as the intermediary between you and AUTM. When the survey is completed, Peerfocus will conduct the report analysis. No institutional names will be reported, however, AUTM's designated third party service provider and administrative management officials will have access to institutional identifiers for the purpose of conducting longitudinal studies. AUTM board approval will be required for anyone to have access to the data set or to conduct such studies. This process will allow analysis and reporting of the data without any individual salaries being identifiable. For additional information on the management of survey confidentiality, see the 8/29 posting "AUTM Conducts Compensation Survey" on the AUTM Web site.

#### Reporting

We will not report individual state or province data unless at least three institutions have reported from that state or province. Outside of North America, we will not report any individual country data unless at least three institutions or organizations have reported. We will not release a Summary Report to the media although the survey report may be purchased by third parties. The report will be primarily for the membership and will serve to benchmark salaries. For additional information on the reporting of survey results, see the 8/29 posting "AUTM Conducts Compensation Survey" on the AUTM Web site.



Please don't hesitate to contact AUTM (847/559-0846) if you have any questions about the content or purpose of this survey. If you have questions about this site or how to complete this survey, you should contact Peerfocus directly. Note also the Contact Us link is always available under the General menu tab above.

I look forward to your participation and help in making this survey a valuable resource for all AUTM members. On behalf of AUTM, thank you in advance for responding.

Sincerely, Dana Bostrom Vice President, AUTM Metrics & Surveys Associate Director, Industry Alliances Office University of California, Berkeley

# AUTM Salary Survey: 2006

#### **General Instructions**

Completing this survey will be more efficient and less frustrating if you keep the following general points in mind:

- **Read all instructions!** You will find some instructions and definitions in the survey itself. Any underlined text you find in a survey may be clicked to pop-up additional help and definitions.
- Note the context-sensitive **Help with this page** link in the upper right-hand corner of the window. It is almost always present and will give you tips and pointers on the page where you are working.
- This site employs Survey Status check boxes, which you will find at the top of the survey. These status boxes are critical in enabling us to monitor the survey program. You cannot begin data entry until you have checked the box indicating you will complete the survey. If you are not going to participate in this survey, please check the box so indicating so that we can exclude you from additional mailings this year.
- **Important:** You must check the status box indicating that your survey is Completed when you are done, and you need to do this before the survey deadline. Surveys that aren't marked Completed cannot be used.
- Save your work by hitting one of the **SAVE** buttons at the bottom of each survey web page. If you hit 'Save and return to this Section,' after the save is executed you will be returned to the same survey page. If you hit 'Save and return to Survey,' after the save is executed you will be taken to the survey's main menu page where you can click the next section for data entry. 'Save and go to next Section' does just that. If you are in the last section, it will return you to the section menu.
- Do not use your browser's Back or Forward buttons without first saving your work or you will lose any data entered since the last save.



- Use your mouse or your tab key to **move your cursor** between fields. Your tab key will behave more or less efficiently for you depending on the machine and browser you are using. Experiment!
- You may **print a copy of your survey** at any time. See "Click for a printable PDF of your survey" in the Completion Process box at the bottom of the survey.

You can open these instructions in a separate browser window and have them available all the time if you wish. On the main survey menu right click the link that opens this page and select "Open in New Window." You can print these instructions by using your browser's print command.

# AUTM Salary Survey: 2006

# Demonstration Research Institute 2006 Compensation Survey

## Institutional Characteristics

1. State (US, standard state abbreviation is preferred), province (Canada), or country in which your institution's or organization's main office is located.

100	P 1	n	r	-	21	n	n
	-	v	-	-		v	n

2. How would you characterize the location of your institution or organization?

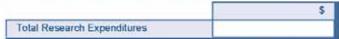
Type of location

3. Is your institution or organization under public (governmental) or private control?

Form of control

4. What were your institution's or organization's Total Research Expenditures in FY2004? Include expenditures (not new awards) made by your institution or organization in fiscal year 2004 in support of its research activities that are funded by all sources including the federal government, local government, industry, foundations, voluntary health organizations (e.g., AHA, ACS, etc.), and other nonprofit organizations. Indirect costs should be included.

Please note: Report monetary amounts in your home currency here and throughout this survey. This amount should be the same that you reported on the last AUTM Annual Survey.



 What was the total number of full-time equivalent (FTE) employees in your Technology Transfer/Management office on January 1, 2006?



6. Does your institution or organization offer tuition assistance in one form or another as a benefit to employees or their families?

Tuition assistance offered?

How many total new hires has your office made, in the categories surveyed in this Salary Survey, over the two year period from January 1, 2003 - December 31, 2004?

New hires

8. How many new positions has your office created in the categories surveyed in the Salary Survey over the two year period from January 1, 2003 - through December 31, 2004? (New positions are ones for which your office received new funding during the two year period from January 1, 2003 - through December 31, 2004.)

New positions



# Demonstration Research Institute 2006 Compensation Survey

## **Incentive Compensation Plan**

 Does your institution have an incentive Compensation (IC) plan?
 IC Plan Definition: A formal plan which supplements the base salary or compensation of an employee and is paid as a result of specific exceptional performance (by an individual or group) or the achievement of agreed-upon performance goals or milestones (for an individual or group).

Have an IC plan?

If you answered "No" above, go to the bottom of the page and hit a Save button. If you answered "Yes," please continue below.

2. Is your institution's IC plan an ongoing Contractual plan or an Ad-hoc plan?

- Contractual: A formal, written arrangement, possibly as part of an employment offer or agreement, which
  addresses the details and rules of an incentive plan for an employee or group of employees in which certain
  employees have guaranteed eligibility for consideration in the plan.
- Ad hoc: An occasional or impromptu bonus paid to an employee or group as a result of a specific accomplishment
  or achievement. Not a guarantee or contractual obligation on the part of the employer.

IC plan type	
3. What is the main source of funds	used to pay for your IC plan?

a. Source of funds

b. If you checked Other above,	
please specify	

4. If your IC plan is based on Net Revenues, which of the following are deducted from License Income Received to yield Net Revenues?

	Deducted?
a. Operational expenses	
b. Revenues distributed to stakeholders	
c. Other	

above, please specily	

5. Which of the following performance metrics are used to determine employee rewards under the IC plan in your technology transfer office (TTO)?

a. Income received

License Income Received: includes license issue fees, payments under options, annual minimums, running royalties, termination payments, the amount of equity received when cashed-in, and software and biological material end-user license fees equal to \$1,000 or more, but not research funding, patent expense reimbursement, a valuation of equity not cashed-in, software and biological material end-user license fees less than \$1,000, or trademark licensing royalties from university insignia.

	Is Metric Used?
I. License income	
ii. Net revenue	
iii. Other revenue	

# AUTM Salary Survey: 2006

# Demonstration Research Institute 2006 Compensation Survey

# **Incentive Compensation Plan (continued)**

iv. If you answered "Yes" for Other above, please specify			
Specific licensing or patent activity metrics			
	Is Metric Used?		
i. Patents filed			
ii. Patents issued			
ill. License agreements/options			
iv. Start-up companies	-		
v. Legal expenditures reimbursed			
vi. Other			
vii. If you answered "Yes" for Other above, please specify			

	Is Metric Used?
I. Surveys or other feedback of Customer Satisfaction from Inventors/Developers	
ii. Surveys or other feedback of Customer Satisfaction from Licensees	
iii. Surveys or other feedback of Customer Satisfaction from Central Administration	
v. Number/percentage of departments or faculty served	
v. Other	

6. Which of the following elements are included in determining participation and payout value of a given year's IC plan?

	Is Element Included?
a Overall office performance	
b. Specific team performance	
c. Individual performance	



# Demonstration Research Institute 2006 Compensation Survey

### Incentive Compensation Plan (continued)

7. Who in your office participates in the IC plan?

	Participates in IC Plan?
a. Director	
b. Asst/Assoc Director	
c. Licencing Associate	
d. Licensing Assistant	
e. Marketing Manager	
f. Business Manager	
g. Patent Manager	
h. Administrative Assistant	
i. Director of Startups	
j. In-House Counsel	

8. What are the main reasons the IC plan is offered at your institution? You may specify up to three (3) reasons.

a. Most important reason	
b. Next most important reason	
c. Third most important reason	

9. Has your university made any studies on the benefits of your IC plan in improving productivity/performance, hiring new people, retention of key people or other?

a. Studies	a.	
<ul> <li>b. If you answered Yes above, please briefly describe the study and its results</li> </ul>		

# Demonstration Research Institute 2006 Compensation Survey

### Director

#### A. Position description

The Director is the head of the Technology Transfer Office. This position directs and manages the intellectual property or technology transfer activities at the institution. The person spends their fulltime activities on technology transfer matters, but may also supervise a limited number of additional activities related to corporate relationships such as industrial sponsored research agreements, clinical trial agreements, etc. He or she sets and/or interprets policies as they pertain to technology transfer activities, manages the licensing, business development, and administrative staff in the Technology Transfer Office and communicates with the institution's senior administration officials or governing board. Activities performed in the Technology Transfer Office managed by the Director include approving and/or signing license or other technology transfer agreements, assessing the protectability and commercial potential of new invention disclosures; obtaining and maintaining intellectual property protection through legal counsel; heading the institution's patent committee; pursuing expanded relationships with the corporate sector; attracting venture capital for investment in technologies; managing the Technology Transfer Office budget; and facilitating relationships among faculty, industry, research sponsors, patent counsel, and university administrators. Depending on the organizational structure of a particular university system, there may either be a single Director overseeing the Technology Transfer Office or several. (Note: This definition is based on the "Chief Technology Transfer Officer" position description used in the 1998/99 and 2000/01 CUPA Surveys and is intended to capture the salaries of the same individual as your institution reported to those Surveys in order to allow some longitudinal comparisons to be made.)

- Other possible titles: Executive Director, Assistant Vice President or Associate Vice President or Associate Vice Chancellor
- Possible degrees: Ph.D., J.D., M.B.A., M.S., B.S., B.A.
- Years of experience: 5-30
- Signatory Authority: All
- Reports to: Vice President of Research, Provost or Associate Provost or, in the event that a Vice President or Associate Provost holds this position, directly to a Provost or the President of the institution

#### B. Compensation Details on Your Current Director(s)

AUTM suggests that you NOT print out the salary information AT ALL unless the printer is located next to you in a secure area. To print the pop-up spreadsheet where you entered salary and other information on each incumbent of this position, you need to use the Print button on the pop-up spreadsheet itself. The spreadsheet information cannot be printed within this PDF printout of the rest of the survey.

#### C. General Characteristics of the Director Position

1. Directors typically report to the ...

2. Directors typically receive bonuses...



3. Directors typically have signature authority for the following documents...

a. Licenses	
b. Options	
c. Confidentiality Agreements	
d. Material Transfer Agreements	
e. Power of Attorney/Small Entity and other patent-related documents	
f. Inter-Institutional (or Joint Institutional) Agreements	

#### **D. Clarifications Regarding Directors**

Please provide comments or explanations on any of the information reported above to help us better understand your responses.

## Appendix 3

# Incentive Compensation Schemes Module and Salary Survey Bonus Data

#### Salary Survey ICS Questionnaire Module

The module for Incentive Compensation Schemes (ICS) consisted of nine questions with associated definitions (see Appendix 2). Participation was optional and the total number of respondents was relatively small with 31 U.S. respondents and nine non-U.S. respondents. Because data from countries outside the U.S. was limited, most comments below relate solely to the U.S.

#### Highlights (U.S. only) of the ICS Module:

There were roughly equal numbers of Ad hoc and Contract type ICS programs with funds to pay bonuses coming primarily from licensing income and general funds. The key measures to determine whether a bonus had been earned were licensing income and agreements. Universities measured both overall office and individual performance to determine eligibility for bonuses. Directors, Associate/Assistant Directors and Licensing Associates, Licensing Assistants and Administrative Assistants were most likely to receive incentive compensation. The goal of an ICS program was most likely to be to reward good work or attract and retain employees.

U.S.	15 Ad hoc and 16 Contract ICS = 31
Canada	1 Ad hoc and 2 Contract ICS = 3
Israel	2 Contract ICS
Germany	1 Contract ICS
Sweden	1 Contract ICS
Singapore	1 Contract ICS
Switzerland	1 Contract ICS

#### Questions #1 and #2: Frequency and Type of ICS

#### Question #3: Source of Monies for ICS

U.S. 12 General Funds 13 License Income Received

- 4 Special Pool
- 1 Other

#### Question #4: Deductions to Yield Net Revenues to Calculate IC Payments

U.S.	Operational expenses deducted?	11 No; 4 Yes; 16 No answer
U.S.	Revenue to stakeholders deducted?	9 No; 5 Yes; 17 No answer
U.S.	Other deductions?	10 No; 4 Yes; 17 No answer

#### Question #5: Measures to Determine Awards Under an ICS

U.S.	Income Received (License Income)	17 Yes; 11 No
U.S.	Income Received (Net Revenue)	8 Yes; 20 No
U.S.	Income Received (Other Revenue)	7 Yes; 18 No
U.S.	Licensing/Patent Activity (Patents Filed)	6 Yes; 19 No
U.S.	Licensing/Patent Activity (Patents Issued)	4 Yes; 20 No
U.S.	Licensing/Patent Activity (Licenses/Options)	17 Yes; 12 No
U.S.	Licensing/Patent Activity (Startups)	9 Yes; 16 No
U.S.	Licensing/Patent Activity	
	(Legal expense reimbursed)	9 Yes; 18 No
U.S.	Licensing/Patent Activity (Other)	13 Yes; 13 No
U.S.	Service Metrics (Inventor/Developer Satisfaction)	9 Yes; 21 No
U.S.	Service Metrics (Licensee Satisfaction)	4 Yes; 26 No
U.S.	Service Metrics (Central Admin. Satisfaction)	5 Yes; 23 No
U.S.	Service Metrics	
	(Number of Departments/Faculty Served)	4 Yes; 24 No

### **Question #6: Determining Participation and Payout of ICP**

U.S.	Overall Office Performance	23 Yes; 8 No
U.S.	Specific Team Performance	11 Yes; 18 No
U.S.	Individual Performance	25 Yes; 6 No
Non-U.S.	All Responded Yes	

#### **Question #7: Who participates in ICS**

U.S.	Director	27 Yes; 4 No
U.S.	Assoc/Asst Director	20 Yes; 7 No
U.S.	Licensing Assoc.	20 Yes; 6 No
U.S.	Licensing Asst.	15 Yes; 8 No
U.S.	Marketing Mgr.	11 Yes; 11 No
U.S.	Business Mgr.	9 Yes; 12 No
U.S.	Patent Mgr.	10 Yes; 11 No
U.S.	Administrative Asst.	15 Yes; 13 No
U.S.	Director of Startups	9 Yes; 11 No
U.S.	In-house Counsel	6 Yes; 14 No

### **Question #8: Top Three Reasons for ICS**

- **U.S.** (Top reason) Reward good work 15; Attract/retain 12; Team cooperation 2
- **U.S.** (Second reason) Attract/retain 10; Reward good work 8; Team cooperation 7
- **U.S.** (Third reason) Team cooperation 8; Attract/retain 5; Reward good work 4

#### Question #9: Has your University Studied the Benefits of ICS?

U.S.	30 No

Non-U.S. All Responded No

# Salary Survey Bonus Data Data on Selected Positions

### Highlights (U.S. only) of Salary Survey Bonus data:

Directors received the highest bonuses, averaging \$26,000 with almost all private universities paying more than the publics. There was a broad range of bonus amounts in terms of 10th to 90th percentile for many categories, e.g., AUTM regions. Males received slightly higher bonuses than females in one job category but lower in another. Bonuses at urban institutions were substantially higher than for non-urban in the two position categories where data was received. The position category where the highest number of incumbents received bonuses was Associate/Assistant Directors.

### **U.S. Directors:**

22 Directors received bonuses in 2006. Average overall bonus was \$26,000.
Bonuses averaged \$31K for private universities (15 recipients).
Bonuses averaged \$15k for public universities (7 recipients).
Range of bonuses was \$8K to \$73K (10th to 90th percentile) for all U.S.
AUTM Eastern region bonuses ranged from \$6K to \$64K (10th to 90th percentile).
AUTM Central region bonuses ranged from \$11K to \$100K (10th to 90th percentile).
AUTM Western region bonuses ranged from \$8 to \$42K (10th to 90th percentile).
Average bonus for males was \$26K; for females was \$23K.
Urban bonuses averaged \$30K; for non-urban \$10K.

### **U.S. Associate/Assistant Director:**

41 Associate/Assistant Directors received bonuses in 2006.

The average male and female overall bonus was \$11,000.

Bonuses averaged \$12K for private universities.

Bonuses averaged \$9K for public universities.

Range of bonuses was \$4K-\$18K (10th to 90th percentile) for all U.S.

AUTM Eastern region bonuses ranged from \$3K to \$18K (10th to 90th percentile).

AUTM Central region bonuses ranged from \$10K to \$22K (10th to 90th percentile).

AUTM Western region bonuses ranged from \$4 to \$14K (10th to 90th percentile).

Urban bonuses averaged \$12K; for non-urban \$8K.

### U.S. Licensing Associates:

20 Licensing Associates received bonuses in 2006 (12 private universities; 8 public). Bonuses averaged \$6K for both private and public universities. Average bonus for males was \$5K; for females was \$7K. Range of bonuses was \$2K to \$11K (10th to 90th percentile) for all U.S. Urban bonuses averaged \$5K.

### **U.S. Licensing Assistants:**

18 Licensing Assistants received bonuses in 2006 (4 private universities; 14 public).Bonuses averaged \$3K (\$3K for private universities; \$2K for public universities).Range of bonuses was \$1K to \$4K (10th to 90th percentile) for all U.S.

### **U.S. Directors of Startups:**

4 Directors of Startups received bonuses averaging \$11K in 2006. Range of bonuses was \$4 to \$18K (10th to 90th percentile) for all U.S.

### **U.S. Administrative Assistants:**

19 Administrative Assistants received bonuses averaging \$3K in 2006

(11 private universities; 8 public).

Range of bonuses was \$1K to 5K (10th to 90th percentile) for all U.S.

### **U.S. Patent Managers:**

11 Patent Managers received bonuses averaging \$8K in 2006

(9 private universities; 2 public).

Range of bonuses was \$1K to \$14K (10th to 90th percentile) for all U.S.

# **Appendix 4**

# **Responding Organizations**

AU	Central Queensland University
СН	Chinese University of Hong Kong
СН	Hong Kong Polytechnic University
CN	British Columbia Cancer Agency
CN	British Columbia Institute of Technology
CN	Canadian Institutes of Health Research
CN	Carleton University
CN	Centre for Addiction & Mental Health
CN	Dalhousie University
CN	Hospital for Sick Children
CN	Lakehead University
CN	Lawson Health Research Institute
CN	McMaster University ORCIP
CN	Mount Sinai Hospital
CN	Natural Resources Canada
CN	Ottawa Heart Institute Research Corporation
CN	PARTEQ Innovations
CN	Red River College
CN	Tec Edmonton
CN	University de Moncton
CN	University of British Columbia
CN	University of Northern British Columbia
CN	University of Ontario Institute of Technology
CN	University of Saskatchewan Industry Liaison Office

CN	University of Toronto Innovations Foundation
CN	York University
CR	University of Costa Rica
CZ	Masaryk University
GE	Herbert-Worch-Foundation
IS	Ramot at Tel Aviv University Ltd
IS	Yissum Technology Transfer Co of the Hebrew University of Jerusalem
NL	VU University Medical Center
NO	Norwegian Radium Hospital Research Foundation
NZ	Waikatolink Ltd
RU	Russian Academy of Sciences
SI	Nanyang Technological University
SW	Lund University Technology Group
SZ	PACTT
SZ	UniteCentera Inc
UK	Medical Research Council
UK	Royal Group of Hospitals Trust
UK	University of Cambridge
USA	Albert Einstein College of Medicine
USA	Arkansas State University
USA	Auburn University
USA	Baylor College of Medicine
USA	Beth Israel Deaconess Medical Center
USA	Boston College
USA	Boston University
USA	Bowling Green State University

USA	Brigham & Women's Hospital
USA	Carnegie Mellon University
USA	Case Western Reserve University
USA	CBR Institute for Biomedical Research Inc
USA	Cincinnati Children's Research Foundation
USA	City of Hope National Medical Center
USA	Cleveland Clinic Foundation
USA	Cold Spring Harbor Laboratory
USA	College of William & Mary
USA	Columbia Innovation Enterprise
USA	Columbus Children's Research Institution
USA	Creighton University
USA	Dana-Farber Cancer Institute
USA	Dartmouth College
USA	Drexel University
USA	East Carolina University Office of Technology Transfer
USA	Eastern Virginia Medical School
USA	Emory University
USA	Evanston Northwestern Healthcare
USA	Florida Institute of Technology
USA	Florida International University
USA	Florida State University
USA	Fox Chase Cancer Center
USA	Fred Hutchinson Cancer Research Center
USA	George Mason University
USA	Georgia Technical Research Corporation
USA	Harvard University
USA	Health Research Inc.

USA	Intermountain Health Care
USA	Iowa State University Research Foundation
USA	J David Gladstone Institution
USA	Jackson Laboratory
USA	James Madison University
USA	Kansas City Area Life Sciences Institute
USA	Kansas University Medical Center
USA	Keck Graduate Institute
USA	Kent State University
USA	Lehigh University Whitaker Laboratory
USA	Loma Linda University
USA	Louisiana Tech University
USA	Maryland Technology Development Corporation
USA	Massachusetts Eye & Ear Infirmary
USA	Mayo Clinic
USA	Medical College of Wisconsin Research Foundation
USA	Michigan State University
USA	Michigan Technological University
USA	Mississippi State University
USA	MITRE Corporation
USA	Montana State University Bozeman
USA	National Institutes of Health
USA	National Jewish Medical & Research Center
USA	National Institutes of Health Human Genome Research Institute
USA	National Institute of Standards & Technology

USA	NDSU Research Foundation Office of Technology Transfer
USA	New Mexico State University
USA	New York University
USA	Northeastern University
USA	Northern Illinois University
USA	Northwestern University
USA	Ohio State University
USA	Old Dominion University
USA	Oregon State University
USA	Penn State University
USA	Pittsburgh State University
USA	Purdue Research Foundation
USA	Rensselaer Polytechnic Institute
USA	Research Foundation of SUNY Albany
USA	Research Foundation of SUNY Binghamton
USA	Rice University
USA	Rochester Institute of Technology
USA	Rockefeller University
USA	Roswell Park Cancer Institute
USA	Rush University Medical Center
USA	Rutgers University
USA	Salk Institute
USA	Science & Technology Corporation @ UNM
USA	St Jude Children's Research Hospital
USA	St Louis University
USA	SUNY Research Foundation
USA	Texas A&M University System
USA	The Catholic University of America
USA	Translational Genomics Research Institute

USA	Tufts University
USA	Tulane University
USA	University Corporation for Atmospheric Research
USA	University of Akron
USA	University of Alaska Fairbanks
USA	University of Arizona
USA	University of California
USA	University of California Irvine
USA	University of California Riverside
USA	University of California San Francisco
USA	University of California Santa Cruz Office for Management of IP
USA	University of Central Florida
USA	University of Cincinnati
USA	University of Connecticut
USA	University of Dayton Research Institute
USA	University of Denver
USA	University of Florida
USA	University of Hawaii
USA	University of Houston
USA	University of Idaho
USA	University of Illinois
USA	University of Illinois Chicago
USA	University of Iowa Research Foundation
USA	University of Kentucky Research Foundation
USA	University of Louisville
USA	University of Maine
USA	University of Maryland Baltimore
USA	University of Maryland College Park

USA	University of Massachusetts Boston
USA	University of Miami
USA	University of Michigan
USA	University of Minnesota
USA	University of Mississippi
USA	University of Missouri
USA	University of Nebraska Medical Center
USA	University of Nebraska Technology Park
USA	University of Nevada Reno
USA	University of New Brunswick
USA	University of New Hampshire
USA	University of North Carolina at Chapel Hill
USA	University of North Carolina Greensboro
USA	University of North Dakota
USA	University of North Texas
USA	University of Notre Dame
USA	University of Pennsylvania
USA	University of Rochester Medical Center
USA	University of South Carolina
USA	University of Texas Health Science Center San Antonio
USA	University of Texas Medical Branch
USA	University of Texas Pan American
USA	University of Toledo
USA	University of Utah
USA	University of Vermont
USA	University of Virginia Patent Foundation

USA	Virginia Commonwealth University
USA	Wake Forest University
USA	Walter Reed Army Institute of Research
USA	Washington State University
USA	Wayne State University
USA	Western Kentucky University
USA	Western Michigan University
USA	Wisconsin Alumni Research Foundation
USA	Yale University
Count	ry Codes
AU	Australia
СН	China
CN	Canada
CR	Costa Rica
CZ	Czechoslovakia

AU	Australia
СН	China
CN	Canada
CR	Costa Rica
CZ	Czechoslovakia
GE	Germany
IS	Israel
NO	Norway
NL	Netherlands
NZ	New Zealand
RU	Russia
SI	Singapore
SW	Sweden
SW	Switzerland
UK	United Kingdom
USA	United States of America

### **Appendix 5**

### **Responding Countries Data**

	N of Orgs Reporting at Least Position Data	% of Total	N of Orgs Reporting Salary Data	% of Total
Australia	1	1%		0%
Canada	23	12%	21	13%
China	2	1%	2	1%
Costa Rica	1	1%		0%
Czech Republic	1	1%	1	1%
Germany	1	1%	1	1%
Israel	2	1%		0%
Netherlands	1	1%	1	1%
New Zealand	1	1%	1	1%
Norway	1	1%	1	1%
Russia	1	1%	1	1%
Singapore	11	1%	1	1%
South Africa	1	1%	1	1%
Sweden	1	1%	1	1%
Switzerland	2	1%	1	1%
United Kingdom	2	1%	1	1%
USA	153	78%	131	79%
Total	195	100%	165	100%

### Organizations Represented per Country



Incumbents Represented per Country			

	N of Incumbents	% of Total	
Australia		0%	
Canada	123	11%	
China	8	1%	
Costa Rica		0%	
Czech Republic	4	0%	
Germany	2	0%	
Israel		0%	
Netherlands	2	0%	
New Zealand	7	1%	
Norway	2	0%	
Russia	10	1%	
Singapore	9	1%	
South Africa	6	1%	
Sweden	1	0%	
Switzerland	10	1%	
United Kingdom	21	2%	
USA	866	81%	
Total	1,071	100%	

	N of Incumbents	% of Total
Director	169	16%
Assist/Assoc Director	135	13%
Licensing Associate	250	23%
Licensing Assistant	111	10%
Director of Startups	22	2%
Administrative Assistant	192	18%
Business Manager	63	6%
Marketing Manager	35	3%
In-house Counsel	35	3%
Patent Manager	59	6%
Total	1,071	100%

### Incumbents Represented per Position

### Control Cross Tabulation

	N of Orgs Reporting at Least Position Data			
Country	Private	Public	Other	Total
Australia		1		1
Canada	2	20	1	23
China		2		2
Costa Rica		1		1
Czech Republic		1		1
Germany		1		1
Israel		2		2
Netherlands		1		1
New Zealand		1		1
Norway			1	1
Russia		1		1
Singapore		1		1
South Africa		1		1
Sweden			1	1
Switzerland		2		2
United Kingdom	1	1		2
USA	63	86	4	153
Total	66	122	7	195



### Control Cross Tabulation — Organizations only Reporting Salary Data

	N of Orgs Reporting Salary Data			
Country	Private	Public	Other	Total
Australia				
Canada	2	18	1	21
China		2		2
Costa Rica				
Czech Republic		1		1
Germany		1		1
Israel				
Netherlands		1		1
New Zealand		1		1
Norway			1	1
Russia		1		1
Singapore		1		1
South Africa		1		1
Sweden			1	1
Switzerland		1		1
United Kingdom	1			1
USA	56	72	3	131
Total	59	100	6	165

# Appendix 6

### Survey Methodology and Procedures

- After the success of the 2004 Salary Survey, AUTM supported the completion of the 2006 Salary Survey with a section on Incentive Compensation Plans. The committee retained, for a second time, the outside survey firm, Peerfocus LLC, led by its president, David Morgan, to carry out a comprehensive survey of compensation of a subset of AUTM members, specifically, those U.S. and non-U.S. members employed by universities and teaching hospitals. The board accepted the committee's recommendation and approved Peerfocus' scope of work, which provided for 10 positions to be surveyed.
- 2. The Survey, Statistics and Metrics Committee, which reports to the Vice President for Metrics and Surveys formed a new Salary Survey Committee for 2006, which was co-chaired this year by Dana Bostrom, University of California, Berkeley and John Miner, University of Central Florida. As well as being the committee co-chair, Dana Bostrom is also the Vice President for Metrics and Surveys. In addition to Bostrom and Miner, the Salary Survey Committee included, Fred Reinhart, Wayne State University; Wayne McDaniel, University of Missouri; Susan Bernat, The Cleveland Clinic Foundation; Jack Granowitz, Columbia University; Joe Hill, Medical College of Wisconsin and Fred Holt, University of Washington. The committee held a series of meetings and telephone conference calls beginning in June 2006 and continuing until the present (June 2007). Definitions of the 10 positions were re-used for the 2006 Survey, but the Incentive Compensation Plan questionnaire was newly developed for this survey.
- 3. Working in cooperation with Peerfocus, the Salary Survey Committee proposed final decisions on survey content, confidentiality and reporting/distribution of results, and the AUTM board approved this proposal. It was agreed that the survey would be Webbased and that, as with the *AUTM Licensing Survey™*, reporting would be done by only



one representative of each organization (usually a director) on behalf of all incumbents included in the 10 job categories. Access to the survey by each director would be via a user ID/password combination. As a means of protecting confidentiality, responses and data would be collected by Peerfocus while other tasks would be handled by The Sherwood Group Inc., AUTM's management firm.

- **4.** During late fall 2006, AUTM made several announcements about the survey. It also posted a goals statement and abbreviated description of the survey content on the AUTM Web site.
- 5. In October 2006, the Salary Survey Committee selected approximately 15 beta testers who agreed to respond to the survey and comment on its content, ease or difficulty of completing it online and proposed modifications. The committee and Peerfocus made several changes as a result of feedback from the beta testers.
- 6. There was and continues to be a minor problem identifying the correct directors to receive the survey because of the manner in which AUTM collects membership information. The committee decided that this problem was not severe enough to cause postponement of the survey.
- 7. After sending notification to all directors being invited to complete the survey, the survey was officially launched on November 3, 2006, and closed December 5, 2006. Respondents had several ways to report problems or receive clarification of questions about the survey, but very few problems arose during the response period.
- **8.** Following the close of the survey, Peerfocus conducted an analysis of the data and delivered the results in a set of tables to the Salary Survey Committee.
- **9.** The Salary Survey Committee reviewed the Peerfocus report and found it to be complete and of high quality and proceeded to complete this report to distribute to respondents.

